

Los Angeles City Ethics Commission

May 29, 2019

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles CA 90012

> Re: <u>Council File Number 19-1200-S9</u> Reappointment of Diane Middleton to the Board of Harbor Commissioners

> > FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Diane Middleton was reappointed by the Mayor to the Board of Harbor Commissioners on May 11, 2019. The Ethics Commission received Ms. Middleton's pre-confirmation financial disclosure statement on May 28, 2019. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Ms. Middleton's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely, Nicole Enriquez

Ethics Program Analyst

Enclosures: Form 700 Form 60

cc: Mayor Eric Garcetti

CALIFORNIA FORM	700 STATEME	ENT OF ECONOMIC INTE	ERESTS Date Initial Filing Receive
FAIR POLITICAL PRACTICES	COMMISSION	COVER PAGE	Filed Date: 05/28/2019 03:32 PM SAN: 011300006-STH-0006
Please type or print in ink.		A PUBLIC DOCUMENT	
NAME OF FILER (LAST)	(FIRS		(MIDDLE)
Middleton	Diane	9	
. Office, Agency, or			
Agency Name (Do not us	•		
Harbor Department		Your Position	
Division, Doard, Departine			
► If filing for multiple pos	itions, list below or on an attachment. (Commissioner (Do not use acronyms)	
	fiCe (Check at least one box)		
State		-	nmissioner (Statewide Jurisdiction)
-		-	
City of Los Angele	es a construction of the second se	Other	
3. Type of Statement	(Check at least one box)		
Annual: The period December 3	covered is January 1, 2018, through 31, 2018.	Leaving Office: D	ate Left// (Check one circle.)
-or- The period December 3	covered is//,	through O The period cover- or-leaving office.	ered is January 1, 2018, through the date of
	ate assumed///		ered is/, through /ing office.
Candidate : Date of	Election05/11/2019 and offi	ce sought, if different than Part 1:	
4. Schedule Summar	ry (must complete) ► Total	number of pages including thi	is cover page: <u>11</u>
Schedules attach	ned		
Schedule A-2 - In	vestments – schedule attached vestments – schedule attached al Property – schedule attached	Schedule D - Income – Gif	ns, & <i>Business Positions</i> – schedule attached fts – schedule attached fts – <i>Travel Payments</i> – schedule attached
-or- No re	eportable interests on any schedu	ıle	
5. Verification	, ,		
MAILING ADDRESS (Business or Agency Address Re	STREET commended - Public Document)	CITY	STATE ZIP CODE
DAYTIME TELEPHONE NUMBE	R	EMAIL ADDRESS	
I have used all reasonable	e diligence in preparing this statement. I	have reviewed this statement and to the	best of my knowledge the information contained
•	d schedules is true and complete. I ack f perjury under the laws of the State of	•	e and correct.
Date Signed05/.	28/2019 03:32 PM	Signature	
	(month, day, year)	-	lly signed paper statement with your filing official.)

	SCHEDULE A-1				
	Investments			CALIFORNIA FORM /00 FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and	Ч	Other Interests		
	(Ownership Interest is			Name	
	Investments mus			Diane Middleton	
	Do not attach brokerage o	or	financial statements.		
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENT	ITY	
	Bio Space Technecorp		Discovery Inc A		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION	OF THIS BUSINESS	
	Lab		various		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000 \$100,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		★ \$2,000 - \$10,000 ↓ \$100,001 - \$1,000,000	\$10,001 - \$100,000 Over \$1,000,000	
	NATURE OF INVESTMENT Stock Other		NATURE OF INVESTMENT		
	(Describe) ☐ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)		 Partnership ◯ Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
_	ACQUIRED DISPOSED	╽┟		DISPOSED	
	NAME OF BUSINESS ENTITY		 NAME OF BUSINESS ENT Discovery Inc Series 		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION		
			various		
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000	 ☐ \$10,001 - \$100,000 ☐ Over \$1,000,000 	
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	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENT	ITY	
	Cognizant Technologies		DMC - Blackstone		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION	OF THIS BUSINESS	
	Technology		various		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000 \$100,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	X \$10,001 - \$100,000 ☐ Over \$1,000,000	
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	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
	/////		/	//	
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	

