### REPORT OF THE

# **CHIEF LEGISLATIVE ANALYST**

DATE:

March 16, 2016

TO:

Honorable Members of the Rules, Elections, Intergovernmental Relations, and

Neighborhoods Committee

FROM:

Sharon M. Tso Manager Chief Legislative Analyst Council File No.: 15-0002-S133

Assignment No.: 16-02-0182

SUBJECT:

Resolution (Koretz – Ryu – Huizar) to SUPPORT Los Angeles County

Pharmaceuticals and Sharps Collection and Disposal Stewardship Ordinance

CLA RECOMMENDATION: Adopt Resolution (Koretz – Ryu – Huizar) to include in the City's 2015-16 State Legislative Program SUPPORT for the Los Angeles County Pharmaceuticals and Sharps Collection and Disposal Stewardship Ordinance, as further described in the text of the Resolution.

#### **SUMMARY**

Resolution (Koretz – Ryu – Huizar), introduced on February 26, 2016, states that prescription and non-prescription drugs play a critical role in treating diseases and saving lives. The Resolution states that it is estimated that 200 million pounds of unused or expired prescription drugs are stored in medicine cabinets across America and that this situation provides easy access and an opportunity for the abuse of these medications by others for whom they were not intended. The Resolution further states that it is very important that needles, syringes, lancets, and other medical products are disposed of properly. While the use and disposal of these items is closely regulated in healthcare facilities, there is no regulatory oversight of their use in the home where these items are routinely placed into the trash. Improper disposal practices may result in injuries that can expose others to blood-borne illnesses such as hepatitis B, hepatitis C, and HIV.

Currently pending before the Los Angeles County Board of Supervisors is a proposed Extended Producer Responsibility Ordinance which would require manufacturers and producers to develop and fund convenient collection services for residents in order to protect public health and ensure public safety. According to the Resolution, safe drug and sharps disposal is an important social need that requires a permanent and sustainable solution. The Resolution requests that the City support the Los Angeles County Pharmaceuticals and Sharps Collection and Disposal Stewardship Ordinance.

### **BACKGROUND**

Sharps (needles, syringes, and lancets) and prescription drugs are used by individuals to manage various chronic and acute medical conditions. The Los Angeles County Department of Public Health (DPH) states that improper disposal of sharps can place others at risk of infectious diseases, such as hepatitis and HIV. In addition, the abuse of prescription drugs has become one of the fastest-growing public health concerns in the United States and Los Angeles County. Opioids are some of the most commonly-abused substances. On March 6, 2016, the New York Times reported that overdoses of heroin, an injectable opioid, are an increasing cause of hospitalization and death throughout the United States. The New York Times notes that heroin users are increasingly using the drug in public places and improperly discarding used syringes.

On August 11, 2015, the Los Angeles County Board of Supervisors (Board) instructed County staff to draft an ordinance which requires manufacturers and producers of prescription drugs and sharps to develop product stewardship take-back programs to collect and dispose of unused/unwanted pharmaceuticals and sharps waste from County residents. The Board also instructed staff to conduct outreach activities to consumers, the pharmaceuticals industry, and others relative to the proposed ordinance.

The Los Angeles County Department of Public Health (DPH) states that the purpose of the proposed ordinance is to ensure that all County residents have access to safe, convenient, and sustainably financed options for properly disposing of unwanted pharmaceuticals and sharps waste. Under the proposed ordinance, manufacturers and producers would develop and fund convenient collection services of sharps and unused drugs for Los Angeles County residents. In addition, cities within Los Angeles County will be allowed to approve a resolution in order to opt-in to the program. Organizations and agencies such as the League of California Cities Los Angeles County Division, Blue Shield of California, the California Department of Resources Recycling and Recovery, and various cities in Los Angeles County support the proposed ordinance. The ordinance is opposed by the Pharmaceutical Research and Manufacturers of America, a national trade association.

The Los Angeles County Board of Supervisors will consider the ordinance on March 29, 2016.

The Department on Disability supports the ordinance and proposed program as currently presented.

DEPARTMENTS NOTIFIED

Disability Police Department Bureau of Sanitation

> Bruin Randol Analyst

Resolution

Text of proposed Ordinance

WHEREAS, any official position of the City of Los Angeles with respect to legislation. rules, regulations or policies proposed to or pending before a local, state or federal governmental body or agency must have first been adopted in the form of a Resolution by the City Council with the concurrence of the Mayor; and

WHEREAS, prescription and non-prescription drugs play a critical role in treating diseases and saving lives; however, unused and expired medications must be properly discarded so that they do not pose a risk to others; an estimated 200 million pounds of unused or expired prescription drugs are stored in medicine cabinets across America; and this situation provides easy access and an opportunity for the abuse of these medications by others for whom they were not intended; and

WHEREAS, in Los Angeles County from 2000 to 2009, there were 8,265 drug-related deaths; 61 percent of those deaths involved a commonly abused prescription or over-thecounter drug; nearly 75 percent of residents who misuse prescription drugs obtain them from relatives or friends; deaths and illnesses caused by the abuse of prescription opioids are of national concern; and the number of deaths each year from prescription opioids is now greater than the deaths from heroin, cocaine, and benzodiazepine drugs combined; and

WHEREAS, it is also very important that needles, syringes, lancets, and other medical products are disposed of properly; while the use and disposal of these items is closely regulated in healthcare facilities, there is no regulatory oversight of their use in the home where these items are routinely placed into the trash; improper disposal practices may result in needlestick and other injuries that can expose others to blood-borne illnesses such as hepatitis B, hepatitis C, and HIV; and

WHEREAS, many residents are unsure of safe disposal methods, and proper disposal services are limited; these situations represent a significant public health problem; but there is an opportunity for Los Angeles County to implement additional disposal options that will protect the health and safety of our residents; and

WHEREAS, currently pending before the Los Angeles County Board of Supervisors is a proposed Extended Producer Responsibility ordinance which would require manufacturers and producers to develop and fund convenient collection services for residents in order to protect public health and assure public safety; and

WHEREAS, safe drug and sharps disposal is an important social need that requires a permanent and sustainable solution with the participation of producers of drugs, needles. syringes and other medical products as well as stakeholders in the community;

NOW, THEREFORE, BE IT RESOLVED, with the concurrence of the Mayor, that by the adoption of this Resolution, the City of Los Angeles hereby includes in its 2015 - 2016 State Legislative Program support for the Los Angeles County Pharmaceuticals and Sharps Collection and Disposal Stewardship Ordinance, as further described in the text of this Resolution.

