

August 19, 2019

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles CA 90012

Re:

Council File Number 14-0366-S11

Reappointment of Philip Mercado to the

**Cannabis Regulation Commission** 

FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Philip Mercado was reappointed by the Mayor to the Cannabis Regulation Commission on August 1, 2019. The Ethics Commission received Mr. Mercado's pre-confirmation financial disclosure statement on August 19, 2019. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Mercado's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

Samantha Rodriguez

Ethics Program Manager

Enclosures:

Form 700

Form 60

cc:

Mayor Eric Garcetti



Please type or print in ink.

#### STATEMENT OF ECONOMIC INTERESTS

Date Initial Filing Received
Official Use Only

#### **COVER PAGE**

A PUBLIC DOCUMENT

Filed Date: 08/19/2019 11:24 AM SAN: 011300006-STH-0006

NAME OF FILER (LAS	T) (F	TRST)	(MIDDLE)
Mercado	Ph	ıilip	D.
1. Office, Agen	cy, or Court		
Agency Name (	Do not use acronyms)		
Cannabis Re	egulation, Department of		
Division, Board, I	Department, District, if applicable		Your Position
			Commissioner
► If filing for mu	ultiple positions, list below or on an attachmen	t. (Do not us	se acronyms)
Agency:			Position:
2. Jurisdiction	of Office (Check at least one box)		
☐ State	,		☐ Judge or Court Commissioner (Statewide Jurisdiction)
── ☐ Multi-County			County of
-	Angeles		Other
City of			
3. Type of Sta	tement (Check at least one box)		
 De	ne period covered is January 1, 2018, through ecember 31, 2018.	I	Leaving Office: Date Left/
	ne period covered is/	, through	<ul> <li>The period covered is January 1, 2018, through the date of -or-</li> </ul>
☐ Assuming C	Office: Date assumed/		The period covered is/, through the date of leaving office.
	Date of Election08/01/2019 and	office sought	t, if different than Part 1:
4. Schedule S	ummary (must complete) ► Tot	tal numbei	r of pages including this cover page:3
Schedules			, ,
C Schodule	e A-1 - Investments – schedule attached	F	Schedule C - Income, Loans, & Business Positions – schedule attached
	e A-2 - Investments – schedule attached	_	Schedule D - Income - Gifts - schedule attached
<del></del>	B - Real Property – schedule attached	_	Schedule E - Income - Gifts - Travel Payments - schedule attached
_	, ,	_	
-or- □ None	- No reportable interests on any sche	edule	
5. Verification			
MAILING ADDRESS	STREET	CITY	STATE ZIP CODE
(Business or Agency	Address Recommended - Public Document)		
DAYTIME TELEPHOI	NE NUMBER		EMAIL ADDRESS
	easonable diligence in preparing this statement y attached schedules is true and complete. I		ewed this statement and to the best of my knowledge the information contained this is a public document.
I certify under p	penalty of perjury under the laws of the Sta	ate of Califor	rnia that the foregoing is true and correct.
Date Signed	08/19/2019 11:24 AM	c	Signature .
Date digned	(month, day, year)	•	(File the originally signed paper statement with your filing official.)

## **SCHEDULE B** Interests in Real Property (Including Rental Income)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Philip Mercado

