

Los Angeles City Ethics Commission

May 29, 2019

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles CA 90012

> Re: <u>Council File Number 19-1200-S9</u> Reappointment of Diane Middleton to the Board of Harbor Commissioners

> > FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Diane Middleton was reappointed by the Mayor to the Board of Harbor Commissioners on May 11, 2019. The Ethics Commission received Ms. Middleton's pre-confirmation financial disclosure statement on May 28, 2019. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Ms. Middleton's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely, Nicole Enriquez

Ethics Program Analyst

Enclosures: Form 700 Form 60

cc: Mayor Eric Garcetti

CALIFORNIA FORM	700 STATEME	ENT OF ECONOMIC INTE	ERESTS Date Initial Filing Receive
FAIR POLITICAL PRACTICES	COMMISSION	COVER PAGE	Filed Date: 05/28/2019 03:32 PM SAN: 011300006-STH-0006
Please type or print in ink.		A PUBLIC DOCUMENT	
NAME OF FILER (LAST)	(FIRS		(MIDDLE)
Middleton	Diane	9	
. Office, Agency, or			
Agency Name (Do not us	•		
Harbor Department		Your Position	
Division, Doard, Departine			
► If filing for multiple pos	itions, list below or on an attachment. (	Commissioner (Do not use acronyms)	
	<b>fiCe</b> (Check at least one box)		
State		-	nmissioner (Statewide Jurisdiction)
-		-	
City of Los Angele	es a construction of the second se	Other	
3. Type of Statement	(Check at least one box)		
Annual: The period December 3	covered is January 1, 2018, through 31, 2018.	Leaving Office: D	ate Left// (Check one circle.)
<b>-or-</b> The period December 3	covered is//,	through O The period cover- or-leaving office.	ered is January 1, 2018, through the date of
	ate assumed///		ered is/, through /ing office.
<b>Candidate</b> : Date of	Election05/11/2019 and offi	ce sought, if different than Part 1:	
4. Schedule Summar	ry (must complete) ► Total	number of pages including thi	is cover page: <u>11</u>
Schedules attach	ned		
Schedule A-2 - In	vestments – schedule attached vestments – schedule attached al Property – schedule attached	Schedule D - Income – Gif	ns, & <i>Business Positions</i> – schedule attached fts – schedule attached fts – <i>Travel Payments</i> – schedule attached
-or-  No re	eportable interests on any schedu	ıle	
5. Verification	, ,		
MAILING ADDRESS (Business or Agency Address Re	STREET commended - Public Document)	CITY	STATE ZIP CODE
DAYTIME TELEPHONE NUMBE	R	EMAIL ADDRESS	
I have used all reasonable	e diligence in preparing this statement. I	have reviewed this statement and to the	best of my knowledge the information contained
•	d schedules is true and complete. I ack f perjury under the laws of the State of	•	e and correct.
Date Signed05/.	28/2019 03:32 PM	Signature	
	(month, day, year)	-	lly signed paper statement with your filing official.)

	SCHEDULE A-1				
	Investments			CALIFORNIA FORM <b>/00</b> FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and	Ч	Other Interests		
	(Ownership Interest is			Name	
	Investments mus			Diane Middleton	
	Do not attach brokerage o	or	financial statements.		
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENT	ITY	
	Bio Space Technecorp		Discovery Inc A		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION	OF THIS BUSINESS	
	Lab		various		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000       \$100,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		★ \$2,000 - \$10,000 ↓ \$100,001 - \$1,000,000	\$10,001 - \$100,000 Over \$1,000,000	
	NATURE OF INVESTMENT  Stock  Other		NATURE OF INVESTMENT		
	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )		 Partnership   ◯ Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
_	ACQUIRED DISPOSED	╽┟		DISPOSED	
	NAME OF BUSINESS ENTITY		<ul> <li>NAME OF BUSINESS ENT Discovery Inc Series</li> </ul>		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION		
			various		
	FAIR MARKET VALUE         \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000	<ul> <li>☐ \$10,001 - \$100,000</li> <li>☐ Over \$1,000,000</li> </ul>	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT		
	(Describe) Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership () Income () Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
	<u>/</u> <u>/</u> _		/	//	
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENT	ITY	
	Cognizant Technologies		DMC - Blackstone		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION	OF THIS BUSINESS	
	Technology		various		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000       \$100,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	X \$10,001 - \$100,000 ☐ Over \$1,000,000	
	NATURE OF INVESTMENT  Stock  Other		NATURE OF INVESTMENT		
	Image: Constraint of the state of the s		☐ Partnership ◯ Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
	/////		/	//	
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	

