

March 12, 2020

The Honorable City Council c/o Holly Wolcott, City Clerk 200 North Spring Street City Hall – 3rd Floor Los Angeles CA 90012

Re: <u>Council File Number 20-0182</u> Appointment of Capri Maddox as Permanent Executive Director of the Civil and Human Rights Department

FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Capri Maddox was appointed by the Mayor as the permanent Executive Director of the Civil and Human Rights Department on February 10, 2020. The Ethics Commission received Ms. Maddox's pre-confirmation financial disclosure statement on March 12, 2020. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Ms. Maddox's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

Jamontlee Ply

Samantha Rodriguez Ethics Program Manager

Enclosures: Form 700 Form 60

cc: Mayor Eric Garcetti

CALIFORNIA FORM 700	STATEMENT O	F ECONOMIC INTE	RESTS Date Initial Filing Receive
FAIR POLITICAL PRACTICES COMMISSION	COVER PAGE		Filed Date: 03/12/2020 01:58 PM
Please type or print in ink.	A PUE	SLIC DOCUMENT	SAN: 011300006-STH-0006
NAME OF FILER (LAST)	(FIRST)		(MIDDLE)
Maddox	Capri		
1. Office, Agency, or Court			
Agency Name (Do not use acronyms)			
Civil and Human Rights Departm			
Division, Board, Department, District, if appli	icable	Your Position	
		Executive Direct	tor
► If filing for multiple positions, list below o	or on an attachment. <i>(Do not u</i> s	e acronyms)	
Agency:		Position:	
2. Jurisdiction of Office (Check at le	east one box)		
State		Judge, Retired Judg (Statewide Jurisdictio	e, Pro Tem Judge, or Court Commissioner m)
Multi-County		County of	
City of Los Angeles		Other	
3. Type of Statement (Check at least	one box)		
Annual: The period covered is Januar December 31, 2019.	ry 1, 2019, through	Leaving Office: D	ate Left// (Check one circle.)
The period covered is December 31, 2019.	/, through	O The period cover leaving office.	ered is January 1, 2019, through the date of
Assuming Office: Date assumed	//	O The period cove the date of leav	ered is//, through ing office.
Candidate: Date of Election02/1	0/2020 and office sought	, if different than Part 1:	
4. Schedule Summary (must com Schedules attached	iplete) ► Total number	of pages including this	s cover page:7
Schedule A-1 - Investments - sched	dule attached		ns, & Business Positions – schedule attached
Schedule A-2 - Investments – sched	dule attached	Schedule D - Income – Gif	
Schedule B - Real Property – sched	dule attached	☐ Schedule E - Income – Gif	ts – Travel Payments – schedule attached
-or- D None - No reportable intere	sts on any schedule		
5. Verification			
MAILING ADDRESS STREET (Business or Agency Address Recommended - Public D	CITY Document)		STATE ZIP CODE
DAYTIME TELEPHONE NUMBER		EMAIL ADDRESS	
I have used all reasonable diligence in prepa herein and in any attached schedules is tru			best of my knowledge the information contained
I certify under penalty of perjury under the		·	e and correct.
Date Signed 03/12/2020 01:58	<u>3 PM</u> s	ignature	
(month, day, year)		(File the origina	lly signed paper statement with your filing official.)

