

February 5, 2020

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles, CA 90012

Re: Amended Conflict of Interests Code

Department of Recreation and Parks

FOR COUNCIL CONSIDERATION
Action Required by May 5, 2020

#### Dear Councilmembers:

Enclosed are proposed amendments to the conflict of interests (COI) code for the Department of Recreation and Parks (RAP). As the City's code-reviewing body, the City Council must approve, revise, or return the proposed amendments within 90 days of the date they are received. Cal. Gov't Code §§ 82011(c), 87303. We recommend that you approve the amended COI code, which is provided in Attachments A through C.

#### A. Background

State law requires every City agency to adopt a COI code to help officials in the agency avoid financial conflicts of interest. Each COI code must identify the positions in the agency that have the authority to make or participate in government decisions, as well as the financial interests that must be reported on California Form 700 by the individuals who occupy those positions. Cal. Gov't Code §§ 87300, 87302(a). Agencies are required to amend their COI codes when changed circumstances make amendments necessary. Cal. Gov't Code § 87306.

#### B. Code Elements

A COI code consists of three sections: the incorporation and certification; a list of designated positions (schedule A); and a list of disclosure categories (schedule B). 2 Cal. Code Regs. §§ 18730(a)–18730(b)(3).

The incorporation and certification is a standard introductory statement that is included in each agency's COI code. It briefly explains the code, refers to relevant sections of state law, and certifies that the code was approved by the City Council.

Schedule A is a list of specific positions in the agency that have the authority to make or participate in making decisions that could foreseeably have an effect on a personal financial interest. Each position is assigned to a disclosure category, which is found in Schedule B. The disclosure category is based on the position's type and level of decision-making authority and describes the types of investments, business positions, sources of income, and interests in real property that must be reported on a statement of economic interests (California Form 700).

#### C. Process

RAP's COI code was last amended in 2003, and the existing COI code is provided in Attachment E. A number of staffing changes have occurred since then, and the code requires further amendment to comply with state law and to ensure the code's accuracy. In consultation with the Ethics Commission, RAP's staff conducted a substantive review, and the amended code reflects the agency's current organizational structure and decision-making processes.

On August 15, 2019, a notice of intention to amend RAP's COI code was emailed to the staff and the unions that represent them, and it was posted on the public bulletin boards in City Hall and City Hall East. A 30-day comment period began that day and ended on September 14, 2019. During the comment period, a public hearing was not requested, but six written comments were received. The comments are provided in Attachment D. As a result of one of the written comments, changes were incorporated into RAP's proposed Schedule A.

Because of the changes to Schedule A, a second 30-day comment period was required. On September 30, 2019, a notice of intention to amend RAP's COI code was emailed to the staff and the unions that represent them, and it was posted on the public bulletin boards in City Hall and City Hall East. The second comment period began that day and ended on October 30, 2019. During this comment period, a public hearing was not requested, but five written comments were received. The comments are provided in Attachment D. No further changes were made to RAP's proposed COI code as a result of the comments.

On September November 20, 2019, RAP's governing board approved the proposed COI code, confirming that the Schedule A list of designated positions identifies all the individuals in RAP who are required by state law to file statements of economic interests and that the assigned disclosure categories accurately reflect the scope of each position's decision-making authority. Copies of the proposed schedules are provided in Attachments A and B.

#### D. Conclusion

On behalf of RAP, we urge you to approve its amended COI code, which is provided in Attachments A, B, and C. Under State law, City Council action on the amended code is required by May 5, 2020 (90 days after this transmittal is received). If you have any questions, please contact Ethics Program Manager Samantha Rodriguez at (213) 978-1941.

Sincerely

Heather Holt

**Executive Director** 

#### Attachments:

- A Proposed Schedule A Designated Positions
- *B* Proposed Schedule B Disclosure Categories
- C Incorporation and Certification
- D Public Comments
- E Current Agency COI Code

cc: Assistant City Attorney Renee Stadel

### City of Los Angeles

### **DEPARTMENT OF RECREATION AND PARKS**

# Conflict of Interests Code DESIGNATED POSITIONS (Schedule A)

Position Title	<b>Disclosure Category</b>	
Accountant	5	
Air Conditioning Mechanic Supervisor	9	
Aquarium Educator	9	
Aquatic Director	13	
Aquatic Facility Manager	13	
Architect	5	
Associate Observatory Director	13	
Architectural Associate	2	
Assistant General Manager Recreation & Parks	1	
Banning Residence Museum Director	15	
Building Civil Engineer	5,	11
Building Repair Supervisor	15	
Carpenter Supervisor	9	
City Planner	14	
City Planning Associate	5	
Civil Engineer	5	
Civil Engineering Associate	5	
Chief Clerk Personnel	4	