	SCHEDULE A-1 CALIFORNIA FORM 7			
	Investn	Investments		
	Stocks, Bonds, and	d C	Other Interests	FAIR POLITICAL PRACTICES COMMISSION
	(Ownership Interest is			Diane Middleton
	Investments mus	t be	itemized.	
	Do not attach brokerage o			
►		'	NAME OF BUSINESS ENTI DMC	
	DMC - Carlyle Group		DMC - I Shares Rus	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
	vaious		various	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	□ \$2,000 - \$10,000         ▼ \$10,001 - \$100,000		\$2,000 - \$10,000	★ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	Stock Other (Describe)		Stock Other _	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership () Income () Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	2:
			/	/
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
	NAME OF BUSINESS ENTITY DMC - Granite Point Mtg	'	<ul> <li>NAME OF BUSINESS ENTI DMC - Merck</li> </ul>	TY
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	
	banking		pharma	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000		\$2,000 - \$10,000	× \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT           X         Stock         Other		NATURE OF INVESTMENT	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499		Partnership O Income	(Describe) Received of \$0 - \$499
	○ Income Received of \$500 or More (Report on Schedule C)		⊖ Income	Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	:
	// // ACQUIRED DISPOSED		// ACQUIRED	/ DISPOSED
	ACQUIRED DISPOSED		ACQUIRED	
►	NAME OF BUSINESS ENTITY	י	► NAME OF BUSINESS ENTI	
	DMC - I Shares MSCI GENERAL DESCRIPTION OF THIS BUSINESS		DMC - Gilead Scien	
				F THIS BUSINESS
	various		Tech	
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE	<b>×</b> \$10,001 - \$100,000
	\$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT  Stock Other		NATURE OF INVESTMENT	
	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )		Partnership O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	:
	////		/	/
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED

	SCHEDULE A-1			
	Investments			CALIFORNIA FORM <b>/</b> 00 FAIR POLITICAL PRACTICES COMMISSION
	Stocks, Bonds, and Other Interests			
	(Ownership Interest is			Name Diane Middleton
	Investments mus		,	
_	Do not attach brokerage o			
►			► NAME OF BUSINESS ENTI	
	DMC - Select Specter		DMC - Vanguard Int	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	JF THIS BUSINESS
	various		various	
				_
	↓         \$2,000 - \$10,000         ★         \$100,001 - \$100,000           ↓         \$100,001 - \$1,000,000         Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	✗ \$10,001 - \$100,000 ○ Over \$1,000,000
			\$100,001 - \$1,000,000	
	NATURE OF INVESTMENT           X Stock         Other		NATURE OF INVESTMENT	
	(Describe)			(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:
	// / ACQUIRED DISPOSED		// ACQUIRED	/ DISPOSED
<u> </u>	NAME OF BUSINESS ENTITY	╽┣╴	<ul> <li>ACQUIRED</li> <li>NAME OF BUSINESS ENTI</li> </ul>	
-	DMC - Select Spector Trust		DMC -Axis Capital	11
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	DF THIS BUSINESS
	real estate		various	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		<pre>\$2,000 - \$10,000</pre> \$100,001 - \$1,000,000	<ul><li>✗ \$10,001 - \$100,000</li><li>☐ Over \$1,000,000</li></ul>
	NATURE OF INVESTMENT  Stock  Other		NATURE OF INVESTMENT	
	(Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		Partnership O Income O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:
	ACQUIRED DISPOSED	L	ACQUIRED	DISPOSED
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENTI	
	DMC - Select Spector Trust		DMC -I Shares Trus	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
	energy		telecommunication	
			FAIR MARKET VALUE	
	↓ \$2,000 - \$10,000         ★ \$10,001 - \$100,000           ↓ \$100,001 - \$1,000,000         ○ Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	✗ \$10,001 - \$100,000 ○ Over \$1,000,000
	NATURE OF INVESTMENT           X           Stock           Other		NATURE OF INVESTMENT	
	(Describe)			(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	≣:
	// ACQUIRED DISPOSED		// ACQUIRED	// DISPOSED
			AUQUIKED	