PRESENTED BY:

Councilmember, 5th District

Councilmember, 4th District

Fil 2 4 213

SECONDED BY:

	LOS ANGELES COUNTY PHARMACEUTICALS AND SHARPS
	COLLECTION AND DISPOSAL STEWARDSHIP ORDINANCE
Д	on ordinance amending Title 11 – Health and Safety of the Los Angeles County Code,
relating	to requirements for the collection and disposal of unwanted drugs and sharps.
Т	he Board of Supervisors of the County of Los Angeles ordains as follows:
C	Chapter 11 is hereby added as follows:
C	Chapter 11 <u>- Stewardship Program for Collection and Disposal of Unwanted</u>
Covere	d Drugs and Unwanted Sharps.
1	1010 Title.
1	11015 Purpose
1	11020 Definitions.
1	11030 Stewardship Plans – Participation.
1	11040 Stewardship Plans – Components.
. 1	11050 Stewardship Plans – Collection of Covered Drugs and Sharps.
1	11060 Stewardship Plans – Disposal of Covered Drugs and Sharps.
1	11070 Stewardship Plans – Administrative and Operational Costs.
1	11080 Stewardship Plans – Reporting Requirements.
1	11090 Stewardship Plans – Identification of Responsible Stewards of Covered
Drugs a	and Sharps.
,	11100 Stewardship Plans – Review of Proposed Plans.
•	11110 Stewardship Plans – Prior Approval for Change.
	11120 Stewardship Plans – Enforcement and Penalties.
	11130 Stewardship Plans – Regulations, Guidelines, and Reports.
•	11140 Plan Review and Annual Operation Fees.
HOA.1870	224.1

1	11150 Information Required at Point of Sale.
2	11160 Stewardship Plans – Promotion, Outreach and Education.
3	11170 Undertaking for the General Welfare.
4	11180 Compliance With Federal, State, and Local Laws.
5	11190 Severability.
6	
7	11010 TITLE.
8	This Chapter may be cited as the Pharmaceuticals and Sharps Collection and Disposal
9	Stewardship Ordinance.
10	
11	11015 PURPOSE.
12	The purpose of this Chapter is to establish a Pharmaceutical and Sharps Stewardship
13	Program that: (1) allows for the safe, convenient and sustainable collection and disposal of
14	unwanted Drugs and Sharps by County residents, and (2) protects, maintains, restores and/or
15	enhances the environment and its natural resources. Said Stewardship Program shall be
16	designed, operated and funded by the Pharmaceutical and Sharps industries with oversight
17	by the County Department of Public Health.
18	This Chapter is intended to supplement the provisions of federal and state law by
19	prescribing higher standards of sanitation, health and safety where not preempted by federal
20	or state law. Whenever any technical words or phrases are not defined herein, but are
21	defined under federal or state law, such definitions are incorporated into this Chapter and
22	shall be deemed to apply as though set forth herein in full.
23	
24	11020 DEFINITIONS.
25	

HOA.1870224.1

1/5/2016

For	purposes	of this	Chapter.	the	following	definitions	shall	apply
	P ~ . P ~ ~ ~ ~		•		10110111119	~~!!!!!	0	~pp.,,

"Collection Site" shall mean a location where one or more receptacles are provided pursuant to a Stewardship Plan for County residents to safely and securely deposit Unwanted Covered Drugs and/or Unwanted Sharps.

"Collector" shall mean a Person who hosts one or more receptacles for the collection of Unwanted Covered Drugs and/or Unwanted Sharps pursuant to this Chapter.

"Contact Information" shall mean a business phone number, facsimile phone number, mailing address, and electronic mail address,

"Controlled Substances" for purposes of this Section shall mean any substance listed under the California Health and Safety Code Sections 11053 through 11058 or Title 21 of the United States Code Sections 812 and 813 or any successor legislation.

"County" shall mean the County of Los Angeles.

"Covered Drug" shall mean a Drug in any form, including a controlled substance, that is sold, offered for sale to, or otherwise distributed for use by, one or more consumers in the Service Area, including prescription, nonprescription, brand name, and generic. "Covered Drug" shall include controlled substances and, notwithstanding the previous sentence, shall not include: (1) vitamins or supplements; (2) herbal-based remedies and homeopathic drugs, products, or remedies; (3) cosmetics, shampoos, sunscreens, toothpaste, lip balm, antiperspirants, or other personal care products that are regulated as both cosmetics and nonprescription drugs under the federal Food, Drug, and Cosmetic Act or any successor legislation; (4) Drugs for which Responsible Stewards provide a pharmaceutical product stewardship or take-back program as part of a federal Food and Drug Administration-managed risk evaluation and mitigation strategy (Title 21 U.S.C. Sec. 355-1); and (5) Drugs that are biological products as defined by 21 C.F.R. 600.3(h) as it exists on the effective date

1	of this Chapter if the Responsible Steward already provides a pharmaceutical product
2	stewardship or take-back program.
3	"Department" shall mean the Department of Public Health.
4	"Director" shall mean the Director of the Department of Public Health or his or her
5	designee.
6	"Drug" shall mean: (1) any article recognized in the official United States
7	Pharmacopoeia - National Formulary, the official homeopathic pharmacopoeia of the United
8	States or any supplement of the formulary or those pharmacopoeias as published by the U.S.
9	Pharmacopeial Convention and the Homeopathic Pharmacopoeia Convention of the United
10	States; (2) any substance intended for use in the diagnosis, cure, mitigation, treatment, or
11	prevention of disease in humans or other animals; (3) any substance, other than food,
12	intended to affect the structure or any function of the body of humans or other animals; or (4)
13	any substance intended for use as a component of any substance specified in (1), (2), or (3)
14	of this definition, but not a device or a component, part or accessory of a device.
15	"FDA" shall mean the United States Food and Drug Administration.
16	"Hazardous Waste Disposal Facility" shall have the meaning set forth by the United
17	States Environmental Protection Agency under Title 40 Code of Federal Regulations, Parts
18	264 and 265, or any successor legislation.
19	"Manufacture" shall mean the production, preparation, propagation, compounding or
20	processing of a Drug or other substance or device, but shall not include the preparation,
21	compounding, packaging, or labeling of such a Drug, substance or device by a practitioner
22	incidental to the administration or dispensing of a Drug, substance or device in the course of

23

25

his or her professional practice.