	SCHEDULE A-1		
	Investm	nents CALIFORNIA FORM 70	
	Stocks, Bonds, and		SION
(Our parabia Interact is Lass Than 10%)			
	Investments musi		
_	Do not attach brokerage o		
►	NAME OF BUSINESS ENTITY	NAME OF BUSINESS ENTITY	
	Apple GENERAL DESCRIPTION OF THIS BUSINESS	Netflix Inc. GENERAL DESCRIPTION OF THIS BUSINESS	
	GLINERAE DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS DOSINESS	
	Technology	Technology	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	□ \$2,000 - \$10,000 ▼ \$10,001 - \$100,000 □ \$100,001 - \$1,000,000 □ Over \$1,000,000	↓ \$2,000 - \$10,000 ➤ \$10,001 - \$100,000 ↓ \$100,001 - \$1,000,000 ○ Over \$1,000,000	
	NATURE OF INVESTMENT X Stock	NATURE OF INVESTMENT Stock Other	
	(Describe)	(Describe)	-
	Partnership O Income Received of \$0 - \$499 O Income Received of \$500 or More (Report on Schedule C)	Partnership () Income Received of \$0 - \$499 () Income Received of \$500 or More (Report on Sche	dule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
	NAME OF BUSINESS ENTITY AT&T Inc.	 NAME OF BUSINESS ENTITY Dropbox, Inc. 	
	GENERAL DESCRIPTION OF THIS BUSINESS	GENERAL DESCRIPTION OF THIS BUSINESS	
	Technology	Technology	
	FAIR MARKET VALUE	FAIR MARKET VALUE	
	★ \$2,000 - \$10,000 □ \$10,001 - \$100,000	★ \$2,000 - \$10,000 □ \$10,001 - \$100,000	
	S100,001 - \$1,000,000 Over \$1,000,000	S100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT	
		(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	
	O Income Received of \$500 or More (Report on Schedule C)	Income Received of \$500 or More (Report on Schere)	dule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	/////	////	
	ACQUIRED DISPOSED	ACQUIRED DISPOSED	
►	NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY	
Facebook Inc general description of this business General description of this business general description of this business Technology			
		GENERAL DESCRIPTION OF THIS BUSINESS	
	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000	
	↓ \$2,000 - \$10,000 ★ \$10,001 - \$100,000 ↓ \$100,001 - \$1,000,000 Over \$1,000,000	\$100,001 - \$1,000,000 Over \$1,000,000	
	NATURE OF INVESTMENT X Stock	NATURE OF INVESTMENT	-
	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	(Describe) ☐ Partnership ◯ Income Received of \$0 - \$499	
	 Partnership O income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C) 	○ Income Received of \$500 or More (Report on Schere)	dule C)
	IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:	
	// / ACQUIRED DISPOSED	/ // ACQUIREDDISPOSED	
	ACQUIRED DISFOSED		

Comments: ____

SCHEDULE A-2 Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 70

FAIR POLITICAL PRACTICES COMMISSION

Name

Capri Maddox

► 1. BUSINESS ENTITY OR TRUST	► 1. BUSINESS ENTITY OR TRUST
Dakota Communications	Southern California Grantmakers
Name	Name
Address (Business Address Acceptable)	Address (Business Address Acceptable)
Check one	Check one
Trust, go to 2 Is Business Entity, complete the box, then go to 2	Trust, go to 2 Business Entity, complete the box, then go to 2
GENERAL DESCRIPTION OF THIS BUSINESS Public Affairs Consulting	GENERAL DESCRIPTION OF THIS BUSINESS LAUSD - Office of Partnerships
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999 //	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$0 - \$1,999
YOUR BUSINESS POSITION Spouse's business	YOUR BUSINESS POSITION Employee-funded by Cal Endowment and Ballmer Foundation
 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST) 	
\$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000 \$1,001 - \$10,000 > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or INCOME NAME NAME NAME SISTED BELOW SEE ATTACHED	SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST) \$0 - \$499 \$10,001 - \$100,000 \$500 - \$1,000 OVER \$100,000 \$1,001 - \$10,000 > 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.) None or X Names listed below SEE ATTACHED
 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY 	 ► 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED <u>BY</u> THE BUSINESS ENTITY OR TRUST Check one box: INVESTMENT REAL PROPERTY
Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property Dakota Communications	Name of Business Entity, if Investment, <u>or</u> Assessor's Parcel Number or Street Address of Real Property
Description of Business Activity <u>or</u> City or Other Precise Location of Real Property	Description of Business Activity <u>or</u> City or Other Precise Location of Real Property
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000
NATURE OF INTEREST	NATURE OF INTEREST
Leasehold <u>1</u> Yrs. remaining Other	Leasehold Other
Check box if additional schedules reporting investments or real property are attached	Check box if additional schedules reporting investments or real property are attached