	SCHEDULE A-1			CALIFORNIA FORM 700	
	Investments			FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and Other Interests		Name		
	(Ownership Interest is			Diane Middleton	
	Investments mus				
-	Do not attach brokerage o	or		T.V.	
	NAME OF BUSINESS ENTITY DMC -IBM		<ul> <li>NAME OF BUSINESS ENTI DMC -Wells Fargo</li> </ul>	ΙY	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION O	DF THIS BUSINESS	
			banking		
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE  \$2,000 - \$10,000	× \$10,001 - \$100,000	
	\$100,001 - \$1,000,000         Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT		
	Stock Other		Stock Other		
	(Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		Partnership () Income () Income		
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
-	ACQUIRED DISPOSED	╽╽	ACQUIRED  NAME OF BUSINESS ENTI	DISPOSED TY	
-	DMC- Kayne Anderson		DMF - AllState Corp.		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C		
	banking		insurance		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000		☐ \$2,000 - \$10,000 ☐ \$100,001 - \$1,000,000	<ul><li>✗ \$10,001 - \$100,000</li><li>☐ Over \$1,000,000</li></ul>	
	NATURE OF INVESTMENT           X         Stock         Other		NATURE OF INVESTMENT		
	□       (Describe)         □       Partnership         ○       Income Received of \$0 - \$499         ○       Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
			/	//	
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENTI		
	DMC -MGM Growth Properties		DMF - Axis Capital		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS	
	properties		banking		
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE	<b>×</b> \$10,001 - \$100,000	
	\$10,001 - \$10,000     Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	Over \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT		
	X Stock Other		Stock Other		
	(Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		Partnership O Income O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
	////		/	//	
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	

	SCHEDULE A-1 CALIFORNIA FORM 70		
	Investn	ents CALIFORNIA FORM	
	Stocks, Bonds, and	Othor Intoracte	IISSION
	(Ownership Interest is	Loop Then 100()	
	Investments mus		
	Do not attach brokerage o	r financial statements.	
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
	DMF - DoubleLine Credit Fund	DMF Wells Fargo	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	banking	banking	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	× \$2,000 - \$10,000	□ \$2,000 - \$10,000       x \$10,001 - \$100,000	
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT		
	Stock Other (Describe)	Stock Other(Describe)	
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on	Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	//		
	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
	NAME OF BUSINESS ENTITY DMF - Partnerre	<ul> <li>NAME OF BUSINESS ENTITY</li> <li>Dowdupont Inc</li> </ul>	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	various	various	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	\$2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000	\$2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000	
	S100,001 - \$1,000,000 Over \$1,000,000	State \$100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT	NATURE OF INVESTMENT     X   Stock   Other	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	
	Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on	Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
		/////	
	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
	DMF - Two Harbors Investment	EcoLab Inc	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	various	Lab	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	\$2,000 - \$10,000         ▼         \$10,001 - \$100,000	□         \$2,000 - \$10,000         ▼         \$10,001 - \$100,000	
	\$100,001 - \$1,000,000Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT  Stock Other	NATURE OF INVESTMENT     X   Stock   Other	
	□ (Describe) □ Partnership ◯ Income Received of \$0 - \$499	(Describe)	
	<ul> <li>Partnership O income Received of \$0 - \$499</li> <li>Income Received of \$500 or More (Report on Schedule C)</li> </ul>	Partnership O income Received of \$0 - \$499     O Income Received of \$500 or More (Report on	Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	////	/////	
	ACQUIRED DISPOSED	ACQUIRED DISPOSED	