2	and/or Unwanted Sharps from County residents utilizing Mailers for shipment to a Person that
3	will dispose of them in accordance with the Stewardship Plan.
4	"Mailer" shall mean a prepaid, preaddressed, tamper-resistant envelope or container
5	used for mailing Unwanted Covered Drugs and/or Unwanted Sharps. Any Mailer used for
6	Unwanted Sharps must be FDA-compliant.
7	"Nonprescription Drug" shall mean a Drug that may be lawfully sold without a
8	prescription.
9	"Participating City" shall mean an incorporated city within the County that adopts the
10	requirements of this Chapter into its respective municipal code.
11	"Person" shall mean a human being, firm, sole proprietorship, corporation, limited
12	liability company, general partnership, limited partnership, limited liability partnership,
13	association, cooperative, or other entity of any kind or nature.
14	"Pharmaceutical" shall have the same meaning as Drug.
15	"Pharmacy" shall mean an area, place, or premises licensed by the state of California
16	Board of Pharmacy in which the profession of pharmacy is practiced and where prescriptions
17	are dispensed.
18	"Potential Authorized Collector" shall mean any entity that is registered, or that may
19	apply to register, with the U.S. Drug Enforcement Administration (DEA) for the collection of
20	Drugs, such as a manufacturer, distributor, reverse distributor, narcotic treatment program,
21	retail Pharmacy, or a hospital/clinic with an on-site Pharmacy.
22	"Repackager" shall mean a Person who owns or operates an establishment that
23	repacks and/or relabels a product or package for further sale or distribution.
24	"Responsible Steward" shall mean:
25	

"Mail-Back Services" shall mean a collection method for Unwanted Covered Drugs

HOA.1870224.1

2	Drug or Sharps; or
3	(b) If the Person described in (a) is beyond the County's jurisdiction, the first
4	Person who repackages or distributes the Covered Drug or Sharps in or into the
5	County, including but not limited to a Wholesaler or Repackager; or
6	(c) if the Persons described in (a) and (b) are beyond the County's jurisdiction,
7	the first Person who sells or offers for sale the Covered Drug or Sharps in or into the
8	County.
9	"Service Area" shall mean the unincorporated County and all Participating Cities.
10	"Sharp" shall mean a needle, safety engineered needle, lancet or other similar
11	instrument that is designed to puncture the skin of individuals or animals for medical purposes
12	and that is sold, offered for sale, or otherwise distributed for use by one or more consumers in
13	the County and may include anything affixed to the instrument, such as a syringe.
14	"Stewardship Organization" shall mean an organization designated by a Responsible
15	Steward or group of Responsible Stewards to act as its agent to develop and implement a
16	Stewardship Plan.
17	"Stewardship Plan" or "Plan" shall mean a plan approved by the Director for the
18	collection, transportation, and disposal of Unwanted Covered Drugs and/or Unwanted Sharps
19	pursuant to this Chapter that is financed, developed, and implemented by a Responsible
20	Steward operating individually, jointly with other Responsible Stewards, or through a
21	Stewardship Organization.
22	"Stewardship Program" or "Program" shall mean the County program described in this
23	Chapter.
24	
25	
	HOA.1870224.1 6

(a) The Person who Manufactures or causes to be Manufactured a Covered

1

1/5/2016

"Unincorporated Community" shall mean a community located within the
unincorporated area of the County, as identified by the County's Chief Executive Officer on its
official website.

"Unwanted Covered Drug" shall mean any Covered Drug that the consumer wishes to discard. This shall exclude Covered Drugs disposed of by commercial and institutional sources including, but not limited to, hospitals, clinics, and Pharmacies.

"Unwanted Sharps" shall mean any Sharp or Sharps that the consumer wishes to discard. This shall exclude Sharps disposed of by commercial and institutional sources including, but not limited to, hospitals, clinics, and Pharmacies.

"Wholesaler" shall mean a Person who purchases Covered Drugs and/or Sharps for resale and distribution to Persons other than consumers.

## 11.\_\_.030 STEWARDSHIP PLANS - PARTICIPATION.

- (a) Each Responsible Steward must notify the Director in writing of the Responsible Steward's intent to operate or participate in a Stewardship Plan for the collection, transportation, and disposal of the Covered Drug or Sharps, respectively, within six months of the effective date of this Chapter or six months after the Covered Drug or Sharps are first sold into or offered for sale in the County, whichever is later.
- (b) Each Responsible Steward shall participate in such a Stewardship Plan approved by the Director either by: (1) operating, individually or jointly with other Responsible Stewards; or (2) entering into an agreement with a Stewardship Organization to operate, on the Responsible Steward's behalf.
- (c) Each Stewardship Plan must be approved by the Director before any collection of Unwanted Covered Drugs and/or Unwanted Sharps may commence thereunder. Proposed

1	changes to an approved Plan shall be subject to the requirements set forth in Section
2	11110.
3	(d) Each Responsible Steward operating individually, jointly with other Responsible
4	Stewards, or through a Stewardship Organization shall:
5	(1) Within six months of the effective date of this Chapter or six months after the
6	Covered Drug or Sharps are first sold or offered for sale in or into the County, whichever is
7	later, identify to the Director in writing an individual authorized to be the official point of contact
8	for the Stewardship Plan and the individual's Contact Information. Said Contact Information
9	shall be kept current at all times. Director shall be notified of any change in such Contact
10	Information within ten (10) business days;
11	(2) Within six months of the effective date of this Chapter or six months after the
12	Covered Drug or Sharps are first sold or offered for sale in the County, whichever is later, and
13	annually thereafter, notify the following Persons of the opportunity to participate in the
14	Stewardship Plan by serving as Collectors, and provide the Director with copies of all such
15	notifications:
16	(A) All retail Pharmacies, hospitals/clinics with on-site Pharmacies, and
17	other Potential Authorized Collectors;
18	(B) Persons other than Potential Authorized Collectors, such as retail
19	establishments, that could potentially host Collection Sites for Sharps; and
20	(C) All law enforcement agencies in the County.
21	(3) Within nine months of the effective date of this Chapter or nine months after
22	the Covered Drug or Sharps are first sold or offered for sale in or into the County, whichever is
23	later, submit a proposed Stewardship Plan as described in Section 11040 to the Director
24	for review;
25	
	HOA.1870224.1 8

