

Los Angeles City Ethics Commission

October 6, 2015

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles CA 90012

Re:

Council File Number 15-1075
Appointment of Sam Lucas to the
Rent Adjustment Commission

FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Sam Lucas was appointed by the Mayor to the Rent Adjustment Commission on September 9, 2015. The Ethics Commission received Mr. Lucas' pre-confirmation financial disclosure statement on October 5, 2015. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Lucas' financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely.

Alexandria Latragna

Senior Ethics Analyst

Enclosures:

CA Form 700 CEC Form 60

cc:

Mayor Eric Garcetti

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE

Please type or print in ink.			
NAME OF FILER	(LAST)	(FIRST)	(MIDDLE)
Lucas	<u>.</u> S	Sam	E
1. Office, Agency, or Cou	rt		rice avi and and a
Agency Name			LOS ANGELES CITY
Rent Adjustment Comm	ission		ÉTHICS COMMISSION
Division, Board, Department, Dis	strict, if applicable	Your Position	OCT 0 5 2015
		Commissioner	
► If filing for multiple positions,	list below or on an attachment.		RECEIVED
Agency:		Position:	
2. Jurisdiction of Office	Check at least one box)		
State		☐ Judge or Court Commiss	sioner (Statewide Jurisdiction)
Multi-County			
The state of the s		-	
Oity of			
3. Type of Statement (Che	ck at least one box)		
December 31, 20	ed is January 1, 2013, through 13.	Leaving Office: Date I (Check one)	Left/
-or- The period covere December 31, 20	ed is, thro	ough OThe period covered leaving office.	is January 1, 2013, through the date of
Assuming Office: Date as	ssumed//	 The period covered the date of leaving 	is/, through office.
☑ Pre-confirmation	(Date appoint	ed or reappointed)	
4. Schedule Summary			2
Check applicable schedules o	r "None."	Total number of pages including	ng this cover page:
Schedule A-1 - Investments	s – schedule attached	Schedule C - Income, Loans,	& Business Positions – schedule attached
Schedule A-2 - Investments - schedule attached		Schedule D - Income - Gifts	
Schedule B - Real Property – schedule attached		Schedule E - Income - Gifts	- Travel Payments - schedule attached
	-or-		
	None - No reportable	e interests on any schedule	
5. Verification			
MAILING ADDRESS STR		TY ST/	ATE ZIP CODE
(Business or Agency Address Recommen	паед - Равііс Босателі)		
DAYTIME TELEPHONE NUMBER		E-MAIL ADDRESS (OPTIONAL)	
	the last and the second		
	nce in preparing this statement. I havidules is true and complete. I acknow		t of my knowledge the information contained
I certify under penalty of perju	ary under the laws of the State of C	California that the foregoing is true an	d correct.
Date Signed 09 (30)	15	Signature	
mor	th. day, year)		signed statement with your tring official.)

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts (Ownership Interest is 10% or Greater)

CALIFORNIA FORM FAIR POLITICAL PRACTICES C	700
Name	

► 1. BUSINESS ENTITY OR TRUST	▶ 1. BUSINESS ENTITY OR TRUST
Lucas Legal	
Name South Grand Ave.,	Name
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one Trust, go to 2 Business Entity, complete the box, then go to 2	Check one Trust, go to 2 Business Entity, complete the bax, then go to 2
GENERAL DESCRIPTION OF BUSINESS ACTIVITY Law practice	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999 \$\times \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Cver \$1,000,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999 \$2,000 - \$10,000
NATURE OF INVESTMENT ☐ Sole Proprietorship ☐ Partnership ☐ Other	NATURE OF INVESTMENT Sole Proprietorship Partnership Other
YOUR BUSINESS POSITION _principal	YOUR BUSINESS POSITION
➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)	➤ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
\$0 - \$499	\$0 - \$499
➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)	➤ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)
Tracy Greenwood	
Zuzana Szokeova Luis Ventura	
► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE	► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD BY THE
BUSINESS ENTITY OR TRUST	BUSINESS ENTITY OR TRUST
Check one box:	Check one box:
☐ INVESTMENT ☐ REAL PROPERTY	NVESTMENT REAL PROPERTY
Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property	Name of Business Entity, if investment, or Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity <u>or</u> City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000 \$10,001 - \$100,000 ACQUIRED DISPOSED Over \$1,000,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 ACQUIRED DISPOSED Over \$1,000,000
NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached
Comments:	EPPC Form 700 (2011/2012) Sch. A-2

SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION)
Name	

NAME OF SOURCE OF INCOME	► 1. INCOME RECEIVED	
TABLE OF GOOTOL OF ITOOME	NAME OF SOURCE OF INCOME	
Nielsen		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)	
Sunset Blvd.; Los Angeles, CA		
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	
Market research company		
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION	
Spouse of Nielsen employee		
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED	
\$500 - \$1,000 \$1,001 - \$10,000	\$500 - \$1,000\$1,001 - \$10,000	
X \$10,001 - \$100,000 ☐ OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000	
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED	
Salary X Spouse's or registered domestic partner's income	Salary Spouse's or registered domestic partner's income	
Loan repayment Partnership	Loan repayment Partnership	
Calo of		
Sale of(Real property, car, boat, etc.)	(Reai property, car, boat, etc.)	
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more	
Other(Describe)	Other(Describe)	
(Describe)	(Describe)	
(Describe) 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER		
* You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official sta	ending institutions, or any indebtedness created as part of a elender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's	
*You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official startegular course of business must be disclosed as follows.	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's s:	
Loans received or outstanding during the reporting per You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official sta	ending institutions, or any indebtedness created as part of a elender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's	
* You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follow NAME OF LENDER*	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's s:	
*You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follows.	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's s: INTEREST RATE TERM (Months/Years)	
* You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable)	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's is: INTEREST RATE None None	
* You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follow NAME OF LENDER*	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's s: INTEREST RATE TERM (Months/Years)	
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* You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's is: INTEREST RATE TERM (Months/Years) None SECURITY FOR LOAN Personal residence	
2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER * You are not required to report loans from commercial le retail installment or credit card transaction, made in the members of the public without regard to your official staregular course of business must be disclosed as follow NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD	ending institutions, or any indebtedness created as part of a lender's regular course of business on terms available to atus. Personal loans and loans received not in a lender's s: INTEREST RATE TERM (Months/Years)	
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City Ethics Commission 200 N Spring Street City Hail — 24th Floor Los Angeles, CA 90012 Mail Stop 129 (213) 978-1960

Restricted Source Financial Disclosure Statement CEC Form 60

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.

LOS ANGELES CITY Original Filing Amended Filing (original filed on ___/___/20____) Total PagesMISSION rame: Lucas, Sam OCT 0 5 7015 Position: Commissioner Agency: Rent Adjustment Commissioner RECEIVED Phone Email: Date of nomination: $09 / 09 / 20^{15}$ ✓ Pre-confirmation Type of Statement: First day in position: ____/ ___/ 20_15 ☐ Assuming Office _____/ _____/ 20_14 through December 31, 20_14 ☐ Annual Last day in office: ____/ ___/ 20____ ☐ Leaving Office I had the following interests associated with restricted sources during this reporting period: 1. REAL PROPERTY The following interest in real property was leased from or to, co-owned by, purchased from, or sold to a restricted source. Name of restricted source: Address of restricted source: __ Address or assessor's parcel number of real property:___ Interest co-owned/purchased/sold by/leased by or to: \square Me \square My spouse/registered domestic partner ☐ My dependent child Interest was: Leased Co-owned Purchased (date: ___/ ___/ 20____) Sold (date: ___/ ___/ 20____) Nature of interest: ☐ Ownership/Deed or Trust ☐ Easement ☐ Leasehold (years remaining:) Other: Value of interest: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000 Do you have additional real property interests to report? \(\subseteq \text{No} \subseteq \text{Yes, and } \) additional pages are attached. ☐ 2. INVESTMENTS The following investments (other than real property) were co-owned by, purchased from, or sold to a restricted source. Name of restricted source: Address of restricted source: Name of investment: ☐ Stock ☐ Partnership ☐ Other ___ Nature of investment: Investment co-owned/purchased/sold by: \square Me \square My spouse/registered domestic partner \square My dependent child Investment was: Co-owned Purchased (date: ___ / ___ / 20___) Sold (date: ___ / ___ / 20___) Value of investment: ☐ \$2,000—\$10,000 ☐ \$10,001—\$100,000 ☐ \$100,001—\$1,000,000 ☐ Over \$1,000,000 Do you have additional investments to report? \square No \square Yes, and $___$ additional pages are attached.



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Restricted Source Financial Disclosure Statement CEC Form 60

☐ 3. INCOME
The following income was received from a restricted source.
Name of restricted source:
Address of restricted source:
Business activity of source:
Your business position:
Income received by: \square Me \square My spouse/registered domestic partner \square My dependent child
Value of income: ☐ \$500—\$1,000 ☐ \$1,001—\$10,000 ☐ \$10,001—\$100,000 ☐ Over \$100,000
Income was: Salary/Commission Loan repayment Rental income Sale of (e.g., car, boat, etc.)
Other:
Do you have additional income to report? \square No \square Yes, and $___$ additional pages are attached.
☐ 4. GIFTS
The following gifts cumulatively valued at \$50 or more were received from a restricted source.
Name of restricted source:
Address of restricted source:
Business activity of source:
Gifts received by: ☐ Me ☐ My spouse/registered domestic partner ☐ My dependent child
Dates received:/ 20;/ 20 Value of gifts:
Description of gifts:
Do you have additional gifts to report? No Yes, and additional pages are attached.
☐ 5. BOARD POSITIONS
The following position was held on the board of a restricted source.
Name of restricted source:
Address of restricted source:
Position title:
Position held by:
Do you have additional positions to report? \square No \square Yes, and $___$ additional pages are attached.
☑ 6. NO INTERESTS
I had no reportable interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.
Certification
I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form, and the informal
9/30/15
Date Signature Signature