	SCHEDU		
	Investm	CALIFORNIA FORM <b>/ 00</b> FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and	1 Other Interests	
	(Ownership Interest is		Name Diana Middlatan
	Investments must	,	Diane Middleton
	Do not attach brokerage o	r financial statements.	
	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENT	ITY
_	Gaming and Leisure Properties	Infosys Lmtd	
Ģ	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION	OF THIS BUSINESS
	resort	technology	
_		FAIR MARKET VALUE	
	★       \$2,000 - \$10,000       \$100,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	↓ \$2,000 - \$10,000 ↓ \$1,000,000 ↓ \$1,000,000	<ul><li>✗ \$10,001 - \$100,000</li><li>☐ Over \$1,000,000</li></ul>
-	ATURE OF INVESTMENT	NATURE OF INVESTMENT	
Ε	(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	Partnership O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
_	// / ACQUIRED DISPOSED	ACQUIRED	// DISPOSED
	AME OF BUSINESS ENTITY	► NAME OF BUSINESS ENT	ITY
_	General Motors	Ishares	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION	OF THIS BUSINESS
-	automotive	Various	
F	AIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000	FAIR MARKET VALUE	<b>×</b> \$10,001 - \$100,000
	\$100,001 - \$1,000,000       Over \$1,000,000	\$100,001 - \$1,000,000	Over \$1,000,000
_	JATURE OF INVESTMENT	NATURE OF INVESTMENT	
	□ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )	☐ Partnership ◯ Income	(Describe)
			Received of \$500 of Wore (Report of Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
_			
	ACQUIRED DISPOSED	ACQUIRED	DISPOSED
		► NAME OF BUSINESS ENT	
_		Jacobs Engineering	
			OF THIS BUSINESS
_	toys	engineering	
_	AIR MARKET VALUE ▼ \$2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE	\$10,001 - \$100,000
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000	Over \$1,000,000
_		NATURE OF INVESTMENT	
2	Stock Other (Describe)	Stock Other	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
_	////		//
	ACQUIRED DISPOSED	ACQUIRED	DISPOSED