- (4) Within three months of the Director's approval of the Stewardship Plan, the Stewardship Plan shall be implemented in accordance with this Chapter;
- (5) At least every three years after the Stewardship Plan commences operations, submit an updated Stewardship Plan to the Director explaining any substantive changes to the Stewardship Plan. The updated Stewardship Plan shall be accompanied by the plan review fee in accordance with Section 11.\_\_.140 of this Chapter. The Director shall review updated Stewardship Plans using the process described in Section 11.\_\_.100.
- (e) A Responsible Steward, operating individually, jointly with other Responsible Stewards, or through a Stewardship Organization, may enter into agreements with other Stewardship Organizations, service providers, or other Persons as needed to carry out its Stewardship Plan in whole or in part.
- (f) Should the Responsible Steward undergo any change in ownership or control, it must notify the Director within 30 days of such change, and should ownership or control be transferred to a company not conducting business in the County, the Responsible Steward shall provide the name and Contact Information of the first Person who causes the Covered Drug or Sharps to be brought in or into the County for repackaging, distribution, or sale.
- (g) Each Responsible Steward, operating individually, jointly with other Responsible Stewards, or through a Stewardship Organization, shall commence within thirty (30) calendar days good faith negotiations with any Responsible Steward expressing an interest to participate in its Stewardship Plan. For every Responsible Steward not accepted as a participant in the Plan, the Responsible Steward, group of Responsible Stewards, or Stewardship Organization rejecting the Responsible Steward expressing an interest to participate shall notify the Director in writing within thirty (30) calendar days of the rejection and set forth the reasons for such decision.

(h) Any Person who is not a Responsible Steward, such as a Person providir	าg
Covered Drugs or Sharps free of charge, may choose to participate in the Program.	Such
Person may operate individually, jointly with a Responsible Steward or group of Responsible	ponsible
Stewards, or through a Stewardship Organization. Any Responsible Steward, group	of
Responsible Stewards, or Stewardship Organization approached by such Person for	· potential
collaboration must in good faith consider allowing such Person to participate in its	
Stewardship Plan. Should such Person participate in the Program, such Person sha	ıll be
subject to the same requirements under this Chapter as any Responsible Steward, g	group of
Responsible Stewards, or Stewardship Organization. If such Person no longer wisher	es to
participate in the Program, such Person shall notify the Director of same within thirty	(30)
calendar days.	

- (i) After the first full year of implementation of a Stewardship Plan, a Responsible Steward may notify the Director in writing of its intent to submit a new Stewardship Plan. Within three months of such notification, the Responsible Steward, operating individually, jointly with other Responsible Stewards, or through a Stewardship Organization, shall submit a proposed Stewardship Plan as described under Section 11.\_\_.040 to the Director for review. The new Stewardship Plan shall be accompanied by the plan review fee in accordance with Section 11.\_\_.140 of this Chapter. The Director shall review new Stewardship Plans using the process described in Section 11.\_\_.100.
- (j) Should a Responsible Steward, operating individually, jointly with other Responsible Stewards, or through a Stewardship Organization, become aware of any Covered Drug or Sharps being sold or offered for sale in or into the County whose Responsible Steward is neither operating nor participating in a Stewardship Plan, the Responsible Steward becoming

1	aware of this shall notify the Director of same and the basis for such belief within thirty (30)
2	calendar days.

- (k) The Director may, on a case-by-case basis, approve in writing requests for time extensions related to submission dates and deadlines in this Section 11.\_\_.030.
- (I) The Director may audit the records of a Responsible Steward, group of Responsible Stewards, or Stewardship Organization related to a Stewardship Plan or request that the Responsible Steward, group of Responsible Stewards, or Stewardship Organization arrange for the Director to inspect at reasonable times the facilities, vehicles, and equipment used in carrying out the Stewardship Plan.

### 11.\_\_.040 STEWARDSHIP PLANS - COMPONENTS.

Each Stewardship Plan, which must be submitted and reviewed according to Section 11.\_\_.110, shall include:

- (a) The name of each Responsible Steward participating in the Stewardship Plan; the name of each Covered Drug and type of Sharp the Responsible Steward either Manufactures or purchases for repackaging, distribution, sale or offer of sale; and the name and Contact Information of an individual authorized to be the official point of contact for each Responsible Steward and to whom the Director may direct all inquiries regarding the Responsible Steward's compliance with the requirements of this Chapter;
- (b) A description of the proposed collection system designed to provide safe, convenient, and ongoing collection services for Unwanted Covered Drugs and Unwanted Sharps from County residents within the Service Area in compliance with the requirements set forth in Section 11.\_\_.050. The description shall include but not be limited to a list of all collection methods and participating Collectors; a list of addresses for the Collection Sites; a

- description of how any periodic collection events will be scheduled and where they will be located; and a description of how any Mail-Back Services will be provided to County residents in the Service Area, including a physical sample of the Mailers to be used. The description of the collection services shall include a list of Potential Authorized Collectors, law enforcement agencies, and other Persons contacted by the Responsible Steward pursuant to Section 11.\_\_.030, and a list of all who expressed an interest in serving as Collectors in the Stewardship Plan;
  - (c) A description of the proposed handling and disposal system, including the name and Contact Information for each Collector, each Person retained to transport the collected items, each Hazardous Waste Disposal Facility to be used by the Stewardship Plan in accordance with Sections 11.\_\_.050 and 11.\_\_.060, and any other Person retained to implement any portion of the Stewardship Plan;
  - (d) A description of the policies and procedures to be followed by Persons handling Unwanted Covered Drugs and Unwanted Sharps collected under the Stewardship Plan, including a description of how each Collector, each Person retained to transport the collected items, Hazardous Waste Disposal Facilities, and any other Person retained to implement any portion of the Plan will ensure that the collected items are safely and securely tracked from collection through final disposal, and how the Responsible Stewards participating in the Stewardship Plan will ensure that all Persons participating in, operating, and otherwise implementing the Stewardship Plan will comply with all applicable federal, state, and local laws and regulations, including but not limited to those of the United States Drug Enforcement Administration and the State of California Board of Pharmacy;
  - (e) A certification that any patient information appearing on Drug and Sharp packaging will be kept secure and promptly destroyed;