Chief Financial Officer	1
Chief Management Analyst	8
Chief Park Ranger	8
Child Care Center Director	15
Community Program Director	6
Construction & Maintenance Superintendent	2
Construction and Maintenance Supervisor	2
Consultant	*
Curator of Griffith Observatory	13
Departmental Chief Accountant	1
Director of Systems	5
Electrical Engineering Associate	5
Electrician Supervisor	9
Environmental Specialist	3
Environmental Supervisor	5
Equipment Specialist	3
Executive Director Expo Center	1
Financial Analyst	5
Fiscal Systems Specialist	6
General Manager	1
Golf Manager	15
Golf Operations Supervisor	13
Golf Starter Supervisor	14
Historic Site Curator	9

Landscape Architect	13
Landscape Architectural Associate	9
Management Analyst	2
Marine Aquarium Administrator	15
Marine Aquarium Program Director	9
Marine Aquarium Exhibits Director	9
Maritime Museum Director	15
Maritime Museum Curator	9
Mechanical Repair General Supervisor	9
Member, Board of Commissioners	1
Observatory Director	15
Observatory Program Supervisor	13
Observatory Technical Supervisor	9
Park Maintenance Supervisor	13
Park Services Supervisor	13
Payroll Supervisor	4
Personnel Director	8
Personnel Records Supervisor	11
Playground Equipment Supervisor	9
Principal Accountant	8
Principal Civil Engineer	5
Principal Clerk Personnel	4
Principal Project Coordinator	5
Principal Recreation Supervisor	15

Public Information Director	1
Public Relations Specialist	5
Recreation Facility Director	15
Recreation Supervisor	15
Recreation Coordinator	15
Risk Manager	5
Roofer Supervisor	9
Safety Engineer	5
Safety Engineering Associate	5
Senior Accountant	8
Senior Auditor	1
Senior Management Analyst	8
Senior Park Ranger	14
Senior Personnel Analyst	8
Senior Photographer	11
Senior Recreation Director	15
Senior Systems Analyst	6
Service Coordinator	2
Sheet Metal Supervisor	9
Structural Engineering Associate	5
Superintendent of Recreation and Parks Operations	1
Systems Analyst	2
Systems Programmer	2

#### Volunteer Coordinator

2

\* Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interests code. (Gov. Code Section 81008.)

#### City of Los Angeles

#### DEPARTMENT OF RECREATION AND PARKS

Conflict of Interests Code
DISCLOSURE CATEGORIES
(Schedule B)

An individual whose position is identified in the Designated Positions section (Schedule A) of an agency's conflict of interests code is a filer and must disclose each economic interest identified below for the disclosure category associated with the individual's position. Disclosure must be made by filing the California Form 700 on specified schedules.

The definitions for investments, business positions, sources of income, interests in real property, doing business, gifts, and other terms may be found in the California Political Reform Act, its associated regulations, and the instructions for the California Form 700. The term "division" means a subset of the filer's agency and, depending on the agency's structure, may also be known as a department, group, office, section, or other similar term used to indicate a subordinate unit of the agency.

Economic interests must be disclosed for the applicable reporting period. In general, the following types of Form 700 filings have the following reporting periods:

Annual: January 1 through December 31 of the previous calendar year.

Assuming Office: the date the filer assumes office for investments, business

positions, and interests in real property; for income, the 12 months immediately preceding the date the filer assumes office.

Leaving Office: the last date covered by the filer's most recent filing through the

date the filer left the designated position.

Reporting periods may vary in individual circumstances and for other types of filings and should be verified with the Ethics Commission.

#### Category 1

Any investment, business position, source of income, or interest in real property.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's agency;
  - Provided or sought to provide services, goods, or equipment to the filer's agency; or

- 3. Was a party or sought to become a party to a written agreement with the filer's agency.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's agency provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.
- B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.

#### Category 4

- A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer's agency.
- B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer's agency.
- C. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - Was a party or sought to become a party to a written agreement with the filer's division.
- D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's agency;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's agency; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's agency.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's agency provided a review, recommendation, or referral.
- E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.
- F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's agency;
  - Provided or sought to provide services, goods, or equipment to the filer's agency; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's agency.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency.

- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's agency provided a review, recommendation, or referral.
- E. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer's agency.
- F. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer's agency.
- G. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.
- B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer's agency.
- D. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer's agency.
- E. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - Was a party or sought to become a party to a written agreement with the filer's division.
- F. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

#### **Category 8**

A. Any investment in, business position with, or income from a source that did any of the following:

- 1. Provides the type of services, goods, or equipment used by the filer's agency;
- Provided or sought to provide services, goods, or equipment to the filer's agency; or
- 3. Was a party or sought to become a party to a written agreement with the filer's agency.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's agency provided a review, recommendation, or referral.
- E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.
- F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.
- G. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer's agency.
- H. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer's agency.
- I. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.

- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the City when the filer's division provided a review, recommendation, or referral.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's division.
- B. Any interest in real property that was involved in an enforcement, regulatory. legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.