Investments         Stocks, Bonds, and Other Interests         Unvestments must be itemized.         Investments must be itemized.         Interestive of source of the brokerage or financial statements.         NAME OF BUSINESS ENTITY         Laboratory Corps         GENERAL DESCRIPTION OF THIS BUSINESS         Interestive of status of source	SCHEDU	
Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Investments must be itemized Do not attach brokerage or financial statements.         NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS         Iab         PAR MARKET VALUE         Stock State         Other         Other         PAR MARKET VALUE         Stock Other         Other<	Investr	ments
(Ownership Interest is Less Than 10%) Investments must be itemized.         Dane Middleton         Dane Middleton         Dane Middleton         Dane Middleton         NAME OF BUSINESS ENTITY         Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS         Iab       >         PARE MARKET VALUE       \$10,001 - \$100,000         \$100,001 - \$1,000,000       \$100,001 - \$100,000         NATURE OF INVESTMENT       \$100,001 - \$100,000         Stock       Other         @ Detroffelo       \$100,001 - \$1,000,000         NATURE OF INVESTMENT       \$100,001 - \$100,000         MATURE OF INVESTMENT       \$100,001 - \$100,000         Partmenthip       Oncome Received of \$20 - \$409         © Income Received of \$20 - \$100,000       NATURE OF INVESTMENT         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY       NAME OF BUSINESS ENTITY         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY       Partmenthip         Mate OF BUSINESS ENTITY	Stocks Bonds an	d Other Interests
Investments must be itemized.         Do not attach brokerage or financial statements.         NAME OF BUSINESS ENTITY         Laboratory Corps         GENERAL DESCRIPTION OF THIS BUSINESS         Iab         PAR MARKET VALUE         Stock       Stock 000         Stock       Other         Obrown Stock       Other         Denome Received of \$0 - \$499         Income Received of \$0 - \$100,000         NAME OF BUSINESS ENTITY         MatinMariettaMaterials         GENERAL DESCRIPTION OF THIS BUSINESS         Commodities         OPENDERS         Partmership         Oncome Received of \$0 - \$10,0000         Stock       Other         If APPLICABLE, LIST DATE:         Image:       ACOURED         DISPOSED       NAME OF BUSINESS ENTITY         MatrinMariettaMaterials       Centerial         GENERAL DESCRIPTION OF THIS BUSINESS       Various         Stock       Other       (Descriter) </th <th></th> <th>is Loss Than 10%)</th>		is Loss Than 10%)
NAME OF BUSINESS ENTITY           Laboratory Corps           GENERAL DESCRIPTION OF THIS BUSINESS           Iab           FAIR MARKET VALUE           Stook         0 ofter           Stook         0 ofter           Oncome Received of \$0.9409           In APPLICABLE, LIST DATE:           ACQUIRED         DISPOSED           NAME OF BUSINESS ENTITY           Mater of Business           Mater of Business           Mater of INVESTMENT           Stock         0 ofter           Oncome Received of \$0.9409	Investments mus	
Laboratory Corps         GENERAL DESCRIPTION OF THIS BUSINESS         Iab         PAIR MARKET VALUE         Stock       0 - 0 - 510,000         100,001 - \$1,000,000         NTURE OF INVESTMENT         Stock       0 - 0 - 510,000         Partmership       0 - 1 - 0 - 500,000         NATURE OF INVESTMENT         Stock       0 - 0 - 510,000         IF APPLICABLE, LIST DATE:	Do not attach brokerage	
GENERAL DESCRIPTION OF THIS BUSINESS         Iab         FAIR MARKET VALUE         S 2000 - \$10,000       \$10,001 - \$100,000         NATURE OF INVESTMENT         Ø Stock       Other         Oncome Received of \$0 - \$499         Oncome Received of \$0 - \$10,000         Stock       Other         Balance       DISPOSED         NATURE OF INVESTMENT       Stock         Stock       Other         Oncome Received of \$0 - \$499         Oncome Received of \$0 - \$499 <t< th=""><th></th><th></th></t<>		
Iab       petroleum         FAIR MARKET VALUE       \$10,001 - \$100,000         \$100,001 - \$1,000,000       \$10,001 - \$100,000         NATURE OF INVESTMENT       \$20,00 - \$10,000         Stock       Other       (Describe)         Partnership       Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:       ////////////////////////////////////		
FAIR MARKET VALUE         FAIR MARKET VALUE         Stagoon _ \$10,000       \$10,001 - \$100,000         MATURE OF INVESTMENT         Stock       Other         Income Received of \$5 - \$499         Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED         NAME OF BUSINESS ENTITY         Market VALUE         Stock       Other (Describe)         IF APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED         NAME OF BUSINESS ENTITY         Market VALUE         Stock       Other (Describe)         IF APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED         NAME OF BUSINESS ENTITY         MartinMariettaMaterials         GENERAL DESCRIPTION OF THIS BUSINESS         commodities         FAIR MARKET VALUE         Stock       Other         (Describe)       Partnership         Partnership       Other         Stock       Other         (Describe)       Stock         Stock       Other         (Describe)       Other         Partnership       Othone Received of \$500 or More (Report on Schedule C) </td <td>GENERAL DESCRIPTION OF THIS BUSINESS</td> <td>GENERAL DESCRIPTION OF THIS BUSINESS</td>	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
X 2:000 - \$10,000       \$10,001 - \$100,000         Stock       Other         MATURE OF INVESTMENT       (Describe)         Pathership       Income Received of \$0 - \$499         O Income Received of \$0 - \$499       (Describe)         IF APPLICABLE, LIST DATE:       ////////////////////////////////////	lab	petroleum
<sup>1</sup> \$100,001 - \$1,000,000 <sup>1</sup> Over \$1,000,000 <sup>1</sup> Stock <sup>1</sup> Other		
X Stock       Other       Other       Operation         Partnership       O Income Received of \$0 - \$499       O Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:       Operation       Operation         ACQUIRED       DISPOSED       IF APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED       IF APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED       NAME OF BUSINESS ENTITY         Martin/Marietta/Materials       GENERAL DESCRIPTION OF THIS BUSINESS         GENERAL DESCRIPTION OF THIS BUSINESS       Various         Stock       Other       Operation         Stock       Other       Operation         Martin/Marietta/Materials       GENERAL DESCRIPTION OF THIS BUSINESS       Various         FAIR MARKET VALUE       X10,001 - \$100,000       \$10,001 - \$100,000         Stock       Other       Operation       Stock         Other       (Describe)       Stock       Other         Partnership       Income Received of \$0 - \$499       Oncome Received of \$0 - \$499         Other       (Describe)       Operation Schedule C)         IF APPLICABLE, LIST DATE:       If APPLICABLE, LIST DATE:       If APPLICABLE, LIST DATE:         ACQUIRED       DISPOSED       Income Received of \$500 or More (Re		
(Describe)		
○ Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:	Stock Other (Describe)	Stock (Describe)
		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
NAME OF BUSINESS ENTITY         MartinMariettaMaterials         GENERAL DESCRIPTION OF THIS BUSINESS         commodities         FAIR MARKET VALUE         \$ 2,000 - \$10,000         \$ \$10,001 - \$100,000         \$ \$100,001 - \$1,000,000         NATURE OF INVESTMENT         \$ \$100,001 - \$1,000,000         Partnership         O Income Received of \$0 - \$499         O Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<ul> <li>NAME OF BUSINESS ENTITY MartinMariettaMaterials GENERAL DESCRIPTION OF THIS BUSINESS </li> <li>Commodities FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$1,000,000 NATURE OF INVESTMENT \$\$2 stock O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:</li></ul>	//// ACQUIREDDISPOSED	
MartinMarietta Materials       Pentair         GENERAL DESCRIPTION OF THIS BUSINESS       Commodities         Commodities       FAIR MARKET VALUE         Stock       \$10,001 - \$100,000         NATURE OF INVESTMENT       (Describe)         Partnership       Other         O Income Received of \$0 - \$499         O Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:         J       J         ACQUIRED       DISPOSED         NAME OF BUSINESS ENTITY         Microsoft         GENERAL DESCRIPTION OF THIS BUSINESS		
commodities         FAIR MARKET VALUE         \$2,000 - \$10,000         \$100,001 - \$1,000,000         Stook       Other         Coescribe)         Partnership       Income Received of \$0 - \$499         Income Received of \$500 or More (Report on Schedule C)         IF APPLICABLE, LIST DATE:        ACQUIRED         DISPOSED         NAME OF BUSINESS ENTITY         Microsoft         GENERAL DESCRIPTION OF THIS BUSINESS		
FAIR MARKET VALUE <sup>†</sup> \$2,000 - \$10,000 <sup>†</sup> \$2,000 - \$10,000 <sup>†</sup> \$2,000 - \$10,000 <sup>†</sup> \$100,001 - \$1,000,000             MATURE OF INVESTMENT <sup>†</sup> Stock             Other             (Describe)             Partnership         Olncome Received of \$0 - \$499         Olncome Received of \$500 or More (Report on Schedule C)             IF APPLICABLE, LIST DATE: <u>/</u>	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
<sup>1</sup> \$2,000 - \$10,000 <sup>1</sup> \$10,001 - \$10,000 <sup>1</sup> \$100,001 - \$10,000 <sup>1</sup> \$100,000 <sup>1</sup> \$100,001 <sup>1</sup> \$100,001 <sup>1</sup> \$100,001 <sup>1</sup> \$100,001 <sup>1</sup> \$100,001	commodities	various
<sup>1</sup> \$100,001 - \$1,000,000 <sup>1</sup> Over \$1,000,000 <sup>1</sup> Over \$1,000,000          NATURE OF INVESTMENT <sup>1</sup> Stock           Other <sup>1</sup> Other          Matter OF INVESTMENT <sup>1</sup> Other <sup>1</sup> Other           Over \$1,000,000          NATURE OF INVESTMENT <sup>1</sup> Other <sup>1</sup> Other           Over \$1,000,000          NATURE OF INVESTMENT <sup>1</sup> Other <sup>1</sup> Other           Over \$1,000,000          Partnership          Income Received of \$0 - \$499           Other (Report on Schedule C)           Partnership O Income Received of \$500 or More (Report on Schedule C)          IF APPLICABLE, LIST DATE:           /		FAIR MARKET VALUE
Stock Other     Partnership        Partnership        IF APPLICABLE, LIST DATE:		
<ul> <li>Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (<i>Report on Schedule C</i>)</li> <li>IF APPLICABLE, LIST DATE:</li></ul>	X Stock Other	Stock Other
	Partnership O Income Received of \$0 - \$499	
<ul> <li>NAME OF BUSINESS ENTITY</li> <li>Microsoft</li> <li>GENERAL DESCRIPTION OF THIS BUSINESS</li> <li>AME OF BUSINESS ENTITY</li> <li>GENERAL DESCRIPTION OF THIS BUSINESS</li> </ul>	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
<ul> <li>NAME OF BUSINESS ENTITY</li> <li>Microsoft</li> <li>GENERAL DESCRIPTION OF THIS BUSINESS</li> <li>AME OF BUSINESS ENTITY</li> <li>GENERAL DESCRIPTION OF THIS BUSINESS</li> </ul>		
Microsoft       GENERAL DESCRIPTION OF THIS BUSINESS         GENERAL DESCRIPTION OF THIS BUSINESS       GENERAL DESCRIPTION OF THIS BUSINESS		ACQUIRED DISPOSED
GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION OF THIS BUSINESS		► NAME OF BUSINESS ENTITY
technology		GENERAL DESCRIPTION OF THIS BUSINESS
teonnology	technology	
FAIR MARKET VALUE		FAIR MARKET VALUE
□ \$2,000 - \$10,000		
\$100,001 - \$1,000,000Over \$1,000,000\$100,001 - \$1,000,000Over \$1,000,000	□ \$100,001 - \$1,000,000 □ Over \$1,000,000	Since the second
X Stock         Other         Other <thother< th="">         Other         <t< td=""><td></td><td></td></t<></thother<>		
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	///_/	
ACQUIRED DISPOSED ACQUIRED DISPOSED	ACQUIRED DISPOSED	ACQUIRED DISPOSED