2	11160, including but not limited to a copy of instructions, signage, and promotional
3	materials for residents, as well as instructions and signage, as may be needed, for Collectors,
4	Persons retained to transport collected items, Hazardous Waste Disposal Facilities, and all
5	other Persons implementing any portion of the Plan;
6	(g) Proposed short-term and long-term plans for frequency of collection from Collection
7	Sites, public education, and promotion of the Plan; and
8	(h) A description of how the Stewardship Plan will consider: (1) use of existing
9	providers of waste pharmaceutical services; (2) separating Covered Drugs and Sharps from
10	packaging to the extent possible to reduce transportation and disposal costs; and (3) recycling
11	of Drug and Sharp packaging to the extent feasible.
12	
13	11050 STEWARDSHIP PLANS - COLLECTION OF COVERED DRUGS AND SHARPS.
14	(a) This Chapter does not require any Person to serve as a Collector in a Stewardship
15	Plan. A Person may offer to serve as a Collector with or without compensation by a
16	Responsible Steward, group of Responsible Stewards, or Stewardship Organization.
17	Responsible Stewards are encouraged to host Collection Sites where feasible.
18	(b) The collection system for each Stewardship Plan shall:
19	(1) Provide ongoing, reasonably convenient and equitable access for all County
20	residents in the Service Area regardless of the racial, cultural, or socioeconomic
21	composition of the neighborhoods within which the Collection Sites are located. At a
22	minimum, the following requirements shall be met:
23	A. Population Density: In each Unincorporated Community and in each
24	Participating City with at least one Potential Authorized Collector, each
25	

(f) A description of the public education and promotion strategy required in Section

1/5/2016

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24

Stewardship Plan shall provide at least one Collection Site for Unwanted Covered Drugs, at least one Collection Site for Unwanted Sharps, and for every 30,000 County residents at least one additional Collection Site for Unwanted Covered Drugs and at least one additional Collection Site for Unwanted Sharps.

- B. Travel Distance: Collection Sites shall be geographically distributed so as to ensure that every resident within the Service Area is within 2.5 miles of a Collection Site for Unwanted Covered Drugs and a Collection Site for Unwanted Sharps to the greatest extent feasible; and
- C. Sites per Supervisorial District: In no event shall there be fewer than 10 Collection Sites for Unwanted Covered Drugs or fewer than 10 Collection Sites for Unwanted Sharps in each County Supervisorial District;
- (2) In areas where the minimum requirements set forth in subsection (b)(1) are not met, the Stewardship Plan shall set forth the reasons for such failure and provide for monthly collection events and/or Mailers to be distributed to consumers in those areas;
- (3) Be safe and secure, including requiring the prompt destruction of patient information on any and all packaging;
- (4) Include a mechanism whereby Responsible Stewards of Sharps participating in the Plan provide for distribution of FDA-compliant Sharps containers designed for the safe handling of Sharps to the consumer free of charge, preferably at the point of sale of the injectable Drug or at the time the consumer otherwise receives the Sharps for usage;

24

•		

- (5) Provide FDA-compliant Sharps collection receptacles to any hosts of Collection Sites for Sharps. A Sharp may be refused for collection if it is not placed within a FDA-compliant Sharps container designed for the safe handling of Sharps;
- (6) Commence good faith negotiations with any Person expressing an interest to serve as a Collector within 30 calendar days of the Person's expression of such interest. For every Person not accepted as a Collector, the Responsible Steward, group of Responsible Stewards, or Stewardship Organization shall submit a written explanation to the Director within 30 calendar days of the rejection setting forth the reasons for such decision; and
- (7) Provide Mailers and Mail-Back Services, free of charge, to residents in the Service Area upon request through the Stewardship Plan's 24-hour, toll-free phone number and website. Assistance through the toll-free phone number and website shall be in English, Spanish, and other languages as determined by the Department.
- (c) Collection Sites for Unwanted Covered Drugs shall accept all Covered Drugs and Collection Sites for Unwanted Sharps shall accept all Sharps. All Collection Sites shall be accessible by County residents at least during the hours that the Collector is normally open for business to the public. Collection Sites shall be emptied and otherwise serviced as often as necessary to avoid creating hazardous conditions, including reaching capacity. Collection Sites shall utilize secure collection receptacles in compliance with all applicable federal, state, and local laws, including but not limited to requirements of the DEA and the State of California Board of Pharmacy.
- (d) Each Responsible Steward, operating individually, jointly with other Responsible Stewards, or through a Stewardship Organization, shall ensure that all Collection Sites prominently display a 24-hour, toll-free phone number and website for the Stewardship Plan.

Said toll-free phone number and website shall be a means by which any Person can provide feedback on collection activities, including but not limited to the need to empty the receptacles more frequently or reporting a hazardous condition observed at or near the Collection Sites. Each Stewardship Plan shall provide for the immediate abatement of any hazardous condition arising from or related to operations performed under the Stewardship Plan and shall notify

the Director within 24 hours of notice of same.