#### Category 11

- A. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer's agency when the filer's division provided a review, recommendation, or referral.
- B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.
- C. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.
- D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency when the filer's division provided a review, recommendation, or referral.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.
- E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's division.
- F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division:
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - Was a party or sought to become a party to a written agreement with the filer's division.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the

- filer's agency when the filer's division provided a review, recommendation, or referral.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.
- E. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer's agency when the filer's division provided a review, recommendation, or referral.
- F. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.
- G. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's division.
- B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.
- C. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer's agency when the filer's division provided a review, recommendation, or referral.
- D. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - Was a party or sought to become a party to a written agreement with the filer's division.
- E. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.

F. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.
- B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency when the filer's division provided a review, recommendation, or referral.
- D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.
- E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's division.
- F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.
- G. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer's agency when the filer's division provided a review, recommendation, or referral.
- H. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.
- I. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

- A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the City when the filer's division provided a review, recommendation, or referral.
- B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.
- C. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.
- D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to City employees and for which the filer's division provided a review, recommendation, or referral.
- E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in a personnel, investigative, enforcement, claim, or litigation matter that involved the filer's division.

- A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.
- B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.
- C. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.

- D. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- E. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency when the filer's division provided a review, recommendation, or referral.
- F. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.

- A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer's agency.
- B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer's agency.
- C. Any investment in, business position with, or income from a source that did any of the following:
  - 1. Provides the type of services, goods, or equipment used by the filer's division;
  - 2. Provided or sought to provide services, goods, or equipment to the filer's division; or
  - 3. Was a party or sought to become a party to a written agreement with the filer's division.
- D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.
- E. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.
- F. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency when the filer's division provided a review, recommendation, or referral.
- G. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.

## DEPARTMENT OF RECREATION AN PARKS CITY OF LOS ANGELES

CONFLICT OF INTERESTS CODE	Effective Date:	
Incorporation and Certification Page 1 of 2		
rage 1012		w.

#### INCORPORATION

The Political Reform Act of 1974 (PRA) requires state and local government agencies to adopt and promulgate conflict of interests codes to help government employees avoid financial conflicts. See California Government Code sections 81000 et seq.

The Fair Political Practices Commission (FPPC) has adopted a regulation that contains the terms of a standard conflict of interests code, can be incorporated by reference, and may be amended by the FPPC to conform to amendments to the PRA after public notice and hearings. This agency's conflict of interests code incorporates by reference that regulation (2 California Code of Regulations section 18730); the attached Schedule A, which identifies each position in the agency that is charged with making or participating in making a government decision; and the attached Schedule B, which identifies the economic interests that the individuals in those designated positions must disclose.

City officials (individuals holding, elected to, or appointed to a position in Schedule A) must periodically submit statements of economic interests that disclose the economic interests in Schedule B that they held during the reporting period. The statements must be submitted to the Ethics Commission, which makes the statements available for public inspection and reproduction (see California Government Code section 81008).

City officials are required to comply with the requirements of their agency's code and the requirements of state and City law.

## DEPARTMENT OF RECREATION AN PARKS CITY OF LOS ANGELES

CONFLICT OF INTERESTS CODE Incorporation and Certification Page 2 of 2	Effective Date:
CER	TIFICATION
participate in the making of governments assigned to each position accurately report their investments, business posources of income that could foresee	ably be materially affected by the City making. This code was approved by the
///20//9 (Date)	
	M. Mur (Signature)
	General Manager (Title)
	(Date)



Sally De Leon

### NOTIFICATION OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE

Elizabeth Gutierrez
To: Sally De Leon <

Fri, Aug 16, 2019 at 2:18 PM

Hi Sally,

I have some questions about this.... who should I contact?

Thank you,

Elizabeth N. Gutiérrez Griffith Observatory



Sally De Leon

Fri, Aug 16, 2019 at 2:21 PM

To: Elizabeth Gutierrez

Hi Elizabeth,

I can assist you, and if I do not have the answers, I will inquire. What are your questions?
[Quoted text hidden]
[Quoted text hidden]





Elizabeth Gutierrez ·
To: Sally De Leon

Fri, Aug 16, 2019 at 2:26 PM

Thank you!

And sorry, .. I'm not following what the requested changes are.... is it the list of staff affected?

Because I wanted to mention that, certainly at least for us here at the Observatory, only Dr. Krupp's information is correct. But I also noticed other errors.

Thank you for clarifying.. [Quoted text hidden]



Sally De Leon
---------------

To: Mark Pine Cc: Sally De Leon

Fri, Aug 16, 2019 at 4:13 PM

Hi Mark,

Sally De Leon should be able to assist you with this. I have included her in this email response. Thank you.

On Fri, Aug 16, 2019 at 10:23 AM Mark Pine Hi Lily -

wrote:

If there are errors or comments I need to make on this, do I send through the formal process or through HR? Things like classifications that don't exist?

Thanks, Mark
[Quoted text hidden]

Lily Astorga

Recreation & Parks Human Resources



Sally De Leon
To: Elizabeth Gutierrez

Mon, Aug 19, 2019 at 10:10 AM

Hello.