	SCHEDULE A-1			
	Investments		CALIFORNIA FORM <b>/00</b> FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and Other Interests		ther Interests	
	(Ownership Interest is			Name
	Investments must		-	Diane Middleton
	Do not attach brokerage o	r fina	ncial statements.	
►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTI	TY
			Technipfmc	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	F THIS BUSINESS
	technology		tech	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	▼ \$2,000 - \$10,000       □ \$10,001 - \$100,000         □ \$100,001 - \$100,000       □ \$100,000		<b>×</b> \$2,000 - \$10,000	☐ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT           X         Stock         Other		NATURE OF INVESTMENT	
	(Describe)			(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	//		/	//
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
	NAME OF BUSINESS ENTITY SPDR Gold		NAME OF BUSINESS ENTI Varian Medical	ΤΥ
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	F THIS BUSINESS
	Minerals		Lab	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	<b>\$</b> 2,000 - \$10,000 <b>X</b> \$10,001 - \$100,000		\$2,000 - \$10,000	🔀 \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT       X     Stock   Other		NATURE OF INVESTMENT	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499		─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─	(Describe) Received of \$0 - \$499
	O Income Received of \$500 or More (Report on Schedule C)			Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	////		/	//
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
►	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTI	
			Wells Fargo & Com	bany
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
			banking	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000         \$10,001 - \$100,000		\$2,000 - \$10,000	★ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	Stock Other (Describe)		Stock Other _	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	////		/	//
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED

	Investm	CALIFORNIA FORM / 00 FAIR POLITICAL PRACTICES COMMISSION
Stocks, Bonds, and Other Inter		FAIR POLITICAL PRACTICES COMMISSION
	(Ownership Interest is	
	Investments must	
_	Do not attach brokerage o	
►	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY Zohro Tochnologios
	GENERAL DESCRIPTION OF THIS BUSINESS	Zebra Technologies GENERAL DESCRIPTION OF THIS BUSINESS
	GLINERAL DESURIFIION OF THIS BUSINESS	GLINERAL DESCRIPTION OF THIS DUSINESS
		Tech
	FAIR MARKET VALUE	FAIR MARKET VALUE
	\$2,000 - \$10,000         \$10,001 - \$100,000           \$100,001 - \$1,000,000         Over \$1,000,000	\$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT           X         Stock         Other
	(Describe)	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	// // ACQUIRED DISPOSED	
-	NAME OF BUSINESS ENTITY	ACQUIRED DISPOSED     NAME OF BUSINESS ENTITY
•	Yum China Holdings	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	fast food	
	FAIR MARKET VALUE	FAIR MARKET VALUE
	X       \$2,000 - \$10,000       \$10,001 - \$100,000         \$100,001 - \$1,000,000       Over \$1,000,000	\$2,000 - \$10,000         \$10,001 - \$100,000           \$100,001 - \$1,000,000         Over \$1,000,000
	NATURE OF INVESTMENT           X Stock         Other	NATURE OF INVESTMENT           Stock         Other
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
	Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	ACQUIRED DISPOSED	ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	YumBrand Inc	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	fast food	
	FAIR MARKET VALUE	FAIR MARKET VALUE
	□ \$2,000 - \$10,000	
	S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
	Stock Other (Describe)	Stock Other (Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More ( <i>Report on Schedule C</i> )
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	////	////
	ACQUIRED DISPOSED	ACQUIRED DISPOSED