CITY	CITY
los angeles	
FAIR MARKET VALUE IF APPLICABLE, LIST DATE:  \$2,000 - \$10,000  \$10,001 - \$100,000  \$100,001 - \$1,000,000  ACQUIRED DISPOSED  Over \$1,000,000	FAIR MARKET VALUE   IF APPLICABLE, LIST DATE:   \$2,000 - \$10,000   \$10,001 - \$100,000   ACQUIRED   DISPOSED   Over \$1,000,000
NATURE OF INTEREST	NATURE OF INTEREST
Ownership/Deed of Trust Easement	Ownership/Deed of Trust Easement
Leasehold	Leasehold
IF RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000	\$1,000 \$1,001 - \$10,000
S10,001 - \$100,000 OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.	SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
None	None
Notice	Notice
	al lending institution made in the lender's regular course of without regard to your official status. Personal loans and iness must be disclosed as follows:
business on terms available to members of the public	without regard to your official status. Personal loans and
business on terms available to members of the public loans received not in a lender's regular course of bus	without regard to your official status. Personal loans and iness must be disclosed as follows:
business on terms available to members of the public loans received not in a lender's regular course of bus	without regard to your official status. Personal loans and iness must be disclosed as follows:  NAME OF LENDER*
business on terms available to members of the public loans received not in a lender's regular course of bus NAME OF LENDER*  ADDRESS (Business Address Acceptable)	without regard to your official status. Personal loans and iness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)
business on terms available to members of the public loans received not in a lender's regular course of bus NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER	without regard to your official status. Personal loans and iness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER
business on terms available to members of the public loans received not in a lender's regular course of bus NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)	without regard to your official status. Personal loans and iness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE  TERM (Months/Years)
business on terms available to members of the public loans received not in a lender's regular course of bus NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE  TERM (Months/Years)  None	without regard to your official status. Personal loans and iness must be disclosed as follows:    NAME OF LENDER*   ADDRESS (Business Address Acceptable)
business on terms available to members of the public loans received not in a lender's regular course of bus NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)	without regard to your official status. Personal loans and iness must be disclosed as follows:  NAME OF LENDER*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  INTEREST RATE TERM (Months/Years)  ———————————————————————————————————

### SCHEDULE C Income, Loans, & Business **Positions**(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION
Name
Philip Mercado

NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME	
southern california permanente medical group		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION	
physician		
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED No Income - Business Position Onl \$500 - \$1,000 \$1,001 - \$10,000 \$10,001 - \$100,000 OVER \$100,000	
CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)	CONSIDERATION FOR WHICH INCOME WAS RECEIVED  Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)	
Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)	Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)	
Sale of	Sale of	
(Real property, car, boat, etc.)	(Real property, car, boat, etc.)	
Loan repayment	Loan repayment	
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more	
(Describe)	(Describe)	
(Describe)	(Describe)	
(Describe)  Other(Describe)  2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING F	Other(Describe)	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in	Control (Describe)  Cial lending institution, or any indebtedness created as part or in the lender's regular course of business on terms available status. Personal loans and loans received not in a lender's	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as follows:	Control (Describe)  Cial lending institution, or any indebtedness created as part or in the lender's regular course of business on terms available status. Personal loans and loans received not in a lender's	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as follows.	Other	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as followable of Lender*  ADDRESS (Business Address Acceptable)	Other	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as followable of Lender*  ADDRESS (Business Address Acceptable)	Other	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as follows.  **ADDRESS (Business Address Acceptable)**  BUSINESS ACTIVITY, IF ANY, OF LENDER**	Other	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as follows.  **ADDRESS (Business Address Acceptable)**  BUSINESS ACTIVITY, IF ANY, OF LENDER**	Coescribe)  Cial lending institution, or any indebtedness created as part on the lender's regular course of business on terms available status. Personal loans and loans received not in a lender's lows:  INTEREST RATE  Whone  SECURITY FOR LOAN  None  Personal residence  Real Property  Street address	
* You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as followable of Lender*  ADDRESS (Business Address Acceptable)  BUSINESS ACTIVITY, IF ANY, OF LENDER  HIGHEST BALANCE DURING REPORTING PERIOD	City  Other	
2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING F  You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as followed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business and the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard to your official regular course of business and the public without regard t	Coescribe)  Cial lending institution, or any indebtedness created as part on the lender's regular course of business on terms available status. Personal loans and loans received not in a lender's lows:  INTEREST RATE  Whone  SECURITY FOR LOAN  None  Personal residence  Real Property  Street address	
2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING F  * You are not required to report loans from a commerce a retail installment or credit card transaction, made in members of the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public without regard to your official regular course of business must be disclosed as followed by the public	City	

Filed Date: 08/19/2019 11:28 AM SAN: 011300006-STH-0006



Ethics Commission 200 N Spring Street City Hall — 24th Floor Los Angeles, CA 90012 (213) 978-1960 ethics.lacity.org

### Restricted Source Financial Disclosure Statement Form 60

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.