SCHEDULE A-2

Attachment

CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION Name

Capri Maddox

BUSINESS ENTITY OR TRUST : Dakota Communications

LIST OF REPORTABLE SINGLE SOURCES OF INCOME OF \$10,000 OR MORE

Accenture, AECOM, AIDS Healthcare Foundation, City of West Hollywood, EAH Housing, Los Angeles Department of Transportation, Los Angeles Community College District, Los Angeles World Airports, Los Angeles County Metropolitan Transportation Authority, Metropolitan Water District, Skanska-Taylor Shea, Suez Environmental Services, Walnut Valley Water District, World Oil, Ygrene Energy Fund Dragados, Expo Construction Authority, Isaacs Friedberg LLP, LA County Dept. of Public Health (continued),

Community for Excellent Public Schools, LA County Department of Consumer and Business Affairs, GTM Holdings LLC, Jacobsen Daniels, Los Angeles Dept. of Transportation, Measure CA, LA County Metro, Pacific Atlantic Partners, The Connector Partnership, Water Replenishment District, World Oil

BUSINESS ENTITY OR TRUST : Southern California Grantmakers

LIST OF REPORTABLE SINGLE SOURCES OF INCOME OF \$10,000 OR MORE

California Endowment and (Steve) Ballmer Foundation

SCHEDULE B Interests in Real Property

(Including Rental Income)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Capri Maddox

ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS	► ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
CITY	CITY
Los Angeles	Los Angeles
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000
NATURE OF INTEREST	NATURE OF INTEREST
Ownership/Deed of Trust	Ownership/Deed of Trust
Leasehold Cther	Leasehold Description Cther
IF RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
□ \$0 - \$499 □ \$500 - \$1,000 □ \$1,001 - \$10,000	□ \$0 - \$499 □ \$500 - \$1,000 □ \$1,001 - \$10,000
¥ \$10,001 - \$100,000 □ OVER \$100,000	¥ \$10,001 - \$100,000 □ OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.	SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.
None	None None
D. Hernandez, M. Gutierrez, J. Pena, M. Lyons	J. Frede

* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*	NAME OF LENDER*		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)		
BUSINESS ACTIVITY, IF ANY, OF LENDER	BUSINESS ACTIVITY, IF ANY, OF LENDER		
INTEREST RATE TERM (Months/Years)	INTEREST RATE TERM (Months/Years)		
% [] None	% None		
HIGHEST BALANCE DURING REPORTING PERIOD	HIGHEST BALANCE DURING REPORTING PERIOD		
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000	S500 - \$1,000 \$1,001 - \$10,000		
S10,001 - \$100,000 OVER \$100,000	S10,001 - \$100,000 OVER \$100,000		
Guarantor, if applicable	Guarantor, if applicable		

Comments: .

SCHEDULE B Interests in Real Property

(Including Rental Income)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

Capri Maddox

ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS	► ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS
СІТҮ	CITY
Los Angeles	
FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000	FAIR MARKET VALUE IF APPLICABLE, LIST DATE: \$2,000 - \$10,000
NATURE OF INTEREST	NATURE OF INTEREST
Ownership/Deed of Trust	Ownership/Deed of Trust
Leasehold Dther	Leasehold Dther
IF RENTAL PROPERTY, GROSS INCOME RECEIVED	IF RENTAL PROPERTY, GROSS INCOME RECEIVED
\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000	□ \$0 - \$499 □ \$500 - \$1,000 □ \$1,001 - \$10,000
¥ \$10,001 - \$100,000 □ OVER \$100,000	S10,001 - \$100,000 OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more. None H. Adan, D. Lindsey, A. Sosa, D. Shield	SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