### 11.\_\_.060 STEWARDSHIP PLANS - DISPOSAL OF COVERED DRUGS AND SHARPS.

- (a) Covered Drugs collected under a Stewardship Plan must be disposed of at a permitted Hazardous Waste Disposal Facility.
- (b) Sharps collected under a Stewardship Plan must be disposed of in accordance with California Health and Safety Code Section 118286 or any successor legislation.
- (c) The Director may grant approval for a Stewardship Plan to dispose of some or all collected Covered Drugs at a permitted large municipal waste combustor, as defined by the United States Environmental Protection Agency under Title 40 Code of Federal Regulations, Parts 60 and 62, or any successor legislation if the Director deems the use of a Hazardous Waste Disposal Facility described under subsection (a) to be infeasible for the Stewardship Plan based on cost, logistics, or other considerations.
- (d) A Stewardship Plan may petition the Director for approval to use final disposal technologies that provide superior environmental and human health protection than provided by the disposal technologies in subsections (a) through (c), or equivalent protection at lesser cost. The proposed technology must provide equivalent or superior protection in each of the following areas: (1) monitoring of any emissions or waste; (2) worker health and safety; (3) reduction or elimination of air, water or land emissions contributing to persistent,

1	bioaccumulative, and toxic pollution; and (4) overall impact on the environment and human
2	health.
3	
4	11070 STEWARDSHIP PLANS – ADMINISTRATIVE AND OPERATIONAL COSTS.
5	(a) Each Responsible Steward, group of Responsible Stewards, or Stewardship
6	Organization participating in a Stewardship Plan shall prepare and implement its Stewardship
7	Plan as required by this ordinance at its own cost and expense.
8	(b) No Responsible Steward, group of Responsible Stewards, Stewardship
9	Organization, or any other Person may charge a point-of-sale fee to consumers to recoup the
10	costs of any Stewardship Plan, nor may they charge a specific point-of-sale collection fee at
11	the time that Covered Drugs and/or Sharps are collected.
12	(c) Responsible Stewards are not required to pay for costs of staff time at Collection
13	Sites provided by Collectors volunteering to participate in a Stewardship Plan.
14	
15	11080 STEWARDSHIP PLANS - REPORTING REQUIREMENTS.
16	(a) Within six months after the end of the first 12-month period of operation, and
17	annually thereafter, each Responsible Steward, group of Responsible Stewards, and
18	Stewardship Organization shall submit a report to the Director on behalf of participating
19	Responsible Stewards describing their plan's activities during the previous reporting period.
20	The report must include:
21	(1) A list of Responsible Stewards participating in the Stewardship Plan;
22	(2) The amount, by weight, of Covered Drugs and the amount, by weight, of
23	Sharps collected, including the amount by weight from each collection method used;
24	(3) A list of Collection Sites;
25	
	HOA.1870224.1 17

1	(4) The number of Mailers provided to County residents and the method and
2	location of distribution;
3	(5) The number of Sharps containers provided to County residents and the
4	method and location of distribution;
5	(6) The dates and locations of collection events held;
6	(7) The transporters used and the disposal facility or facilities used for all
7	Covered Drugs and Sharps;
8	(8) Whether any safety or security problems occurred during collection,
9	transportation or disposal of Unwanted Covered Drugs and Unwanted Sharps during the
10	reporting period and, if so, what changes have or will be made to policies, procedures or
11	tracking mechanisms to alleviate the problem and to improve safety and security in the future;
12	(9) A description of the public education, outreach and evaluation activities
13	implemented during the reporting period;
14	(10) A description of how collected packaging was recycled to the extent
15	feasible, including the recycling facility or facilities used, and the amount of packaging
16	collected by weight and percent recycled;
17	(11) A summary of the Stewardship Plan's goals, the degree of success in
18	meeting those goals in the past year, and, if any goals have not been met, what effort will be
19	made to achieve the goals in the next year; and
20	(12) The total expenditures of the Stewardship Plan during the reporting period
21	(13) An Executive Summary.
22	(b) Each Responsible Steward, group of Responsible Stewards, and Stewardship
23	Organization shall provide on a quarterly basis, a list of Responsible Stewards participating in
24	
25	40
	HOA 1870224 1 18

1/5/2016

1	the Stewardship Plan. Any change in the official point of contact for the Plan must be
2	provided to the Department within 30 days of the change.
3	(c) The Director shall make reports submitted under this Chapter available to the
4	public.
5	(d) For the purposes of this Section 11080, "reporting period" means the period
6	from January 1 through December 31 of the same calendar year, unless otherwise specified
7	by the Responsible Steward, group of Responsible Stewards, and Stewardship Organization
8	to the Director.
9	
10	11090 STEWARDSHIP PLANS - IDENTIFICATION OF RESPONSIBLE STEWARDS
11	OF COVERED DRUGS AND SHARPS.
12	Any Person receiving a letter of inquiry from the Director regarding whether or not it is
13	Responsible Steward under this Chapter must respond in writing within 60 days. If such
14	Person does not believe it is a Responsible Steward under this Chapter, it must state the
15	basis for such belief. It must also provide a list of all Covered Drugs and Sharps it
16	repackages, distributes, sells, or offers for sale within the County, if any, and identify the name
17	and Contact Information of the Person(s) from whom it acquired said Covered Drugs or
18	Sharps.
19	
20	11100 STEWARDSHIP PLANS - REVIEW OF PROPOSED PLANS.
21	(a) By nine months after the effective date of this Chapter, each Responsible Steward
22	group of Responsible Stewards, or Stewardship Organization shall submit its proposed
23	Stewardship Plan to the Director for review, accompanied by the plan review fee in

accordance with Section 11.\_\_.140 of this Chapter. The Director may upon request provide

19

HOA.1870224.1

24

1	information, suggestions, and technical assistance about the requirements of this Chapter to
2	assist with the development of a proposed Stewardship Plan.
3	(b) The Director shall review the proposed Stewardship Plan and determine whether

- (b) The Director shall review the proposed Stewardship Plan and determine whether it meets the requirements of this Chapter.
- (c) After the review under subsection (b) and within 90 days after receipt of the proposed Stewardship Plan, the Director shall either approve or reject the proposed Stewardship Plan in writing and, if rejected, provide reasons for the rejection.
- (d) If the Director rejects a proposed Stewardship Plan, a Responsible Steward, group of Responsible Stewards, or Stewardship Organization must submit a revised Stewardship Plan to the Director within 60 days after receiving written notice of the rejection. The Director shall review and approve or reject a revised Stewardship Plan as provided under subsections (b) and (c).
- (e) If the Director rejects a revised Stewardship Plan, or any subsequently revised plan, the Director may deem the Responsible Steward, group of Responsible Stewards, or Stewardship Organization out of compliance with this Chapter and subject to the enforcement provisions in this Chapter.
- (f) The Department, at the sole discretion of the Director, may establish a technical advisory committee to assist the Department in reviewing Stewardship Plans or otherwise assisting in the implementation of this ordinance.
- (g) The Director shall make all proposed and approved Stewardship Plans submitted under this Chapter available to the public for review and comment.

11.\_\_.110 STEWARDSHIP PLANS - PRIOR APPROVAL FOR CHANGE.