	SCHEDULE A-1 CALIFORNIA FORM 7			
	Investn	Investments		
	Stocks, Bonds, and	d C	Other Interests	FAIR POLITICAL PRACTICES COMMISSION
	(Ownership Interest is			Diane Middleton
	Investments mus	t be	itemized.	
	Do not attach brokerage o			
►		'	NAME OF BUSINESS ENTI DMC	
	DMC - Carlyle Group		DMC - I Shares Rus	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
	vaious		various	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	□ \$2,000 - \$10,000 ▼ \$10,001 - \$100,000		\$2,000 - \$10,000	★ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	Stock Other (Describe)		Stock Other _	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership () Income () Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	2:
			/	/
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
	NAME OF BUSINESS ENTITY DMC - Granite Point Mtg	'	 NAME OF BUSINESS ENTI DMC - Merck 	TY
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	
	banking		pharma	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 X \$10,001 - \$100,000		\$2,000 - \$10,000	× \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT X Stock Other		NATURE OF INVESTMENT	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499		Partnership O Income	(Describe) Received of \$0 - \$499
	○ Income Received of \$500 or More (Report on Schedule C)		⊖ Income	Received of \$500 or More (Report on Schedule C)
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	// // ACQUIRED DISPOSED		// ACQUIRED	/ DISPOSED
	ACQUIRED DISPOSED		ACQUIRED	
►	NAME OF BUSINESS ENTITY	י	► NAME OF BUSINESS ENTI	
	DMC - I Shares MSCI GENERAL DESCRIPTION OF THIS BUSINESS		DMC - Gilead Scien	
				F THIS BUSINESS
	various		Tech	
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE	× \$10,001 - \$100,000
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	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	:
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	ACQUIRED DISPOSED		ACQUIRED	DISPOSED

	SCHEDULE A-1			
	Investments			CALIFORNIA FORM / 00 FAIR POLITICAL PRACTICES COMMISSION
	Stocks, Bonds, and Other Interests			
	(Ownership Interest is			Name Diane Middleton
	Investments mus		,	
_	Do not attach brokerage o			
►			► NAME OF BUSINESS ENTI	
	DMC - Select Specter		DMC - Vanguard Int	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	JF THIS BUSINESS
	various		various	
				_
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	NATURE OF INVESTMENT X Stock Other		NATURE OF INVESTMENT	
	(Describe)			(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
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	// / ACQUIRED DISPOSED		// ACQUIRED	/ DISPOSED
<u> </u>	NAME OF BUSINESS ENTITY	╽┣╴	 ACQUIRED NAME OF BUSINESS ENTI 	
-	DMC - Select Spector Trust		DMC -Axis Capital	11
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	DF THIS BUSINESS
	real estate		various	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		<pre>\$2,000 - \$10,000</pre> \$100,001 - \$1,000,000	✗ \$10,001 - \$100,000☐ Over \$1,000,000
	NATURE OF INVESTMENT Stock Other		NATURE OF INVESTMENT	
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	ACQUIRED DISPOSED	L	ACQUIRED	DISPOSED
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENTI	
	DMC - Select Spector Trust		DMC -I Shares Trus	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
	energy		telecommunication	
			FAIR MARKET VALUE	
	↓ \$2,000 - \$10,000 ★ \$10,001 - \$100,000 ↓ \$100,001 - \$1,000,000 ○ Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	✗ \$10,001 - \$100,000 ○ Over \$1,000,000
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	(Describe)			(Describe)
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	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	≣:
	// ACQUIRED DISPOSED		// ACQUIRED	// DISPOSED
			AUQUIKED	