* You are not required to report loans from a commercial lending institution made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*	NAME OF LENDER*		
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)		
BUSINESS ACTIVITY, IF ANY, OF LENDER	BUSINESS ACTIVITY, IF ANY, OF LENDER		
INTEREST RATE TERM (Months/Years)	INTEREST RATE TERM (Months/Years)		
% None	% None		
HIGHEST BALANCE DURING REPORTING PERIOD	HIGHEST BALANCE DURING REPORTING PERIOD		
☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000	☐ \$500 - \$1,000 ☐ \$1,001 - \$10,000		
S10,001 - \$100,000 OVER \$100,000	S10,001 - \$100,000 OVER \$100,000		
Guarantor, if applicable	Guarantor, if applicable		

Comments:

SCHEDULE C Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

FAIR POLITICAL PRACTICES COMMISSION

Name

Capri Maddox

► 1. INCOME RECEIVED	► 1. INCOME RECEIVED	
NAME OF SOURCE OF INCOME	NAME OF SOURCE OF INCOME	
Dakota Communications	Southern California Grantmakers	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE	
Public Affairs Consulting		
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION	
Spouse is Managing Partner	LAUSD Consultant	
GROSS INCOME RECEIVED □ No Income - Business Position Only □ \$500 - \$1,000 □ \$1,001 - \$10,000 □ \$10,001 - \$100,000 X OVER \$100,000	GROSS INCOME RECEIVED No Income - Business Position Only □ \$500 - \$1,000 \$1,001 - \$10,000 \$10,001 - \$100,000 X OVER \$100,000	
CONSIDERATION FOR WHICH INCOME WAS RECEIVED Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)	CONSIDERATION FOR WHICH INCOME WAS RECEIVED Salary Spouse's or registered domestic partner's income (For self-employed use Schedule A-2.)	
Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)	Partnership (Less than 10% ownership. For 10% or greater use Schedule A-2.)	
Sale of	Sale of	
(Real property, car, boat, etc.)	(Real property, car, boat, etc.)	
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more	
	payment for partnership program services from July 2019-July 2020	
(Describe)	(Describe)	
□ Other	(Describe)	

* You are not required to report loans from a commercial lending institution, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER*	INTEREST RATE	TERM (Months/Years)
ADDRESS (Business Address Acceptable)	% None	
BUSINESS ACTIVITY, IF ANY, OF LENDER		sonal residence
HIGHEST BALANCE DURING REPORTING PERIOD	Real Property	Street address
☐ \$500 - \$1,000☐ \$1,001 - \$10,000		City
\$10,001 - \$100,000	Guarantor	
OVER \$100,000	Other	(Describe)
Comments:		

Filed Date: 03/12/2020 02:03 PM SAN: 011300006-STH-0006

Ethics Commission 200 N Spring Street City Hall – 24th Floor Los Angeles, CA 90012 (213) 978-1960 ethics.lacity.org			
Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.			
X Original Filing ☐ Amended Filing (original filed on//20) Total Pages:			
Name: (Last, First, Middle) Maddox, Capri			
Agency: Civil and Human Rights Department			
Phone: Email:			
Type of Statement: Image: Pre-confirmation Date of nomination: 02 / 10 / 20 20 Image: Assuming Office First day in position: / / 20 Image: Annual / / 20 through December 31, 20 Image: Leaving Office Last day in office: / 20			
I had the following interests associated with restricted sources during this reporting period:			
 1. REAL PROPERTY — <i>section attached.</i> Interests in real property leased from or to, co-owned by, purchased from, or sold to a restricted source. 2. INVESTMENTS — <i>section attached.</i> 			
Investments (other than real property) co-owned by, purchased from, or sold to a restricted source. 3. INCOME — <i>section attached.</i> Income received from a restricted source.			
☐ 4. GIFTS — section attached. Gifts, cumulatively valued at \$50 or more, received from a restricted source.			
5. BOARD POSITIONS — <i>section attached.</i> Positions held on the board of a restricted source.			
- Or - X 6. NO INTERESTS I had no interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.			
Certification			
<i>I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form and the information I have provided is true and complete.</i>			
03/12/2020 02:03 PM			
Date Signature			