HOA.1870224.1

(a) Proposed changes to an approved Stewardship Plan that substantively alter plan
operations, including, but not limited to, changes to participating Responsible Stewards,
Collectors, collection methods, Hazardous Waste Disposal Facilities, how to achieve the
service convenience goal, policies and procedures for handling Unwanted Covered Drugs and
Unwanted Sharps, or education and promotion methods, must be approved in writing by the
Director before the changes are implemented.
(h) A Pagnangible Stoward group of Pagnangible Stowards or Stowardship

- (b) A Responsible Steward, group of Responsible Stewards, or Stewardship

  Organization shall submit to the Director any proposed change to a Stewardship Plan in

  writing at least 30 days before the change is scheduled to take effect. Any such submittal
  shall be accompanied by the review fee in accordance with Section 11. .140 of this Chapter.
- (c) A Responsible Steward, group of Responsible Stewards, or Stewardship Organization shall notify the Director at least 15 days before implementing any changes to Collection Site locations, methods for scheduling and locating periodic collection events, or methods for distributing Mailers, that do not substantively alter achievement of the service convenience goal under Section 11.\_\_.050 of this Chapter, or other changes that do not substantively alter plan operations under subsection (a).
- (d) A Responsible Steward, group of Responsible Stewards, or Stewardship

  Organization may request an advance determination from the Director whether a proposed change would be deemed to substantively alter plan operations.

# 11.\_\_.120 STEWARDSHIP PLANS - ENFORCEMENT AND PENALTIES.

- (a) The Director shall administer the penalty provisions of this Chapter.
- (b) If the Director determines that any Person has violated any provision of this Chapter or a regulation adopted pursuant to this Chapter, the Director shall send a written

- warning, as well as a copy of this Chapter and any regulations adopted pursuant to this Chapter, to the Person or Persons who violated it. The Person or Persons shall have 45 days after the date of mailing of the warning to come into compliance and correct all violations.
- (c) If the Person or Persons fail to come into compliance or correct all violations, the Director may impose administrative fines for violations of this Chapter or of any regulation adopted pursuant to this Chapter, Los Angeles County Code, Title 1, Chapter 1.25, as amended, is hereby incorporated in its entirety and shall govern the imposition, enforcement, collection, and review of administrative citations issued to enforce this Chapter or any rule or regulation adopted pursuant to this Chapter. Each day shall constitute a separate violation for these purposes.
- (d) County Counsel, the District Attorney, and any applicable City Attorney may bring a civil action to enjoin violations of or compel compliance with any requirement of this Chapter or any rule or regulation adopted pursuant to this Chapter, as well as for payment of civil penalties and any other appropriate remedy. The court shall award reasonable attorney's fees and costs to County Counsel, the District Attorney, and any applicable City Attorney.
- (e) Any Person who knowingly and willfully violates the requirements of this Chapter or any rule or regulation adopted pursuant to this Chapter is guilty of a misdemeanor and upon conviction thereof is punishable by a fine of not less than fifty dollars (\$50) and not more than one thousand (\$1,000) for each day per violation, or by imprisonment for a period not to exceed six months, or by both such fine and imprisonment.
- (f) Any Person in violation of this Chapter or any rule or regulation adopted pursuant to this Chapter shall be liable to the County for a civil penalty in an amount not to exceed one thousand dollars (\$1,000) per day per violation. Each day in which the violation continues shall constitute a separate violation. Civil penalties shall not be assessed pursuant to this

HOA.1870224.1

- subsection (f) for the same violations for which the Director assessed an administrative penalty pursuant to subsection (c).
- (g) In determining the appropriate penalties, the court or the Director shall consider the extent of harm caused by the violation, the nature and persistence of the violation, the frequency of past violations, any action taken to mitigate the violation, and the financial burden to the violator.
- (h) The Director may exercise reasonable discretion to waive strict compliance with the requirements of this Chapter that apply to Responsible Stewards in order to achieve the objectives of this Chapter.

### 11.\_\_.130 STEWARDSHIP PLANS - REGULATIONS, GUIDELINES, AND REPORTS.

- (a) The Director may adopt regulations and guidelines necessary to implement, administer, and enforce this Chapter.
- (b) The Director may work with each Responsible Steward, group of Responsible Stewards, and Stewardship Organization as needed, but no less than annually, to define goals and performance, including but not limited to collection amounts, education, and promotion for a Stewardship Plan.
- (c) The Director shall report biennially to the Board of Supervisors concerning the status of all Stewardship Plans and recommendations for changes to this Chapter. The biennial report may also include a summary of available data on indicators and trends of pharmaceutical pollution, abuse, poisonings and overdoses from prescription and nonprescription drugs and a review of comprehensive prevention strategies to reduce risks of drug abuse, overdoses, and preventable poisonings. The first report shall be due two years from the effective date of this Chapter.

HOA.1870224.1

1	
2	11140 PLAN REVIEW AND ANNUAL OPERATION FEES.
3	(a) Each Responsible Steward, group of Responsible Stewards, and Stewardship
4	Organization participating in a Stewardship Plan shall pay to the Director plan review fees to
5	be established under subsection (d) for the performance of certain functions as applicable,
6	including but not limited to:
7	(1) Review of a proposed Stewardship Plan;
8	(2) Review of a revised, proposed Stewardship Plan;
9	(3) Review of changes to an approved Stewardship Plan;
10	(4) Review of an updated Stewardship Plan at least every three years as
11	required under Section 11030 of this Chapter;
12	(5) Review of any petition for approval to use alternative final disposal
13	technologies under Section 11060 of this Chapter; and
14	(6) Environmental review of a Stewardship Plan.
15	(b) In addition to plan review fees, each Responsible Steward, group of Responsible
16	Stewards, and Stewardship Organization shall pay to the Director annual operating fees to be
17	established under subsection (d) of this Section 11140.
18	(c) A Stewardship Organization may remit the plan review fee on behalf of its
19	participating Responsible Stewards.
20	(d) As soon as practicable, the Director shall propose to the Board of Supervisors a
21	schedule of fees charged to each Responsible Steward, group of Responsible Stewards, and
22	Stewardship Organization to cover costs of administering and enforcing this Chapter. The
23	Director shall set the fees to recover but not exceed actual and reasonable costs to the
24	County. The Board of Supervisors must approve the schedule of fees after a public hearing

HOA.1870224.1

for it to become effective. The Auditor-Controller shall confirm that the fees set by the Director do not exceed the actual and reasonable costs to the County.

