



Los Angeles City Ethics Commission

November 30, 2018

The Honorable City Council  
c/o Holly Wolcott, City Clerk  
200 North Spring Street  
City Hall – 3rd Floor  
Los Angeles CA 90012

**Re: Council File Number 11-0452-S8  
Selection of Frederick H. Pickel as  
Executive Director of the Office of Public Accountability**

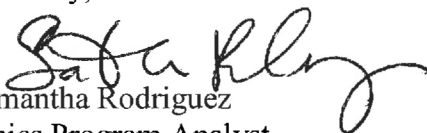
***FOR COUNCIL CONSIDERATION***

Dear Councilmembers:

On November 15, 2018, the Office of Public Accountability's (OPA) Citizen's Committee for the Selection of the Executive Director transmitted to the City Clerk a letter indicating their selection of Dr. Frederick H. Pickel as the executive director of OPA. The Ethics Commission received Dr. Pickel's pre-confirmation statement of economic interest on November 29, 2018. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Dr. Pickel's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

  
Samantha Rodriguez  
Ethics Program Analyst

*Enclosures:*  
*Form 700*  
*Form 60*

cc: Rafael Prieto

COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Pickel Frederick Hugh

1. Office, Agency, or Court

Agency Name (Do not use acronyms)
City of Los Angeles
Division, Board, Department, District, if applicable
Office of Public Accountability
Your Position
Executive Director / Ratepayer Advocate

If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State
Multi-County
City of Los Angeles
Judge or Court Commissioner (Statewide Jurisdiction)
County of
Other

3. Type of Statement (Check at least one box)

- Annual: The period covered is January 1, 2017, through December 31, 2017.
-or-
The period covered is through December 31, 2017.
Assuming Office: Date assumed
Candidate: Date of Election 11/15/2018 and office sought, if different than Part 1:
Leaving Office: Date Left
The period covered is January 1, 2017, through the date of leaving office.
-or-
The period covered is through the date of leaving office.

4. Schedule Summary (must complete) Total number of pages including this cover page: 5

Schedules attached

- Schedule A-1 - Investments - schedule attached
Schedule A-2 - Investments - schedule attached
Schedule B - Real Property - schedule attached
Schedule C - Income, Loans, & Business Positions - schedule attached
Schedule D - Income - Gifts - schedule attached
Schedule E - Income - Gifts - Travel Payments - schedule attached

-or-

None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
fred.pickel@lacity.org

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 11/29/2018 Signature
(month, day, year) (File the originally signed statement with your filing official.)

## SCHEDULE A-1

### Investments

#### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

*Do not attach brokerage or financial statements.*

CALIFORNIA FORM

700

FAIR POLITICAL PRACTICES COMMISSION

Name

Pickel, Frederick H.