No problem. What kind of errors, on what section?

The Current Code is the one that is currently in existense, it is really outdated, as you can see.

The **Proposed Code** is what is being proposed, which means that if it is passed, it will replace the current code and the classes listed under schedule A will become designated filers.

The **Proposed Schedule B** is a decription of each category, categories are reflected to the right of each class on the proposed schedule B.

Did this anwer your question? [Quoted text hidden] [Quoted text hidden]



Sally De Leon •	
-----------------	--

Sally De Leon
To: Mark Pine

Mon, Aug 19, 2019 at 10:14 AM

Hi Mark,

Are you referring to the current code? If not, what section are you reffering to? I am your contact for this, please email me your questions/comments and I will be able to assist you. [Quoted text hidden]



Sally De Leon	

Mark Pine To: Sally De Leon	Mon, Aug 19, 2019 at 10:51 AM

Thanks Sally. I will send you all my comments, and you can advise if any of them need to be submitted through another process.

One example: one of the positions listed in the proposed changes (Associate Observatory Director) does not exist. [Quoted text hidden]



Sally De Leoi	n <	
---------------	-----	--

Bill Violante
To: Sally De Leon

Wed, Aug 21, 2019 at 7:25 AM

Good Morning:

Without knowing what EAA members and what specific jobs are being performed by those members that would require they disclose pursuant Government Code Section 87300 and 87306 EAA is unable provide any input at this time. Should there be an issue EAA with one of our members, EAA will look at each individual case and handle as appropriate on a case by case basis.

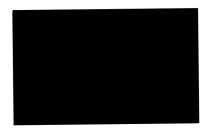
Best Regards,

Bill

"Strength in Unity"

Bill Violante

**Executive Director** 





From: Sally De Leon <

Date: Thursday, August 15, 2019 at 7:02 PM

To: Bill Violante



Sally De Leon	
---------------	--

Hi again Sally -

I've gone through the entire list of positions, with a focus on the Observatory, and have the following comments. I'll confess I don't understand if the list of positions is somehow mandated by the code (i.e., above a certain salary level or in a certain type of field), so you can disregard any comments over which the Department has no purview. But it seemed like the list was crafted BY the Department, so hopefully there is a chance to revisit some of the decisions.

I'll proceed through the list in alphabetical order:

#### **Associate Observatory Director**

There is no such classification.

#### **Astronomical Lecturer**

This one was not part of the list in the past, and there is no reason it should be on the list now. The only possible justification would be that the position is exempt.

#### **Building Repair Supervisor**

Correct classification name is Building Repairer Supervisor.

#### Chief of Staff / Executive Officer

Neither of these classifications is listed. Executive Officer was on the old list.

#### **Observatory Director**

I'm assuming this covers both levels of the classification.

#### **Observatory Program Supervisor**

This classification was on the old list, but largely because it was the senior programming position at the Observatory. That spot is now held by the Curator of Griffith Observatory (which did get added to the list), to which the Observatory Program Supervisor reports. I'm not sure it still needs to be on the list.

#### Park Services Supervisor

This classification was on the old list but has been omitted for some reason from this list. It should be added, especially since lower-level positions in the job series (Principal Park Services Attendant and Senior Park Services Attendant) are included. I'm assuming this is just an oversight. But, if not, then I'd recommend removing the two lower-level positions as well.

#### Video Production Coordinator

This was not on the old list and has been added for some reason. I can see no rationale for that change, given the scope and responsibilities of the position, at least as it is used by the Observatory. We have the only Video Production Coordinator in the Department.

#### **Volunteer Coordinator**

I'm mystified by why this position would be added to this list. Neither its salary level nor responsibilities would seem to justify it.

As currently written (plus the Park Services Supervisor), the following 14 full-time staff members (out of 24 total) from the Observatory would have to report:

Observatory Director I / II (one of each)
Curator of Griffith Observatory
Observatory Program Supervisor
Astronomical Lecturer
Video Production Coordinator (proposed for deletion)



To: Mark Pine Cc: Jennifer Wong

Thu, Aug 22, 2019 at 8:30 AM

Good morning Mark,

Thank you for the input. I will discuss with Harold and the Ethics Commission, and will get back to you as soon as I have a response.

Have a great day.
[Quoted text hidden]
[Quoted text hidden]



Sally De Leon	1	

Vanessa Leyva
To: Sally De Leon

Mon, Sep 30, 2019 at 1:14 PM

Good afternoon Sally,

I think this email was sent to me in error. Please advise.

Kind regards,

[Quoted text hidden]

Vanessa Leyva Recreation Coordinator City of Los Angeles Department of Recreation & Parks Ramona Hall Community Center



Sally De Leon	
---------------	--

Cristina Lovett <
To: Sally De Leon

Mon, Sep 30, 2019 at 1:22 PM

Hello Ms. De Leon,

The Rec&Parks Current Code pdf is really out of date. Many of those people have retired or have been promoted. I don't know if that matters, though, because the positions are the same. Either way, I don't mean to be rude, just thought to let you know since the date on the Notice of Intention is dated today.