	SCHEDULE A-1			CALIFORNIA FORM 700	
	Investments			FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and Other Interests		Name		
	(Ownership Interest is			Diane Middleton	
	Investments mus				
-	Do not attach brokerage o	or		T.V.	
	NAME OF BUSINESS ENTITY DMC -IBM		 NAME OF BUSINESS ENTI DMC -Wells Fargo 	ΙY	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION O	DF THIS BUSINESS	
			banking		
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE \$2,000 - \$10,000	× \$10,001 - \$100,000	
	\$100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT		
	Stock Other		Stock Other		
	(Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)		Partnership () Income () Income		
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
-	ACQUIRED DISPOSED	╽╽	ACQUIRED NAME OF BUSINESS ENTI	DISPOSED TY	
-	DMC- Kayne Anderson		DMF - AllState Corp.		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C		
	banking		insurance		
	FAIR MARKET VALUE		FAIR MARKET VALUE		
	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000		☐ \$2,000 - \$10,000 ☐ \$100,001 - \$1,000,000	✗ \$10,001 - \$100,000☐ Over \$1,000,000	
	NATURE OF INVESTMENT X Stock Other		NATURE OF INVESTMENT		
	□ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)	
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
			/	//	
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	
►	NAME OF BUSINESS ENTITY		► NAME OF BUSINESS ENTI		
	DMC -MGM Growth Properties		DMF - Axis Capital		
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS	
	properties		banking		
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000		FAIR MARKET VALUE	× \$10,001 - \$100,000	
	\$10,001 - \$10,000 Over \$1,000,000		\$2,000 - \$10,000 \$100,001 - \$1,000,000	Over \$1,000,000	
	NATURE OF INVESTMENT		NATURE OF INVESTMENT		
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	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	Ξ:	
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	ACQUIRED DISPOSED		ACQUIRED	DISPOSED	

	SCHEDULE A-1 CALIFORNIA FORM 70		
	Investn	ents CALIFORNIA FORM	
	Stocks, Bonds, and	Othor Intoracte	IISSION
	(Ownership Interest is	Loop Then 100()	
	Investments mus		
	Do not attach brokerage o	r financial statements.	
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
	DMF - DoubleLine Credit Fund	DMF Wells Fargo	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	banking	banking	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	× \$2,000 - \$10,000	□ \$2,000 - \$10,000 x \$10,001 - \$100,000	
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT		
	Stock Other (Describe)	Stock Other(Describe)	
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	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
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	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
	NAME OF BUSINESS ENTITY DMF - Partnerre	 NAME OF BUSINESS ENTITY Dowdupont Inc 	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	various	various	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	\$2,000 - \$10,000 X \$10,001 - \$100,000	\$2,000 - \$10,000 X \$10,001 - \$100,000	
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	NATURE OF INVESTMENT	NATURE OF INVESTMENT X Stock Other	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	
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	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
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	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
	DMF - Two Harbors Investment	EcoLab Inc	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	various	Lab	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	\$2,000 - \$10,000 ▼ \$10,001 - \$100,000	□ \$2,000 - \$10,000 ▼ \$10,001 - \$100,000	
	\$100,001 - \$1,000,000Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000	
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	ACQUIRED DISPOSED	ACQUIRED DISPOSED	

	SCHEDU		
	Investm	CALIFORNIA FORM / 00 FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and	1 Other Interests	
	(Ownership Interest is		Name Diana Middlatan
	Investments must	,	Diane Middleton
	Do not attach brokerage o	r financial statements.	
	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENT	ITY
_	Gaming and Leisure Properties	Infosys Lmtd	
Ģ	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION	OF THIS BUSINESS
	resort	technology	
_		FAIR MARKET VALUE	
	★ \$2,000 - \$10,000 \$100,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	↓ \$2,000 - \$10,000 ↓ \$1,000,000 ↓ \$1,000,000	✗ \$10,001 - \$100,000☐ Over \$1,000,000
-	ATURE OF INVESTMENT	NATURE OF INVESTMENT	
Ε	(Describe) Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)	Partnership O Income	(Describe) Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
_	// / ACQUIRED DISPOSED	ACQUIRED	// DISPOSED
	AME OF BUSINESS ENTITY	► NAME OF BUSINESS ENT	ITY
_	General Motors	Ishares	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION	OF THIS BUSINESS
-	automotive	Various	
F	AIR MARKET VALUE \$2,000 - \$10,000 X \$10,001 - \$100,000	FAIR MARKET VALUE	× \$10,001 - \$100,000
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000	Over \$1,000,000
_	JATURE OF INVESTMENT	NATURE OF INVESTMENT	
	□ (Describe) □ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)	☐ Partnership ◯ Income	(Describe)
			Received of \$500 of Wore (Report of Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
_			
	ACQUIRED DISPOSED	ACQUIRED	DISPOSED
		► NAME OF BUSINESS ENT	
_		Jacobs Engineering	
			OF THIS BUSINESS
_	toys	engineering	
_	AIR MARKET VALUE ▼ \$2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE	\$10,001 - \$100,000
	\$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000	Over \$1,000,000
_		NATURE OF INVESTMENT	
2	Stock Other (Describe)	Stock Other	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
I	F APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATI	E:
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	ACQUIRED DISPOSED	ACQUIRED	DISPOSED