**<BLUE> is a required field**

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/2017)	
				ACQUIRED	DISPOSED
AT&T, T (in foundation, Schedule A-2)	Telecommunications	\$100,001 - \$1,000,000	Stock		
Centurylink Inc CTL (foundation, Schedule A-2)	Telecommunications	\$10,001 - \$100,000	Stock		
AXP AMER EXPRESS COMPANY	Financial service	\$10,001 - \$100,000	Stock		
AXAHY AXA -SPONS ADR	Financial service	\$10,001 - \$100,000	Stock		
BAC BANK OF AMERICA CORP	Financial service	\$10,001 - \$100,000	Stock		
BA BOEING COMPANY	Defense	\$100,001 - \$1,000,000	Stock		
CVX CHEVRON CORP	Energy	\$10,001 - \$100,000	Stock		
CSCO CISCO SYSTEMS INC COM	Electronics	\$10,001 - \$100,000	Stock		
KO COCA COLA COM	Food	\$100,001 - \$1,000,000	Stock		
DEO DIAGEO PLC SPSP ADR NEW	Food	\$10,001 - \$100,000	Stock		
FCX FREEPORT-MCMORAN INC	Mining	\$2,000 - \$10,000	Stock		
GE GENERAL ELECTRIC	Manufacturing, financial service	\$10,001 - \$100,000	Stock		
GSK GLAXOSMITHKLINE PLC ADR	Pharma	\$10,001 - \$100,000	Stock		
INTC INTEL CORP	Electronics	\$10,001 - \$100,000	Stock		
IBM INTL BUSINESS MACHINES CORP IBM	Computer systems and services	\$10,001 - \$100,000	Stock		
JNJ JOHNSON AND JOHNSON COM	Medical devices	\$10,001 - \$100,000	Stock		
PHG KONINKL PHIL NV SH NEW	Consumer products	\$10,001 - \$100,000	Stock		
MSFT MICROSOFT CORP	Software	\$100,001 - \$1,000,000	Stock		
PCRFY PANASONIC CORP SHS	Consumer products	\$2,000 - \$10,000	Stock		
RHHBY ROCHE HLDG LTD SPN ADR	Pharma	\$10,001 - \$100,000	Stock		
RDSA ROYAL DUTCH SHELL PLC SPONS ADR A	Energy	\$2,000 - \$10,000	Stock		
SIEGY SIEMENS AG ADR	Manufacturing	\$10,001 - \$100,000	Stock		
SNE SONY CORP ADR NEW	Consumer products, entertainment	\$2,000 - \$10,000	Stock		
TOT TOTAL S.A. SP ADR	Energy	\$10,001 - \$100,000	Stock		
TM TOYOTA MOTOR CORP ADR	Manufacturing	\$10,001 - \$100,000	Stock		

**SCHEDULE A-1**

**Investments**

**Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM

**700**

FAIR POLITICAL PRACTICES COMMISSION

Name

Pickel, Frederick H.

<BLUE> is a required field

NAME OF BUSINESS ENTITY	GENERAL DESCRIPTION OF THIS BUSINESS ACTIVITY	FAIR MARKET VALUE (Select from drop down list)	NATURE OF INVESTMENT (Select from drop down list. If "other," describe)	IF APPLICABLE, LIST DATE (mm/dd/2017)	
				ACQUIRED	DISPOSED
WFC WELLS FARGO & CO NEW DEL	Financial service	\$10,001 - \$100,000	Stock		
WFCPRL WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK	Financial service	\$10,001 - \$100,000	Stock		
Mach Energy (private placement)	Energy Demand Monitoring	\$10,001 - \$100,000	Stock		
BANK OF AMERICA CORP 6.2%-CC Rate: 1.550%, BAC.C, PFD STK	Financial service	\$10,001 - \$100,000	Stock		
CORNING INC, GLW	Manufacturing	\$10,001 - \$100,000	Stock		
GOLDMAN SACHS GROUP INC 6.3%-N Rate: 1.575%, GS.N, PFD STK	Financial service	\$10,001 - \$100,000	Stock		
VERIZON COMMUNICATIONS, VZ	Telecommunications	\$10,001 - \$100,000	Stock		
VODAFONE GROUP PLC, VOD	Telecommunications	\$10,001 - \$100,000	Stock		
AT&T INC 5.35% CPN: 5.350% Due : 11/1/2066 Callable, corporate fixed income, TBB	Telecommunications	\$100,001 - \$1,000,000	Stock		9/18/2018
TORCHMARK CORP CPN: 6.125% Due : 6/15/56, corp fixed income, TMK.C	Financial service	\$10,001 - \$100,000	Stock		
BANK OF AMERICA CORP 6%-GG Rate: 1.500%	Financial service	\$10,001 - \$100,000	Stock	5/7/2018	
JPMORGAN CHASE & CO 5.75-DD Rate: 1.438%	Financial service	\$100,001 - \$1,000,000	Stock	9/17/2018	
WELLTOWER INC	Healthcare	\$10,001 - \$100,000	Stock	1/8/2018	
CENTURYLINK INC	Telecommunications	\$10,001 - \$100,000	Stock	1/8/2018	
DOMINION ENERGY INC	Utility	\$10,001 - \$100,000	Stock	1/8/2018	
PPL CORPORATION	Utility	\$10,001 - \$100,000	Stock	1/8/2018	
AT&T INC	Telecommunications	\$100,001 - \$1,000,000	Stock	1/8/2018	
BB & T CORP	Financial service	\$10,001 - \$100,000	Stock	1/8/2018	
CATERPILLAR INC	Manufacturing	\$10,001 - \$100,000	Stock	1/8/2018	
COMCAST CORP (NEW) CLASS A	Telecommunications	\$10,001 - \$100,000	Stock	1/8/2018	
EMERSON ELECTRIC CO	Manufacturing	\$10,001 - \$100,000	Stock	1/8/2018	
FRONTIER COMMUNICATIONS CORP	Telecommunications	\$2,000 - \$10,000	Stock	1/8/2018	
NEKTAR THERAPEUTICS	Pharma	\$100,001 - \$1,000,000	Stock	1/8/2018	
VERIZON COMMUNICATIONS	Telecommunications	\$10,001 - \$100,000	Stock	1/8/2018	