Thank you for sending this out - it's definitely a good thing to know.

All the best,

Cristina Lovett

Westchester Senior Center

On Mon, Sep 30; 2019 at 12:30 PM Sally De Leon [Quoted text hidden]

wrote:



Sally	De	Leon			
-------	----	------	--	--	--

James Muff
To: Sally De Leon •

Mon, Sep 30, 2019 at 1:29 PM

Hi Sally, I have no idea why you sent this to me but looking at the list of names I can tell this is a very old list. I started on the Pool and Pump Crew in 1996 and I remember John Vowels from Aquatics I believe he retired in 1998 or so. I think we are have had two or three GMs since then. This list is seriously old. I have no comments on the proposed amendments.

Please tell me why this was sent to me.

Thank you,



On Mon, Sep 30, 2019 at 12:30 PM Sally De Leon [Quoted text hidden]

wrote:



To: Cristina Lovett

Mon, Sep 30, 2019 at 1:36 PM

Hello Cristina,

Thank you for your email.

The attached current code is for your information only, and it is extremely outdated which is why it is being updated. The **proposed code** is also attached for your reference (3rd attachment - Revised COI Code), that is the code that will supersede the current code, if approved.

Let me know if you have any questions.

[Quoted text hidden]



Sally	De	Leon			

Sally De Leon To: James Muff <

Mon, Sep 30, 2019 at 2:01 PM

Hello James,

The email was sent to everyone who will be affected by the proposed code in one way or the other. The current code is extremely outdated which is why the Ethics Commission is working with RAP to udpdate it, and it is attached for your reference as is the revised, proposed COI code (3rd attachment), which will supersede the current code, if approved.

Let me know if you have additional questions.

[Quoted text hidden]





Sally De Leon
To: Vanessa Leyva

Mon, Sep 30, 2019 at 2:33 PM

Hi Vanessa,

The email was sent to everyone who will be potentially affected if the proposed COI code is approved (attachment 3). Let me know if you have additional questions.

[Quoted text hidden]



Sally De	Leon •		

## NOTIFICATION OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE \*\*\*SECOND PUBLIC COMMENT PERIOD\*\*\*

Sylvia Patsaouras

Mon, Sep 30, 2019 at 3:28 PM

To: Sally De Leon Cc: Harold Arrivillaga

Hi Sally,

I don't know if Schedule A under the attachment Current Code... is just a sample, but it needs to be updated.

Thanks.

Sylvia

Sylvia Patsaouras, President Board of Recreation and Park Commissioners

On Monday, September 30, 2019, 12:32:31 PM PDT, Sally De Leon

wrote

Hello,

\*\*\*SECOND PUBLIC COMMENT PERIOD\*\*\*

NOTICE IS HEREBY GIVEN that the Department of Recreation and Parks intends to amend a conflict of interest code.

Attached for your reference:

- Notice of Intention (Second public comment period)
- Current Code
- Proposed Schedule A
- Proposed Schedule B

Thank you.

Sally De León 👙

Secretary to Harold Fujita, Director of Human Resources

City of Los Angeles

Department of Recreation and Parks

#### 4 attachments

Notice of Intention 09302019.pdf 306K

Rec&Parks Current Code.pdf 585K



Sally De Leo	n -	
--------------	-----	--

## NOTIFICATION OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE \*\*\*SECOND PUBLIC COMMENT PERIOD\*\*\*

Saily De Leon
To: Sylvia Patsaouras
Cc: Harold Arrivillaga

Mon, Sep 30, 2019 at 3:46 PM

Hi Sylvia,

Thank you for your email.

The current code is extremely outdated which is why the Ethics Commission is working with RAP to update it. The revised, proposed Schedule A -COI code (3rd attachment), will supersede the current code, if approved. Let me know if you have any questions.

[Quoted text hidden] [Quoted text hidden]



Sally De Le	eon ·	>
-------------	-------	---

# NOTIFICATION OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE \*\*\*SECOND PUBLIC COMMENT PERIOD\*\*\*

Alfred Tutungi
To: Sally De Leon

Mon, Oct 7, 2019 at 10:00 AM

Hello Sally,

Why am I getting this notice.?

On Mon, Sep 30, 2019 at 12:30 PM Sally De Leon [Quoted text hidden]

wrote:

Thank you,

Alfred Tutungi Sr. Rec. Dir. II North Hollywood R.C.

Come Grow With Us, Click Here



Sally De Leon
---------------

## NOTIFICATION OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE \*\*\*\*SECOND PUBLIC COMMENT PERIOD\*\*\*

Sally De Leon · To: Alfred Tutungi

Tue, Oct 8, 2019 at 2:07 PM

Helio Alfred,

The email was sent to everyone who will be affected by the proposed code in one way or the other.