Investments Stocks, Bonds, and Other Interests Unvestments must be itemized. Investments must be itemized. Interestive of source of the brokerage or financial statements. NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Interestive of status of source	SCHEDU	
Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%) Investments must be itemized Do not attach brokerage or financial statements. NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Iab PAR MARKET VALUE Stock State Other Other PAR MARKET VALUE Stock Other Other<	Investr	ments
(Ownership Interest is Less Than 10%) Investments must be itemized. Dane Middleton Dane Middleton Dane Middleton Dane Middleton NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Iab > PARE MARKET VALUE \$10,001 - \$100,000 \$100,001 - \$1,000,000 \$100,001 - \$100,000 NATURE OF INVESTMENT \$100,001 - \$100,000 Stock Other @ Detroffelo \$100,001 - \$1,000,000 NATURE OF INVESTMENT \$100,001 - \$100,000 MATURE OF INVESTMENT \$100,001 - \$100,000 Partmenthip Oncome Received of \$20 - \$409 © Income Received of \$20 - \$100,000 NATURE OF INVESTMENT Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY NAME OF BUSINESS ENTITY Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY Partmenthip Mate OF BUSINESS ENTITY	Stocks Bonds an	d Other Interests
Investments must be itemized. Do not attach brokerage or financial statements. NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Iab PAR MARKET VALUE Stock Stock 000 Stock Other Obrown Stock Other Denome Received of \$0 - \$499 Income Received of \$0 - \$100,000 NAME OF BUSINESS ENTITY MatinMariettaMaterials GENERAL DESCRIPTION OF THIS BUSINESS Commodities OPENDERS Partmership Oncome Received of \$0 - \$10,0000 Stock Other If APPLICABLE, LIST DATE: Image: ACOURED DISPOSED NAME OF BUSINESS ENTITY MatrinMariettaMaterials Centerial GENERAL DESCRIPTION OF THIS BUSINESS Various Stock Other (Descriter) </th <th></th> <th>is Loss Than 10%)</th>		is Loss Than 10%)
NAME OF BUSINESS ENTITY Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Iab FAIR MARKET VALUE Stook 0 ofter Stook 0 ofter Oncome Received of \$0.9409 In APPLICABLE, LIST DATE: ACQUIRED DISPOSED NAME OF BUSINESS ENTITY Mater of Business Mater of Business Mater of INVESTMENT Stock 0 ofter Oncome Received of \$0.9409	Investments mus	
Laboratory Corps GENERAL DESCRIPTION OF THIS BUSINESS Iab PAIR MARKET VALUE Stock 0 - 0 - 510,000 100,001 - \$1,000,000 NTURE OF INVESTMENT Stock 0 - 0 - 510,000 Partmership 0 - 1 - 0 - 500,000 NATURE OF INVESTMENT Stock 0 - 0 - 510,000 IF APPLICABLE, LIST DATE:	Do not attach brokerage	
GENERAL DESCRIPTION OF THIS BUSINESS Iab FAIR MARKET VALUE S 2000 - \$10,000 \$10,001 - \$100,000 NATURE OF INVESTMENT Ø Stock Other Oncome Received of \$0 - \$499 Oncome Received of \$0 - \$10,000 Stock Other Balance DISPOSED NATURE OF INVESTMENT Stock Stock Other Oncome Received of \$0 - \$499 Oncome Received of \$0 - \$499 <t< th=""><th></th><th></th></t<>		
Iab petroleum FAIR MARKET VALUE \$10,001 - \$100,000 \$100,001 - \$1,000,000 \$10,001 - \$100,000 NATURE OF INVESTMENT \$20,00 - \$10,000 Stock Other (Describe) Partnership Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: ////////////////////////////////////		
FAIR MARKET VALUE FAIR MARKET VALUE Stagoon _ \$10,000 \$10,001 - \$100,000 MATURE OF INVESTMENT Stock Other Income Received of \$5 - \$499 Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: ACQUIRED DISPOSED NAME OF BUSINESS ENTITY Market VALUE Stock Other (Describe) IF APPLICABLE, LIST DATE: ACQUIRED DISPOSED NAME OF BUSINESS ENTITY Market VALUE Stock Other (Describe) IF APPLICABLE, LIST DATE: ACQUIRED DISPOSED NAME OF BUSINESS ENTITY MartinMariettaMaterials GENERAL DESCRIPTION OF THIS BUSINESS commodities FAIR MARKET VALUE Stock Other (Describe) Partnership Partnership Other Stock Other (Describe) Stock Stock Other (Describe) Other Partnership Othone Received of \$500 or More (Report on Schedule C) </td <td>GENERAL DESCRIPTION OF THIS BUSINESS</td> <td>GENERAL DESCRIPTION OF THIS BUSINESS</td>	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
X 2:000 - \$10,000 \$10,001 - \$100,000 Stock Other MATURE OF INVESTMENT (Describe) Pathership Income Received of \$0 - \$499 O Income Received of \$0 - \$499 (Describe) IF APPLICABLE, LIST DATE: ////////////////////////////////////	lab	petroleum
¹ \$100,001 - \$1,000,000 ¹ Over \$1,000,000 ¹ Stock ¹ Other		
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(Describe)		
○ Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:	Stock Other (Describe)	Stock (Describe)
		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
NAME OF BUSINESS ENTITY MartinMariettaMaterials GENERAL DESCRIPTION OF THIS BUSINESS commodities FAIR MARKET VALUE \$ 2,000 - \$10,000 \$ \$10,001 - \$100,000 \$ \$100,001 - \$1,000,000 NATURE OF INVESTMENT \$ \$100,001 - \$1,000,000 Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
 NAME OF BUSINESS ENTITY MartinMariettaMaterials GENERAL DESCRIPTION OF THIS BUSINESS Commodities FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$10,001 - \$1,000,000 NATURE OF INVESTMENT \$\$2 stock O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE:	//// ACQUIREDDISPOSED	
MartinMarietta Materials Pentair GENERAL DESCRIPTION OF THIS BUSINESS Commodities Commodities FAIR MARKET VALUE Stock \$10,001 - \$100,000 NATURE OF INVESTMENT (Describe) Partnership Other O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: J J ACQUIRED DISPOSED NAME OF BUSINESS ENTITY Microsoft GENERAL DESCRIPTION OF THIS BUSINESS		
commodities FAIR MARKET VALUE \$2,000 - \$10,000 \$100,001 - \$1,000,000 Stook Other Coescribe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: ACQUIRED DISPOSED NAME OF BUSINESS ENTITY Microsoft GENERAL DESCRIPTION OF THIS BUSINESS		
FAIR MARKET VALUE [†] \$2,000 - \$10,000 [†] \$2,000 - \$10,000 [†] \$2,000 - \$10,000 [†] \$100,001 - \$1,000,000 MATURE OF INVESTMENT [†] Stock Other (Describe) Partnership Olncome Received of \$0 - \$499 Olncome Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: <u>/</u>	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
¹ \$2,000 - \$10,000 ¹ \$10,001 - \$10,000 ¹ \$100,001 - \$10,000 ¹ \$100,000 ¹ \$100,001 ¹ \$100,001 ¹ \$100,001 ¹ \$100,001 ¹ \$100,001	commodities	various
¹ \$100,001 - \$1,000,000 ¹ Over \$1,000,000 ¹ Over \$1,000,000 NATURE OF INVESTMENT ¹ Stock Other ¹ Other Matter OF INVESTMENT ¹ Other ¹ Other Over \$1,000,000 NATURE OF INVESTMENT ¹ Other ¹ Other Over \$1,000,000 NATURE OF INVESTMENT ¹ Other ¹ Other Over \$1,000,000 Partnership Income Received of \$0 - \$499 Other (Report on Schedule C) Partnership O Income Received of \$500 or More (Report on Schedule C) IF APPLICABLE, LIST DATE: /		FAIR MARKET VALUE
Stock Other Partnership Partnership IF APPLICABLE, LIST DATE:		
 Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (<i>Report on Schedule C</i>) IF APPLICABLE, LIST DATE:	X Stock Other	Stock Other
	Partnership O Income Received of \$0 - \$499	
 NAME OF BUSINESS ENTITY Microsoft GENERAL DESCRIPTION OF THIS BUSINESS AME OF BUSINESS ENTITY GENERAL DESCRIPTION OF THIS BUSINESS 	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
 NAME OF BUSINESS ENTITY Microsoft GENERAL DESCRIPTION OF THIS BUSINESS AME OF BUSINESS ENTITY GENERAL DESCRIPTION OF THIS BUSINESS 		
Microsoft GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION OF THIS BUSINESS		ACQUIRED DISPOSED
GENERAL DESCRIPTION OF THIS BUSINESS GENERAL DESCRIPTION OF THIS BUSINESS		► NAME OF BUSINESS ENTITY
technology		GENERAL DESCRIPTION OF THIS BUSINESS
teonnology	technology	
FAIR MARKET VALUE		FAIR MARKET VALUE
□ \$2,000 - \$10,000		
\$100,001 - \$1,000,000Over \$1,000,000\$100,001 - \$1,000,000Over \$1,000,000	□ \$100,001 - \$1,000,000 □ Over \$1,000,000	Since the second
X Stock Other Other <thother< th=""> Other <t< td=""><td></td><td></td></t<></thother<>		
Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	///_/	
ACQUIRED DISPOSED ACQUIRED DISPOSED	ACQUIRED DISPOSED	ACQUIRED DISPOSED