☑ Original Filing ☐ Amended Filing (original filed on//20) Total Pages: 3				
Name: Mercado, Philip D.				
Agency: Cannabis Regulation, Department of Position: Commissioner				
Phone: Email:				
	Assuming Office Annual	Date of nomination: / First day in position: / / / 20_15 through De Last day in office: / / 2	/ 20 <u>16</u> cember 31, 20 <u>15</u>	
I had the following interests	s associated with re	estricted sources during this re	porting period:	
■ 1. REAL PROPERTY — section attached.  Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source.				
■ 2. INVESTMENTS — section attached. Investments (other than real property) co-owned by, purchased from, or sold to a restricted source.				
■ INCOME — section attached.     Income received from a restricted source.				
☐ <b>4. GIFTS</b> — section attached.  Gifts, cumulatively valued at \$50 or more, received from a restricted source.				
■ 5. BOARD POSITIONS — section attached. Positions held on the board of a restricted source.				
		- Or -		
■ 6. NO INTERESTS  I had no interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.				
Certification				
I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form and the information I have provided is true and complete.				
08/19/2019 11:28 AM	i. uno rommana uno	Indian I have provided to	a a carra compressi	
Date		Signature		



Ethics Commission 200 N Spring Street City Hall — 24th Floor Los Angeles, CA 90012 (213) 978-1960 ethics.lacity.org

## Form 60 Section 1 -- Real Property

Name: (Last, First, Middle)  Mercado, Philip D.		
The following interests in real property were leased from or to, co-owned by, purchased from, or sold to a restricted source.		
Name of restricted source: my home		
Address of restricted source:		
Address or assessor's parcel number of real property:		
Interest co-owned/purchased/sold by/leased by or to:  ☑ Me ☐ My spouse/registered domestic partner ☐ My dependent child		
Interest was:   Leased  Co-owned  Purchased (date:// 20)  Sold (date:// 20)		
Nature of interest: 🗵 Ownership/Deed or Trust 🔲 Easement 🔲 Leasehold (years remaining:)		
☐ Other:		
Value of interest: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☒ Over \$1,000,000		
Name of restricted source:		
Address of restricted source:		
Address or assessor's parcel number of real property:		
Interest co-owned/purchased/sold by/leased by or to:  ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child		
Interest was:  Leased Co-owned Purchased (date: / / 20 ) Sold (date: / / 20 )		
Nature of interest:		
Other:		
Value of interest: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000		
Name of restricted source:		
Address of restricted source:		
Address or assessor's parcel number of real property:		
Interest co-owned/purchased/sold by/leased by or to:  ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child		
Interest was:		
Nature of interest:		
☐ Other:		
Value of interest: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000		



Ethics Commission 200 N Spring Street City Hall — 24th Floor Los Angeles, CA 90012 (213) 978-1960 ethics.lacity.org

# Form 60 Section 3 -- Income

LOS ANCELES				
Name: (Last, First, Middle) Mercado, Philip D.				
The following income was received from a restricted source.				
Name of restricted source: southern california permanente medical group	Name of restricted source:			
Address of restricted source:	Address of restricted source:			
Business activity of restricted source: my job	Business activity of restricted source:			
Position title: physician	Position title:			
Income received by:  ☑ Me ☐ My spouse/registered domestic partner ☐ My dependent child	Income received by:  Me My spouse/registered domestic partner My dependent child			
Value of income:  ☐ \$500—\$1,000 ☐ \$1,001—\$10,000 ☐ \$10,001—\$100,000 ☒ Over \$100,000	Value of income:  ☐ \$500—\$1,000 ☐ \$1,001—\$10,000  ☐ \$10,001—\$100,000 ☐ Over \$100,000			
Income was:  ☑ Salary/Commission ☐ Loan repayment	Income was: ☐ Salary/Commission ☐ Loan repayment			
Rental income Sale of	Rental income Sale of (e.g., car, boat, etc.)			
Other:	Other:			
Name of restricted source:	Name of restricted source:			
Address of restricted source:	Address of restricted source:			
Business activity of restricted source:	Business activity of restricted source:			
Position title:	Position title:			
Income received by:  ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child	Income received by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child			
Value of income:  ☐ \$500—\$1,000 ☐ \$1,001—\$10,000  ☐ \$10,001—\$100,000 ☐ Over \$100,000	Value of income:  ☐ \$500—\$1,000 ☐ \$1,001—\$10,000  ☐ \$10,001—\$100,000 ☐ Over \$100,000			
Income was: ☐ Salary/Commission ☐ Loan repayment	Income was: ☐ Salary/Commission ☐ Loan repayment			
Rental income Sale of	Rental income Sale of			

☐ Other:

☐ Other: \_