The current code is extremely outdated which is why the Ethics Commission is working with RAP to update it, and it is attached for your reference as is the revised, proposed COI code (3rd attachment), which will supersede the current code, if approved.

Let me know if you have additional questions.

[Quoted text hidden]
[Quoted text hidden]

**Ethics Commission** 

Designated Positions	Category	
Board of Commissioners	1	L.Chase,M.Medavoy,D.Michaelson,R.Nizich,L.Specht
General Manager	1	E.Oppenheim
Senior Management Analyst I (Council Liaison)	1	M. Bingham
Executive Officer	1	M.Matthews
Principal Recreation Supervisor II (Class Parks)	3	M.White
Recreation and Parks Operations		
Assistant General Manager	1	T.Coroalles
Senior Management Analyst II	1	L.Barth
Superintendent	1	M.Mariscal,G.Lum,K.Regan
Principal Recreation Supervisor II	3	E.Ferrante,M.Marquand,D.Rolland
Principal Grounds Maintenance Supervisor II	2	J.Ward,P.Kennedy,R.Berkowitz,S.Ball
Senior Park Maintenance Supervisor	2	T.McCall,R.Davis,A.Gonzalez,J.Vara,W.Lopez,J.Hammontree T.Chant,M.Castille,J.Medina,D.Wood
Principal Recreation Supervisor II (ClassParks/Youth Plus Prgm)		M.White
Principal Recreation Supervisor I (Class Parks/Youth Plus Prgm)	3	G.Baer,R.Gonzalez,S.Pina-Cortez
Recreation Supervisor	4	E.Bates, A. Henriquez, W. Wilkinson, A. Meacham, M. Wooden,
		J.Venditto, J.Pierce, T.Tellis, C.Chavoor, P.Orland, R.Kater, V.Punzal
Recreation and Parks Operations - Railroad Museum		
Railroad Museum Director	5	Vacant
Recreation and Parks Operations - Griffith Observatory		
Director	5	E.Krupp
Management Analyst II	5 ·	K.Warren
Observatory Program Supervisor	5 '	J.Mosley
Observatory Technical Supervisor	5	E.Vanderwall
Recreation and Parks Operations-Hollywood Museum, Sign & Travel Town		
Senior Management Analyst II	5	L.Barth
Park Services Supervisor	6	D.Treadwell
Management Analyst II (Special Facility Liaison)	6	T.Petrique,T.Breckner,L.Loomis
Principal Park Services Attd: (Sherman Oaks Castle Park)*	6	J.Bolan
Systems Analyst II*	7	L.Draus
Recreation and Parks Operations - Banning Residence & Museum		
Director	5	Vacant
Curator*	5	M.Sanborn

Page 1 of 5

Recreation and Parks Operations - Cabrillo Marine Aquarium		
Director	5	S.Lawrenz-Miller
Curator	5	C.Okamoto, E.Mastro, S.Vogel
Exhibits Director	5	M.Schaadt
Program Director	5	L.Fukuhara
Recreation and Parks Operations - Drum Barracks		
Historic Sight Curator*	5	S.Ogle
Recreation and Parks Operations - Fort MacArthur Military Museum		
Director*	5	S.Nelson
Recreation and Parks Operations - Los Angeles Maritime Museum		
Director	5	W.Lee
Curator	5	M.Trivelli
Community Services		
Assistant General Manager	. 1	J.Combs
Senior Management Analyst II*	1	G.Robison
Principal Recreation Supervisor II	3	V.Israel
Child Care Program Manager*	4	C.James
Senior Recreation Director I (Pershing Square)*	4	L.Capone
Principal Recreation Supervisor I (Senior Citizens Program)	4	L.Ritzman
Principal Recreation Supervisor I (Aquatics)	3	J.Vowles
Aquatic Director (Aquatics)	4	J.Batarse,R.Godino,P.Delgado
Principal Recreation Supervisor I (Community Services)	3	B.King
Project Assistant (L.A.Y.A.C.)*	4	M.Rios
Recreation Supervisor (Muni-Sports/Camps)	4	C.Singer
Recreation Supervisor (L.A. Kids)	4	S.Vollmer
Recreation Supervisor (Community Services)	4	A.Vollmer
Recreation Supervisor (Senior Citizens Program)	. 4	S.Kalsman
Senior Recreation Director I (Senior Citizens Program)	4	R.Brown
Community Services - Facility Repair		
Superintendent	1	G.Stigile
Construction & Maintenance Supervisor II	2	M.Fea,C.Richmond,Vacant