	SCHEDULE A-1			
	Investments		CALIFORNIA FORM /00 FAIR POLITICAL PRACTICES COMMISSION	
	Stocks, Bonds, and Other Interests		ther Interests	
	(Ownership Interest is			Name
	Investments must		-	Diane Middleton
	Do not attach brokerage o	r fina	ncial statements.	
►	NAME OF BUSINESS ENTITY		NAME OF BUSINESS ENTI	TY
			Technipfmc	
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	F THIS BUSINESS
	technology		tech	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	▼ \$2,000 - \$10,000 □ \$10,001 - \$100,000 □ \$100,001 - \$100,000 □ \$100,000		× \$2,000 - \$10,000	☐ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT X Stock Other		NATURE OF INVESTMENT	
	(Describe)			(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	//		/	//
_	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
	NAME OF BUSINESS ENTITY SPDR Gold		NAME OF BUSINESS ENTI Varian Medical	ΤΥ
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	F THIS BUSINESS
	Minerals		Lab	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$ 2,000 - \$10,000 X \$10,001 - \$100,000		\$2,000 - \$10,000	🔀 \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	NATURE OF INVESTMENT X Stock Other		NATURE OF INVESTMENT	
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499		─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─ ─	(Describe) Received of \$0 - \$499
	O Income Received of \$500 or More (Report on Schedule C)			Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	////		/	//
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED
►	NAME OF BUSINESS ENTITY	►	NAME OF BUSINESS ENTI	
			Wells Fargo & Com	bany
	GENERAL DESCRIPTION OF THIS BUSINESS		GENERAL DESCRIPTION C	OF THIS BUSINESS
			banking	
	FAIR MARKET VALUE		FAIR MARKET VALUE	
	\$2,000 - \$10,000 \$10,001 - \$100,000		\$2,000 - \$10,000	★ \$10,001 - \$100,000
	S100,001 - \$1,000,000 Over \$1,000,000		\$100,001 - \$1,000,000	Over \$1,000,000
	Stock Other (Describe)		Stock Other _	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)		Partnership O Income	Received of \$0 - \$499 Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:		IF APPLICABLE, LIST DATE	::
	////		/	//
	ACQUIRED DISPOSED		ACQUIRED	DISPOSED