#### 11.\_\_.150 INFORMATION REQUIRED AT POINT OF SALE.

- (a) Any Person selling or offering for sale Covered Drugs or Sharps to the public shall post display materials approved by the Director explaining how and where members of the public may safely and lawfully dispose of Unwanted Covered Drugs and Unwanted Sharps at no cost to the consumer. The materials shall be in English, Spanish, and other languages as determined by the Department and shall be legible and easily understandable by the average person. The materials shall be posted on the premises of the Person's place of business in a location visible to the public, if applicable, and adjacent to the area where prescription drugs are dispensed. Mail-order Pharmacies and on-line Pharmacies selling Covered Drugs or Sharps to County residents shall provide such materials with the order.
- (b) The Director may, in his or her discretion, authorize a business to use alternate means to comply with the requirements of subsection (a). No Person may sell or offer for sale Covered Drugs or Sharps to the public using any alternate means of compliance with this Chapter unless specifically authorized to do so in advance in writing by the Director.

## 11.\_\_.160 STEWARDSHIP PLANS - PROMOTION, OUTREACH AND EDUCATION.

(a) Each Responsible Steward, group of Responsible Stewards, or Stewardship Organization shall develop a system of promotion, outreach, and public education to be included in the Stewardship Plan. Specifically, each Responsible Steward, group of Responsible Stewards, or Stewardship Organization shall:

2	residents and the health care community. Promotion shall include outreach and educational
3	materials:
4	A. Promoting safe storage of Drugs and Sharps by residents during the
5	treatment period;
6	B. Describing where and how to return Unwanted Covered Drugs and
7	Unwanted Sharps under the Stewardship Plan;
8	C. Expressly discouraging stockpiling of Unwanted Covered Drugs and
9	Unwanted Sharps; and
10	D. Expressly discouraging disposal of said items in the trash or through
11	a plumbing or septic system.
12	These materials must be provided to Pharmacies, retailers of Covered Drugs and
13	Sharps, health care practitioners, health care facilities, veterinary facilities, and other
14	prescribers for their own education as well as for dissemination to residents;
15	(2) Use Plain language and explanatory images so as to be readily
16	understandable by all residents, including individuals with limited English proficiency;
17	(3) Work with Collectors participating in Stewardship Plans to develop clear,
18	standardized instructions, signage and promotional materials for residents on the use of
19	collection receptacles and a readily-recognizable, consistent design of collection receptacles;
20	(4) Establish a 24-hour, toll-free phone number and single website where
21	information can be obtained regarding collection options and current locations of Collection
22	Sites;
23	(5) Within six months of the effective date of this Chapter and biennially
24	thereafter conduct a survey of residents, pharmacists, veterinarians, retailers, and health
25	
	HOA.1870224.1 26

(1) Promote the collection options offered under its Stewardship Plan to

1

1/5/2016

professionals who interact with patients on the use of Drugs and Sharps after the first full year
of operation of the plans. Survey questions shall include but not be limited to questions
designed to: (1) assess the awareness of the County's Stewardship Program, the
Stewardship Plans in operation, and the location of all available Collection Sites; (2) assess to
what extent Collection Sites and other collection methods are safe, convenient, easy to use,
and utilized by residents; and (3) assess knowledge and attitudes about risks of abuse,
poisonings and overdoses from prescription and nonprescription Drugs used in the home.
Draft survey questions shall be submitted to the Director for review and comment at least 30
days prior to initiation of the survey. Results of the survey shall be reported to the Director
and made available to the public on the website required in this Section 11060 within 90
days following the end of the survey period. Each Responsible Steward, group of
Responsible Stewards, and Stewardship Organization shall ensure the privacy of all survey
respondents.

(b) All surveys, outreach, education, promotion, websites, and toll-free phone numbers required by this Section 11.\_\_.160 shall be in English, Spanish, and other languages as determined by the Department. If more than one Stewardship Plan is approved, then to the extent feasible, all Stewardship Plans shall coordinate with each other and develop a single system of promotion and education, with a single toll-free hotline and website and consistent signage and materials across the County.

### 11.\_\_.170 UNDERTAKING FOR THE GENERAL WELFARE.

In adopting and implementing this Chapter, the County is assuming an undertaking only to promote the general welfare. It is not assuming, nor is it imposing on its officers and

1	employees, an obligation for breach of which it is liable in money damages to any Person who
2	claims that such breach proximately caused injury.
3	
4	11180 COMPLIANCE WITH FEDERAL, STATE, AND LOCAL LAWS.
5	Each Responsible Steward, group of Responsible Stewards, and Stewardship
6	Organization operating under this Chapter must comply with all applicable federal, state, and
7	local laws and regulations.
8	Each Responsible Steward, group of Responsible Stewards, and Stewardship
9	Organization operating under this Chapter shall also ensure that each Collector, each Person
10	retained to transport the collected items, and any other Person implementing any portion of
11	the Stewardship Plan complies with all applicable federal, state and local laws and
12	regulations.
13	This Chapter shall be construed so as not to conflict with applicable federal or State
14	laws, rules or regulations. Nothing in this Chapter shall authorize any agency or department
15	to impose any duties or obligations in conflict with limitations on municipal authority
16	established by State or federal law at the time such agency or department action is taken.
17	The County shall suspend enforcement of this Chapter to the extent that said enforcement
18	would conflict with any preemptive State or federal legislation subsequently adopted. Nothing
19	in this Chapter is intended or shall be construed to protect anticompetitive or collusive
20	conduct, or to modify, impair, or supersede the operation of any of the antitrust or unfair
21	competition laws of the State of California or the United States.
22	

HOA.1870224.1

11.\_\_.190 SEVERABILITY.

23

24

If any of the provisions of this Chapter or the application thereof to any Person or circumstance is held invalid, the remainder of those provisions, including the application of such part or provisions to persons or circumstances other than those to which it is held invalid shall not be affected thereby and shall continue in full force and effect. To this end, the provisions of this Chapter are severable. 

HOA.1870224.1

1/5/2016