



Los Angeles City Ethics Commission

March 27, 2014

The Honorable City Council
c/o Holly Wolcott, Interim City Clerk
200 North Spring Street
City Hall – 3rd Floor
Los Angeles CA 90012

Re: **Council File Number 14-0279**
Appointment of James Herr to the Human Relations Commission

FOR COUNCIL CONSIDERATION

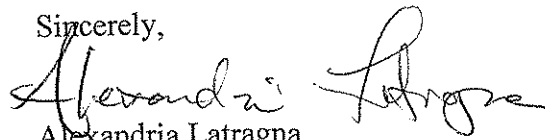
Dear Councilmembers:

James Herr was appointed by the Mayor to the Human Relations Commission on March 3, 2014. The Ethics Commission received Mr. Herr's pre-confirmation financial disclosure statement on March 24, 2014.

The City's new Governmental Ethics Ordinance (GEO) went into effect on February 10, 2014, and it has changed the process for pre-confirmation filings. Nominees are still required to file financial disclosure statements within the Ethics Commission within 21 days of the date their appointments are transmitted to the City Council. However, rather than providing a report on the pre-confirmation filings that are submitted by nominees, the Ethics Commission is now required to provide copies of the filings, themselves, to the City Council within five business days of receiving them from nominees. In addition, a nominee's financial disclosure statement now includes both the state's Form 700 and the Ethics Commission's Form 60, which provides additional information about interests associated with restricted sources. *See* Los Angeles Municipal Code § 49.5.10.

In compliance with the new GEO, a copy of Mr. Herr's financial disclosure statement is enclosed. If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,


Alexandria Latragna
Ethics Program Analyst

Enclosures:

CA Form 700
CEC Form 60

cc: Mayor Eric Garcetti
James Herr

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS

COVER PAGE

RECEIVED

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE) Herr James

1. Office, Agency, or Court

Agency Name: Human Relations Commission; Your Position: Commissioner

If filing for multiple positions, list below or on an attachment.

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State, Multi-County, City of Los Angeles, Judge or Court Commissioner, County of, Other

3. Type of Statement (Check at least one box)

- Annual, Leaving Office, Assuming Office, Pre-confirmation 3/3/14

4. Schedule Summary

- Schedule A-1, A-2, B, C, D, E, None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS, STREET, CITY, STATE, ZIP CODE, DAYTIME TELEPHONE NUMBER, E-MAIL ADDRESS (OPTIONAL)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/13/14

Signature

PRE-CONFIRMATION

LOS ANGELES CITY
ETHICS COMMISSION

MAR 24 2014



City Ethics Commission
200 N Spring Street
City Hall - 24th Floor
Los Angeles, CA 90012
Mail Stop 129
(213) 978-1960

Restricted Source Financial Disclosure Statement CEC Form 60

RECEIVED

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached Instructions for additional information.

Original Filing Amended Filing (original filed on ___/___/20___)

Total Pages: _____

Name: HERR JAMES ESTANISLAO
(Last, First, Middle)

Agency: Human Relations Comm. Position: Commissioner

Phone: [REDACTED]

Email: [REDACTED]

Type of Statement: Pre-confirmation Date of nomination: 3 / 3 / 2014
 Assuming Office First day in position: ___ / ___ / 20___
 Annual ___ / ___ / 20___ through December 31, 20___
 Leaving Office Last day in office: ___ / ___ / 20___

I had the following interests associated with restricted sources during this reporting period:

1. REAL PROPERTY

The following interest in real property was leased from or to, co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Address or assessor's parcel number of real property: _____

Interest co-owned/purchased/sold by/leased by or to: Me My spouse/registered domestic partner
 My dependent child

Interest was: Leased Co-owned Purchased (date: ___ / ___ / 20___) Sold (date: ___ / ___ / 20___)

Nature of interest: Ownership/Deed or Trust Easement Leasehold (years remaining: ___)
 Other: _____

Value of interest: \$2,000-\$10,000 \$10,001-\$100,000 \$100,001-\$1,000,000 Over \$1,000,000

Do you have additional real property interests to report? No Yes, and ___ additional pages are attached.

2. INVESTMENTS

The following investments (other than real property) were co-owned by, purchased from, or sold to a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Name of investment: _____

Nature of investment: Stock Partnership Other _____

Investment co-owned/purchased/sold by: Me My spouse/registered domestic partner My dependent child

Investment was: Co-owned Purchased (date: ___ / ___ / 20___) Sold (date: ___ / ___ / 20___)

Value of investment: \$2,000-\$10,000 \$10,001-\$100,000 \$100,001-\$1,000,000 Over \$1,000,000

Do you have additional investments to report? No Yes, and ___ additional pages are attached.



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Restricted Source Financial Disclosure Statement CEC Form 60

3. INCOME

The following income was received from a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Business activity of source: _____

Your business position: _____

Income received by: Me My spouse/registered domestic partner My dependent child

Value of income: \$500—\$1,000 \$1,001—\$10,000 \$10,001—\$100,000 Over \$100,000

Income was: Salary/Commission Loan repayment Rental income Sale of _____

(e.g., car, boat, etc.)

Other: _____

Do you have additional income to report? No Yes, and _____ additional pages are attached.

4. GIFTS

The following gifts cumulatively valued at \$50 or more were received from a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Business activity of source: _____

Gifts received by: Me My spouse/registered domestic partner My dependent child

Dates received: ____ / ____ / 20____; ____ / ____ / 20____ Value of gifts: _____

Description of gifts: _____

Do you have additional gifts to report? No Yes, and _____ additional pages are attached.

5. BOARD POSITIONS

The following position was held on the board of a restricted source.

Position title: _____

Name of restricted source: _____

Address of restricted source: _____

Position held by: Me My spouse/registered domestic partner My dependent child

Do you have additional positions to report? No Yes, and _____ additional pages are attached.

6. NO INTERESTS

I had no reportable interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

Certification

I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that the information in this form is true and complete.

Date 3/13/14

Signature 