**SCHEDULE A-2**  
**Investments, Income, and Assets**  
**of Business Entities/Trusts**  
(Ownership Interest is 10% or Greater)

<b>CALIFORNIA FORM 700</b> FAIR POLITICAL PRACTICES COMMISSION
Name Pickel, Frederick H.

**1. BUSINESS ENTITY OR TRUST**

Wilshire Energy Consulting Group Inc.  
Name  
Pasadena, CA 91109  
Address (Business Address Acceptable)

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF THIS BUSINESS**  
Market research consulting, owned by spouse

**FAIR MARKET VALUE**                      **IF APPLICABLE, LIST DATE:**  
 \$0 - \$1,999                                      / / 17                      / / 17  
 \$2,000 - \$10,000                                      ACQUIRED                      DISPOSED  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

**NATURE OF INVESTMENT**  
 Partnership     Sole Proprietorship     Calif. close corp. S  
Other

**YOUR BUSINESS POSITION**    unpaid VP Admin

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

Description of Business Activity or City or Other Precise Location of Real Property

**FAIR MARKET VALUE**                      **IF APPLICABLE, LIST DATE:**  
 \$2,000 - \$10,000                                      / / 17                      / / 17  
 \$10,001 - \$100,000                                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

**NATURE OF INTEREST**  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold                      Yrs. remaining     Other

Check box if additional schedules reporting investments or real property are attached

**1. BUSINESS ENTITY OR TRUST**

The Chilk Family Charitable Foundation Inc.  
Name  
%Band Gates Dramis, Ringling, Sarasota FL34237  
Address (Business Address Acceptable)

Check one  
 Trust, go to 2     Business Entity, complete the box, then go to 2

**GENERAL DESCRIPTION OF THIS BUSINESS**  
Private Foundation, 501(c)3

**FAIR MARKET VALUE**                      **IF APPLICABLE, LIST DATE:**  
 \$0 - \$1,999                                      / / 17                      / / 17  
 \$2,000 - \$10,000                                      ACQUIRED                      DISPOSED  
 \$10,001 - \$100,000  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

**NATURE OF INVESTMENT**  
 Partnership     Sole Proprietorship     Florida non-profit corp  
Other

**YOUR BUSINESS POSITION**    unpaid Secy/Treasurer

**2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)**

\$0 - \$499                       \$10,001 - \$100,000  
 \$500 - \$1,000                       OVER \$100,000  
 \$1,001 - \$10,000

**3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)**

None    or     Names listed below

**4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST**

Check one box:  
 INVESTMENT                       REAL PROPERTY

stock detail shown on Schedule A-1: AT&T, Centurylink

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property

telecom stocks

Description of Business Activity or City or Other Precise Location of Real Property

**FAIR MARKET VALUE**                      **IF APPLICABLE, LIST DATE:**  
 \$2,000 - \$10,000                                      / / 17                      / / 17  
 \$10,001 - \$100,000                                      ACQUIRED                      DISPOSED  
 \$100,001 - \$1,000,000  
 Over \$1,000,000