## **SCHEDULE A-2** Investments, Income, and Assets of Business Entities/Trusts

CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION

Name

(Ownership Interest is 10% or Greater)

**Diane Middleton** 

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
D Middleton 2003 Trust Dated 10/27/03	
Name	Name
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one	Check one
Trust, go to 2  Business Entity, complete the box, then go to 2	Trust, go to 2 Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999	FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$0 - \$1,999       \$2,000 - \$10,000         \$10,001 - \$100,000       ACQUIRED         \$100,001 - \$1,000,000       Over \$1,000,000         NATURE OF INVESTMENT       Partnership         Sole Proprietorship       Other
<ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>	<ul> <li>2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)</li> </ul>
\$0 - \$499       X \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000         > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)         None or X Names listed below         The Pinnacle	\$0 - \$499       \$10,001 - \$100,000         \$500 - \$1,000       OVER \$100,000         \$1,001 - \$10,000         > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)         None       or         Names listed below
<ul> <li>4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST</li> <li>Check one box:</li> <li>INVESTMENT REAL PROPERTY</li> </ul>	<ul> <li>► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST</li> <li>Check one box:</li> <li>INVESTMENT</li> <li>REAL PROPERTY</li> </ul>
Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property Real estate Los Angeles	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity <u>or</u> City or Other Precise Location of Real Property
FAIR MARKET VALUE     IF APPLICABLE, LIST DATE:       \$2,000 - \$10,000	FAIR MARKET VALUE       IF APPLICABLE, LIST DATE:         \$2,000 - \$10,000
NATURE OF INTEREST  Property Ownership/Deed of Trust  Stock  Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold <u>Yrs. remaining</u> Other <u>Ltd. Partnership</u>	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

Filed Date: 05/28/2019 03:36 PM SAN: 011300006-STH-0006

200 N Sp City Hall Los Ange (213) 978 ethics.lad	city.org Form 60			
commissions, and	als, general managers and chief administrative officers of City agencies, members of City boards and 1 individuals nominated to positions subject to City Council approval must file this form in conjunction rm 700. Please refer to the attached instructions for additional information.			
🛛 Original Filing 🔲 A	Amended Filing (original filed on/20) Total Pages:			
Name: (Last, First, Middle) Midd	lleton, Diane			
Agency: Harbor	Department Position: Commissioner			
Phone:	Email:			
Type of Statement:	$\boxtimes$ Pre-confirmationDate of nomination:05 / 11 / 2019 $\square$ Assuming OfficeFirst day in position:/ / 2016 $\square$ Annual/ / 2015through December 31, 2015 $\square$ Leaving OfficeLast day in office:/ / 20			
I had the following inte	erests associated with restricted sources during this reporting period:			
□ 1. REAL PROPERTY — <i>section attached</i> . Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source.				
<b>2. INVESTMENTS</b> Investments (other	5 — section attached. r than real property) co-owned by, purchased from, or sold to a restricted source.			
□ 3. INCOME — section attached. Income received from a restricted source.				
☐ 4. GIFTS — <i>section attached.</i> Gifts, cumulatively valued at \$50 or more, received from a restricted source.				
<b>5. BOARD POSITIONS</b> — <i>section attached.</i> Positions held on the board of a restricted source.				
	- Or -			
<b>6. NO INTERESTS</b> I had no interests in during this reporting	n real property, investments, income, gifts, or board positions associated with restricted sources			
Certification				
	y of perjury under the laws of the City of Los Angeles and the state of California that I ions for this form and the information I have provided is true and complete.			
05/28/2019 03:36 PM				
Date	Signature			