	Investm	CALIFORNIA FORM / 00 FAIR POLITICAL PRACTICES COMMISSION
Stocks, Bonds, and Other Inter		FAIR POLITICAL PRACTICES COMMISSION
	(Ownership Interest is	
	Investments must	
_	Do not attach brokerage o	
►	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY Zohro Tochnologios
	GENERAL DESCRIPTION OF THIS BUSINESS	Zebra Technologies GENERAL DESCRIPTION OF THIS BUSINESS
	GLINERAL DESURIFIION OF THIS BUSINESS	GLINERAL DESCRIPTION OF THIS DUSINESS
		Tech
	FAIR MARKET VALUE	FAIR MARKET VALUE
	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT	NATURE OF INVESTMENT X Stock Other
	(Describe)	(Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	// // ACQUIRED DISPOSED	
-	NAME OF BUSINESS ENTITY	ACQUIRED DISPOSED NAME OF BUSINESS ENTITY
•	Yum China Holdings	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	fast food	
	FAIR MARKET VALUE	FAIR MARKET VALUE
	X \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	\$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
	NATURE OF INVESTMENT X Stock Other	NATURE OF INVESTMENT Stock Other
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499
	Income Received of \$500 or More (Report on Schedule C)	O Income Received of \$500 or More (Report on Schedule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	ACQUIRED DISPOSED	ACQUIRED DISPOSED
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
	YumBrand Inc	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
	fast food	
	FAIR MARKET VALUE	FAIR MARKET VALUE
	□ \$2,000 - \$10,000	
	S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000
	Stock Other (Describe)	Stock Other (Describe)
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	□ Partnership ○ Income Received of \$0 - \$499 ○ Income Received of \$500 or More (<i>Report on Schedule C</i>)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	////	////
	ACQUIRED DISPOSED	ACQUIRED DISPOSED