Page 2 of 5

C. Williams

Community Services - Golf Operations Golf Manager\*

Ethics Commission

Golf Operations Supervisor* Senior Park Maintenance Supervisor	2 2	Vacant K.Novak
Community Services - Rangers/Communications/Emergency Management Chief Park Ranger		Vacant
Community Services - Forestry Principal Grounds Maintenance Supervisor II	.2	T.Proscewicz
i iniopai Grounds Maintenande Gapervisor ii		1.1 103064102
Community Services - Equipment Equipment Specialist II	9	T.Lien,R.Quintero
Planning and Construction		•
Assistant General Manager	1	M.Tamuri
Superintendent of Recreation and Parks	1	R.Fawcett
Senior Management Analyst I*	10	J.Miller
Environmental/Analysis		
Environmental Supervisor I*	10	D.Attaway, D.Barker
Environmental Specialist II*	10	Vacant,P.Davis
Land Management Division		
Senior Management Analyst II*	10	L.Dudley
Management Analyst II*	11	Vacant,Vacant,J.Alvarez,B.Reynolds,D.Tolliffee
Senior Management Analyst I*	10	J.Rietzel,Vacant
Advance Planning Division		
City Planner	10	C.Didier
City Planning Assoc II*	10	Vacant
Management Analyst II*	11	A.Cormier
Design and Construction Division/Project Management		
Contract Administrator	10	A.Delao,R.Klink
Landscape Architect I	10	K.Burmer,R.Gutierrez,V.Haltey
Landscape Architect Assoc II	10	Vacant
Landscape Architect IV	10	K.Chan
Design and Construction Division/Project Management continued:		
Architect	10	C.Chang,W.Yip,Vacant,G.David,Vacant,Vacant,R.Aficial
		A.Fernandez-DelaRosa,S.Wong
Architect Assoc II	10	P.Tseng

Page 3 of 5

Attachment E

Architectural and Engineering Division		
Landscape Architect I	10	S.Davis,Vacant
Landscape Architect Assoc III	10	Vacant, D.Deets
Landscape Architect Assoc II	10	S.Gomey,C.Huang,R.Oyakawa,S.Hsu
Senior Architect	10	R.Lew
Architectural Assoc III	10	Vacant, Vacant
Architectural Assoc II	10	Vacant,H.Ting,E.Emuslan,R.Deight,Vacant,Vacant
Structural Engineering Assoc III	10	Vacant
Mechincal Engineering Assoc III	10	E.Chang
Structural Engineer	10	W.Lit
Civil Engineer	10	S.Lagunzad
Electricial Engineer Assoc II	10	R.Torres
Electricial Engineer Assoc III*	10	Vacant
<u>Finance</u>	•	
Chief Financial Officer	1	J.Duggan
Finance - Budget & Concessions		
Chief Management Analyst	12	B.Benjamins
Senior Management Analyst II (Budget & Concessions)	12	R.Kraus
Senior Management Analyst I (Revenue Management)*	6	T.Puchalski
Senior Management Analyst I (Concessions Management)	6	K.Hashiguchi
Finance - Systems		
Fiscal Systems Spec. II	7	E.O.Esquerra
Sr. Systems Analyst II	7	D.Caballero
Sr. Systems Analyst I	7	J.Calderas
Finance - Accounting & Grants Administration		,
Chief Management Analyst	12	Vacant
Dept. Chief Accountant III	12	R.Reyes
Senior Auditor*	12	A.Hwang
Auditor*	12	R.Intia,R.Buluran,P. Jitkreesorn,R. Garaniel
Human Resources Division		
Director of Human Resources	13	H.Fujita
Assistant Director	13	P.Heyne
Safety Engineer*	13	C.Holbrook
Development and Marketing		

Page 4 of 5

Director 1 J.Kolb

EPICC

Executive Director 1 Vacant

\*Newly designated positions are noted with asterisks

Page 5 of 5

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:
  - a. sell goods or services to the Department of Recreation and Parks (Department); or
  - b. become a concessionaire, tenant, or sub-tenant of the Department; or
  - c. become licensed by the Department; or
  - d. issue health, accident or liability insurance to the Department; or
  - e. participate in Department-sponsored grant funded programs; or
  - f. influence any action of the Department; or
  - g. become a competitor of any person or business entity in any of the above groups.
- Investment in or income including loans and gifts from any person, business or organization
  which owns real property or represents an owner of real property which during the past 12
  months was offered for sale, lease, donation, or was sold, leased or donated to the
  Department.
- 3. Income, including loans and gifts from any person, business or organization which is or during the past 12 months:
  - a. was employed by or applied for employment at the Department; or
  - b. attempted to influence any action of the Department of Recreation and Parks; or
  - c. registered or was required to be registered as a municipal legislative advocate pursuant to the L.A.M.C. §48.01 et seq (registered lobbyist), with respect to advocacy before the Department or officers and employees of the Department; or
  - d. offered for sale, lease or donated property to the Department or was sold, leased or granted property by the Department; or
  - e. represented a property owner whose property was offered for sale, was sold, leased or donated to the Department or was sold, leased or granted property by the Department.
- 4. Interest in real property located within the City of Los Angeles, or within two miles of the boundaries of the City of Los Angeles, or any facility operated or proposed to be operated by the Department.

#### **CATEGORY 2**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:

- a. engage in construction or maintenance of facilities which are on land owned or used by the Department as a contractor or subcontractor; or
- b. supply or manufacture materials for use in the construction or maintenance of facilities and in promotion of public health and safety on land owned or used by the Department which are normally used in the occupational areas supervised or directed by the designated employee.