**NATURE OF INTEREST**  
 Property Ownership/Deed of Trust     Stock     Partnership  
 Leasehold                      Yrs. remaining     Other

Check box if additional schedules reporting investments or real property are attached

Comments:

**SCHEDULE C**  
**Income, Loans, & Business**  
**Positions**  
 (Other than Gifts and Travel Payments)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME  
 Sempra / SoCalGas

ADDRESS (Business Address Acceptable)  
 Eighth Ave, San Diego, CA 92101

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 Energy and utility services

YOUR BUSINESS POSITION  
 retiree

GROSS INCOME RECEIVED  No Income - Business Position Only  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary  Spouse's or registered domestic partner's income  
 (For self-employed use Schedule A-2.)  
 Partnership (Less than 10% ownership. For 10% or greater use  
 Schedule A-2.)  
 Sale of \_\_\_\_\_  
 (Real property, car, boat, etc.)  
 Loan repayment  
 Commission or  Rental Income, list each source of \$10,000 or more  
 \_\_\_\_\_  
 (Describe)  
 Other Qualified pension begun 2017, left SCG1993  
 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME  
 Nestle USA

ADDRESS (Business Address Acceptable)  
 North Brand Blvd, Glendale, CA 91203

BUSINESS ACTIVITY, IF ANY, OF SOURCE  
 Food products

YOUR BUSINESS POSITION  
 Spouse: market research (retired Nestle 3/30/2018)

GROSS INCOME RECEIVED  No Income - Business Position Only  
 \$500 - \$1,000  \$1,001 - \$10,000  
 \$10,001 - \$100,000  OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED  
 Salary  Spouse's or registered domestic partner's income  
 (For self-employed use Schedule A-2.)  
 Partnership (Less than 10% ownership. For 10% or greater use  
 Schedule A-2.)  
 Sale of \_\_\_\_\_  
 (Real property, car, boat, etc.)  
 Loan repayment  
 Commission or  Rental Income, list each source of \$10,000 or more  
 \_\_\_\_\_  
 (Describe)  
 Other Qualified pension income post 3/30/18  
 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

\* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER\* \_\_\_\_\_

ADDRESS (Business Address Acceptable) \_\_\_\_\_

BUSINESS ACTIVITY, IF ANY, OF LENDER \_\_\_\_\_

HIGHEST BALANCE DURING REPORTING PERIOD  
 \$500 - \$1,000  
 \$1,001 - \$10,000  
 \$10,001 - \$100,000  
 OVER \$100,000

INTEREST RATE \_\_\_\_\_%  None

TERM (Months/Years) \_\_\_\_\_

SECURITY FOR LOAN  
 None  Personal residence  
 Real Property \_\_\_\_\_  
 Street address \_\_\_\_\_  
 City \_\_\_\_\_  
 Guarantor \_\_\_\_\_  
 Other \_\_\_\_\_  
 (Describe)

Comments: \_\_\_\_\_





Ethics Commission  
 200 N Spring Street  
 City Hall — 24th Floor  
 Los Angeles, CA 90012  
 (213) 978-1960  
 ethics.lacity.org

# Restricted Source Financial Disclosure Statement Form 60

*Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.*

**Original Filing**     **Amended Filing** (original filed on \_\_\_/\_\_\_/20\_\_\_)

**Total Pages:** 2

**Name:** Pickel, Frederick H.  
(Last, First, Middle)

**Agency:** Office of Public Accountability    **Position:** Executive Director / Ratepayer Advocate

**Phone:** [REDACTED]    **Email:** [REDACTED]

**Type of Statement:**

<input checked="" type="checkbox"/> <b>Pre-confirmation</b>	Date of nomination: <u>11 / 15 / 20<sup>18</sup></u>
<input type="checkbox"/> <b>Assuming Office</b>	First day in position: ___ / ___ / 20 <sup>18</sup>
<input type="checkbox"/> <b>Annual</b>	___ / ___ / 20 <sup>17</sup> through December 31, 20 <sup>17</sup>
<input type="checkbox"/> <b>Leaving Office</b>	Last day in office: ___ / ___ / 20___

**I had the following interests associated with restricted sources during this reporting period:**

- 1. REAL PROPERTY** — *section attached.*  
 Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source.
  