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

CALIFORNIA FORM FAIR POLITICAL PRACTICES COMMISSION

Name

(Ownership Interest is 10% or Greater)

Diane Middleton

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
D Middleton 2003 Trust Dated 10/27/03	
Name	Name
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one	Check one
Trust, go to 2 Business Entity, complete the box, then go to 2	Trust, go to 2 Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999 \$2,000 - \$10,000 \$10,001 - \$100,000 ACQUIRED \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Partnership Sole Proprietorship Other
 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST) 	 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)
\$0 - \$499 X \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000 \$1,001 - \$10,000 > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or X Names listed below The Pinnacle	\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000 \$1,001 - \$10,000 > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or Names listed below
 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY 	 ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY
Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property Real estate Los Angeles	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity <u>or</u> City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000
NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership	NATURE OF INTEREST Property Ownership/Deed of Trust Stock Partnership
Leasehold <u>Yrs. remaining</u> Other <u>Ltd. Partnership</u>	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

Filed Date: 05/28/2019 03:36 PM SAN: 011300006-STH-0006

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commissions, and	als, general managers and chief administrative officers of City agencies, members of City boards and 1 individuals nominated to positions subject to City Council approval must file this form in conjunction rm 700. Please refer to the attached instructions for additional information.			
🛛 Original Filing 🔲 A	Amended Filing (original filed on/20) Total Pages:			
Name: (Last, First, Middle) Midd	lleton, Diane			
Agency: Harbor	Department Position: Commissioner			
Phone:	Email:			
Type of Statement:	\boxtimes Pre-confirmationDate of nomination:05 / 11 / 2019 \square Assuming OfficeFirst day in position:/ / 2016 \square Annual/ / 2015through December 31, 2015 \square Leaving OfficeLast day in office:/ / 20			
I had the following inte	erests associated with restricted sources during this reporting period:			
□ 1. REAL PROPERTY — <i>section attached</i> . Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source.				
2. INVESTMENTS Investments (other	5 — section attached. r than real property) co-owned by, purchased from, or sold to a restricted source.			
□ 3. INCOME — section attached. Income received from a restricted source.				
☐ 4. GIFTS — <i>section attached.</i> Gifts, cumulatively valued at \$50 or more, received from a restricted source.				
5. BOARD POSITIONS — <i>section attached.</i> Positions held on the board of a restricted source.				
	- Or -			
6. NO INTERESTS I had no interests in during this reporting	n real property, investments, income, gifts, or board positions associated with restricted sources			
Certification				
	y of perjury under the laws of the City of Los Angeles and the state of California that I ions for this form and the information I have provided is true and complete.			
05/28/2019 03:36 PM				
Date	Signature			