



Los Angeles City Ethics Commission

June 13, 2014

The Honorable City Council
c/o Holly Wolcott, Interim City Clerk
200 North Spring Street
City Hall – 3rd Floor
Los Angeles CA 90012

**Re: Council File Number 14-0696
Appointment of Nicholas Roxborough to the Police Permit Review Panel**

FOR COUNCIL CONSIDERATION

Dear Councilmembers:

Nicholas Roxborough was appointed by the Mayor to the Police Permit Review Panel on May 27, 2014. The Ethics Commission received Mr. Roxborough's pre-confirmation financial disclosure statement on June 13, 2014. In compliance with Los Angeles Municipal Code § 49.5.10, a copy of Mr. Roxborough's financial disclosure statement is enclosed.

If you have questions, please feel free to contact me at (213) 978-1960.

Sincerely,

Alexandria Latragna
Ethics Program Analyst

Enclosures:

CA Form 700

CEC Form 60

cc: Mayor Eric Garcetti

COVER PAGE
PRE-CONFIRMATION

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)
Roxborough Nicholas P.

1. Office, Agency, or Court

Agency Name: LOS ANGELES CITY ETHICS COMMISSION
Police Permit Review Panel
Division, Board, Department, District, if applicable: _____
Your Position: Board Member
JUN 13 2014

▶ If filing for multiple positions, list below or on an attachment.

RECEIVED

Agency: _____ Position: _____

2. Jurisdiction of Office (Check at least one box)

State Judge or Court Commissioner (Statewide Jurisdiction)
 Multi-County _____ County of _____
 City of Los Angeles Other _____

3. Type of Statement (Check at least one box)

Annual: The period covered is January 1, 2013, through December 31, 2013.
-or-
The period covered is _____ through December 31, 2013.
 Assuming Office: Date assumed _____
 Leaving Office: Date Left _____ (Check one)
 The period covered is January 1, 2013, through the date of leaving office.
 The period covered is _____ through the date of leaving office.
 Pre-confirmation 05/27/14 (Date appointed or reappointed)

4. Schedule Summary

Check applicable schedules or "None."
▶ Total number of pages including this cover page: _____
 Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached
 Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached
 Schedule B - Real Property - schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached
-or-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 6/12/14 (Month, day, year) Signature _____ (For the originally signed statement with your filing official.)

PRE-CONFIRMATION



City Ethics Commission
200 N Spring Street
City Hall - 24th Floor
Los Angeles, CA 90012
Mail Stop 129
(213) 978-1960

Restricted Source
Financial Disclosure Statement
CEC Form 60

Elected City officials, general managers and chief administrative officers of City agencies, members of City boards and commissions, and individuals nominated to positions subject to City Council approval must file this form in conjunction with the state Form 700. Please refer to the attached instructions for additional information.

[X] Original Filing [] Amended Filing (original filed on ___/___/20___) Total Pages: ___

Name: Roxborough, Nicholas (Last, First, Middle) LOS ANGELES CITY ETHICS COMMISSION

Agency: Police Permit Review Panel Position: Board Member JUN 13 2014

Phone: [Redacted] Email: [Redacted] RECEIVED

Type of Statement: [X] Pre-confirmation Date of nomination: 05 / 27 / 20 14
[] Assuming Office First day in position: ___ / ___ / 20 14
[] Annual ___ / ___ / 20 13 through December 31, 20 13
[] Leaving Office Last day in office: ___ / ___ / 20 14

I had the following interests associated with restricted sources during this reporting period:

[] 1. REAL PROPERTY

The following interest in real property was leased from or to, co-owned by, purchased from, or sold to a restricted source.
Name of restricted source:
Address of restricted source:
Address or assessor's parcel number of real property:
Interest co-owned/purchased/sold by/leased by or to: [] Me [] My spouse/registered domestic partner [] My dependent child
Interest was: [] Leased [] Co-owned [] Purchased (date: ___ / ___ / 20 ___) [] Sold (date: ___ / ___ / 20 ___)
Nature of interest: [] Ownership/Deed or Trust [] Easement [] Leasehold (years remaining: ___) [] Other:
Value of interest: [] \$2,000-\$10,000 [] \$10,001-\$100,000 [] \$100,001-\$1,000,000 [] Over \$1,000,000
Do you have additional real property interests to report? [] No [] Yes, and ___ additional pages are attached.

[] 2. INVESTMENTS

The following investments (other than real property) were co-owned by, purchased from, or sold to a restricted source.
Name of restricted source:
Address of restricted source:
Name of investment:
Nature of investment: [] Stock [] Partnership [] Other
Investment co-owned/purchased/sold by: [] Me [] My spouse/registered domestic partner [] My dependent child
Investment was: [] Co-owned [] Purchased (date: ___ / ___ / 20 ___) [] Sold (date: ___ / ___ / 20 ___)
Value of investment: [] \$2,000-\$10,000 [] \$10,001-\$100,000 [] \$100,001-\$1,000,000 [] Over \$1,000,000
Do you have additional investments to report? [] No [] Yes, and ___ additional pages are attached.



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Restricted Source Financial Disclosure Statement CEC Form 60

3. INCOME

The following income was received from a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Business activity of source: _____

Your business position: _____

Income received by: Me My spouse/registered domestic partner My dependent child

Value of income: \$500—\$1,000 \$1,001—\$10,000 \$10,001—\$100,000 Over \$100,000

Income was: Salary/Commission Loan repayment Rental income Sale of _____
 (e.g., car, boat, etc.)

Other: _____

Do you have additional income to report? No Yes, and _____ additional pages are attached.

4. GIFTS

The following gifts cumulatively valued at \$50 or more were received from a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Business activity of source: _____

Gifts received by: Me My spouse/registered domestic partner My dependent child

Dates received: ____/____/20____; ____/____/20____ Value of gifts: _____

Description of gifts: _____

Do you have additional gifts to report? No Yes, and _____ additional pages are attached.

5. BOARD POSITIONS

The following position was held on the board of a restricted source.

Name of restricted source: _____

Address of restricted source: _____

Position title: _____

Position held by: Me My spouse/registered domestic partner My dependent child

Do you have additional positions to report? No Yes, and _____ additional pages are attached.

6. NO INTERESTS

I had no reportable interests in real property, investments, income, gifts, or board positions associated with restricted sources during this reporting period.

Certification

I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that I have read the instructions for this form, and the information I have provided is true and complete.

6-12-14

 Date

 Signature