#### **CATEGORY 3**

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to provide <u>any</u> recreation related service, equipment, or supplies including, but not limited to those related to office operations, arts and crafts, museum displays such as paintings or historical artifacts, land and water sports, theater, food service, award ceremony, photography, fireworks, maintenance, and camping which are normally used in the occupational areas supervised or directed by the designated employee.
- 2. Income, including loans and gifts in the amount of \$320 or more, from any person who was employed by or who applied for employment at the Department during the past 12 months.
- Interest in real property located within the City of Los Angeles or within two miles of the boundaries of the City of Los Angeles or any facility operated or proposed to be operated by the Department.

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to provide <u>any</u> recreation related service, equipment, or supplies including, but not limited to those related to office operations, arts and crafts, museum displays such as paintings or historical artifacts, land and water sports, theater, food service, award ceremony, photography, fireworks, maintenance, and camping which are normally used in the occupational areas supervised or directed by the designated employee.
- 2. Income, including loans and gifts in the amount of \$320 or more, from any person who was employed by or who applied for employment in the Department during the past 12 months.

#### **CATEGORY 5**

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:
  - a. sell goods or services including but not limited to those related to office operations, arts and crafts, museum displays such as paintings, artifacts and specimens and food service to the museum supervised or directed by the designated employee; or
  - b. become licensed by or become a concessionaire, tenant or sub-tenant of the museum supervised or directed by the designated employee; or
  - c. provide lecturing services to the museum supervised or directed by the designated employee; or
  - d. become a competitor of any person or business entity in any of the above groups.
- Income, including loans and gifts, from any person who, during the past 12 months was employed by or applied for employment at the museum supervised or directed by the designated employee.

#### **CATEGORY 6**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to become licensed by or become a concessionaire, tenant or sub-tenant of the facility attended by the designated employee.

### **CATEGORY 7**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:

- a. supply computer or information technology hardware or software products to the occupation section whose computer and network systems the designated employee operates or administers; or
- provide consulting or education services on computer or information technology to the occupation section whose computer and network systems the designated employee operates or administers.

#### **CATEGORY 8**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:

- a. engage in construction or maintenance of \$1,000 or more of facilities which is on land owned or used by the Department as a contractor or subcontractor; or
- b. supply or manufacture materials valued at \$1,000 or more for use in construction or maintenance of facilities on land owned or used by the Department which are normally used in the occupational areas supervised or directed by the designated employee; or
- c. provide services and materials related to law enforcement and public safety on land owned or used by the Department which are supervised or directed by the designated employee.

#### **CATEGORY 9**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to supply or manufacture equipment for use in the construction or maintenance of facilities on land owned by the Department.

### **CATEGORY 10**

Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to provide building equipment and fixtures, analytic testing, inspection, report preparation or services involving design, construction or maintenance of facilities for the Department.

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to provide:
  - a. real estate services including but not limited to broker, appraisal, title search, insurance, structural and land inspection and escrow services to the Department; or
  - b. building equipment and fixtures, analytic testing, inspection, report preparation or services involving design, construction or maintenance of facilities for the Department.

- 2. Income, including loans and gifts from any person, business or organization which is or during the past 12 months:
  - a. attempted to influence any action of the Department; or
  - b. was registered or was required to be registered as a municipal legislative advocate pursuant to the L.A.M.C. §48.01 et seq (registered lobbyist), with respect to advocacy before the Department or officers and employees of the Department; or
  - c. offered for sale, lease or donated property to the Department or was sold, leased or granted property by the Department; or
  - d. represented a property owner whose property was offered for sale, was sold, leased or donated to the Department or was sold, leased or granted property by the Department.
- Interest in real property located within the City of Los Angeles or within two miles of the boundaries of the City of Los Angeles or any facility operated or proposed to be operated by the Department.

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to:
  - a. provide accounting services including investment counseling or financial auditing services to the Department; or
  - b. become a concessionaire, tenant, or sub-tenant of the Department; or
  - c. become licensed by the Department; or
  - d. participate in Department-sponsored grant funded programs; or
  - e. become a competitor of any person or business entity in any of the above groups.
- Investment in or income including loans and gifts from any person, business or organization
  which owns real property or represents an owner of real property which during the past 12
  months was offered for sale, lease, donation, or was sold, leased or donated to the
  Department.
- Interest in real property located within the City of Los Angeles or within two miles of the boundaries of the City of Los Angeles or any facility operated or proposed to be operated by the Department.

### **CATEGORY 13**

- 1. Investment in, or income including loans and gifts from any person, business or organization which currently, within the past 12 months was, or proposes to provide the Department employee training, instruction or seminars, and/or labor relations or management consulting services.
- 2. Income, including loans and gifts in the amount of \$320 or more, from any person who is or during the past 12 months was employed by or applied for employment at the Department.

D:\SchedB