- 2. INVESTMENTS** — *section attached.*  
 Investments (other than real property) co-owned by, purchased from, or sold to a restricted source.
  
- 3. INCOME** — *section attached.*  
 Income received from a restricted source.
  
- 4. GIFTS** — *section attached.*  
 Gifts, cumulatively valued at \$50 or more, received from a restricted source.
  
- 5. BOARD POSITIONS** — *section attached.*  
 Positions held on the board of a restricted source.

- Or -

- 6. NO INTERESTS**  
 I had no interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

**Certification**

*I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form and the information I have provided is true and complete.*

11/29/2018  
 Date

[REDACTED]  
 Signature



**Ethics Commission**  
 200 N Spring Street  
 City Hall — 24th Floor  
 Los Angeles, CA 90012  
 (213) 978-1960  
 ethics.lacity.org

# Form 60

## Section 3 -- Income

**Name:** Pickel, Frederick H.  
(Last, First, Middle)

The following income was received from a restricted source.

Name of restricted source:  
Sempra / SoCalGas

---

Address of restricted source:  
[REDACTED] Eighth Ave, San Diego, CA 92101

---

Business activity of restricted source:  
Energy and utility services

---

Position title:  
retiree

---

Income received by:  
 Me    My spouse/registered domestic partner  
 My dependent child

Value of income:  
 \$500—\$1,000    \$1,001—\$10,000  
 \$10,001—\$100,000    Over \$100,000

Income was:  
 Salary/Commission    Loan repayment  
 Rental income    Sale of \_\_\_\_\_  
(e.g., car, boat, etc.)

Other: Qualified pension begun 2017, left SoCalGas1993

Name of restricted source:  
Nestle USA

---

Address of restricted source:  
[REDACTED] North Brand Blvd, Glendale, CA 91203

---

Business activity of restricted source:  
Food products

---

Position title:  
Market research (retired Nestle 3/30/2018)

---

Income received by:  
 Me    My spouse/registered domestic partner  
 My dependent child

Value of income:  
 \$500—\$1,000    \$1,001—\$10,000  
 \$10,001—\$100,000    Over \$100,000

Income was:  
 Salary/Commission    Loan repayment  
 Rental income    Sale of \_\_\_\_\_  
(e.g., car, boat, etc.)

Other: Qualified pension income post 3/30/18 to 11/16/18

Name of restricted source:  
\_\_\_\_\_

---

Address of restricted source:  
\_\_\_\_\_

---

Business activity of restricted source:  
\_\_\_\_\_

---

Position title:  
\_\_\_\_\_

---

Income received by:  
 Me    My spouse/registered domestic partner  
 My dependent child

Value of income:  
 \$500—\$1,000    \$1,001—\$10,000  
 \$10,001—\$100,000    Over \$100,000

Income was:  
 Salary/Commission    Loan repayment  
 Rental income    Sale of \_\_\_\_\_  
(e.g., car, boat, etc.)

Other: \_\_\_\_\_

Name of restricted source:  
\_\_\_\_\_

---

Address of restricted source:  
\_\_\_\_\_

---

Business activity of restricted source:  
\_\_\_\_\_

---

Position title:  
\_\_\_\_\_

---

Income received by:  
 Me    My spouse/registered domestic partner  
 My dependent child

Value of income:  
 \$500—\$1,000    \$1,001—\$10,000  
 \$10,001—\$100,000    Over \$100,000

Income was:  
 Salary/Commission    Loan repayment  
 Rental income    Sale of \_\_\_\_\_  
(e.g., car, boat, etc.)

Other: \_\_\_\_\_