

REPORT FROM

OFFICE OF THE CITY ADMINISTRATIVE OFFICER

Date: July 16, 2021

CAO File No. 0540-00009-0028

Council File No.

Council District: All

To: The Council
The Mayor

From: Matthew W. Szabo, City Administrative Officer 

Reference: 2020-21 Capital and Technology Improvement Expenditure Program

Subject: **2020-21 CAPITAL AND TECHNOLOGY IMPROVEMENT EXPENDITURE PROGRAM YEAR-END REAPPROPRIATIONS**

RECOMMENDATIONS

That the Council, subject to the approval of the Mayor:

1. Approve the reappropriation of the June 30, 2021, capital improvement and contingencies project account balances within the listed funds, except for those accounts and amounts delineated in Attachment A and those accounts with funds that have already been reappropriated by interim actions within Fiscal Year 2020-21:

General Fund (Non-Dept. Capital Improvement Expenditure Program) No. 100, Dept. No. 54;
Special Gas Tax Street Improvement Fund No. 206, Dept. No. 50;
Local Transportation Fund No. 207, Dept. No. 94;
Park and Recreational Sites and Facilities Fund No. 209, Dept. No. 88;
Street Lighting Maintenance Assessment Trust Fund No. 347, Dept. No. 50;
Special Parking Revenue Fund No. 363, Dept. No. 94;
Stormwater Pollution Abatement Fund No. 511, Dept. No. 50;
Sewer Capital Fund No. 761, Dept. No. 50;
Measure M Local Return Fund No. 59C, Dept. No. 94;
Measure W Local Return Fund No. 60W, Dept. No. 50;
Street Damage Restoration Fee Special Fund No. 41A, Dept. No. 50;
Road Maintenance and Rehabilitation Program Fund No. 59V, Dept. No. 50;
Wastewater Syst Comm Paper A Const Fund No. 70W, Dept. No. 50;
Wastewater Syst Comm Paper B Const Fund No. 70X, Dept. No. 50;
WSSRB Construction Fund, Series 2017-A, Fund No. 75N, Dept. No. 50; and
WSSRB Construction Fund, Series 2018-A, Fund No. 75R, Dept. No. 50.

2. Request the Controller to work with the City Administrative Officer to identify those accounts within Fund 100, Department 54 where 2020-21 reversions have already been reappropriated;
3. Authorize the City Administrative Office to make technical corrections as necessary, subject to the availability of funds, to implement the Mayor and Council actions.

SUMMARY

At the close of each fiscal year, unencumbered appropriations for projects within the Municipal Facilities, Physical Plant, and Wastewater Facilities Capital and Technology Improvement Expenditure Program (CTIEP) revert to the unallocated balance accounts within the fund from which they were appropriated. In accordance with Section 5.44 of the Los Angeles Administrative Code, the City Administrative Officer (CAO) shall recommend to the Mayor and Council the reappropriation of reverted funds necessary to continue work on active projects. This Office now recommends the reappropriation of funds to all existing CIEP project accounts with the exception of those listed in Attachment A.

Funds are not recommended to be reappropriated if: a) a project is completed and all outstanding invoices have been paid; b) there has been no project activity in the last three years; or, c) appropriations exceed project needs. A summary of the reappropriation criteria is included in Attachment B.

Capital and Technology Improvement Expenditure Program

Table 1 provides information on the various funding sources to the CIEP. The Appropriated Amount column reflects the amount available to be spent in 2020-21 for those accounts which had unencumbered balances at the close of the fiscal year. This amount consists of funds budgeted in 2020-21, plus funds reappropriated to active project accounts, plus any encumbered project balances from prior years. The Encumbered Balance column reflects funds that have been committed to projects by departments for contracts or activities such as right-of-way acquisition. The 2020-21 Estimated Expenditures column reflects the amount projected to have been spent between July 1, 2020 and June 30, 2021. The Estimated Reversion Amounts column represents the amounts that are no longer needed due to projects being completed, canceled, or funded through other funding sources. These estimated reversions do not generally represent funding available for new projects as the funds have been programmed to other projects by action of the Mayor and Council during the 2021-22 budget process, as well as interim reports and motions. Finally, the Estimated Reappropriations column shows the amounts reverted on June 30, 2021 that need to be reappropriated to continue projects in 2021-22.

Special Funds

During the development of the Proposed Budget, all projects within the CIEP are reviewed to determine project status and whether the available funding will be encumbered or expended. This review encompasses not only direct project costs, but also related activities such as right-of-way acquisition or construction of incidental improvements. If it appears that a project appropriation will

not be encumbered by fiscal year-end and the funding is required the following year, the funding is designated as a prior-year unexpended appropriation as part of the Proposed Budget. Conversely, if it appears that funding will be encumbered by year-end, it is generally assumed that the current year appropriation will be fully expended and any additional funds needed for that project would then be provided through the Adopted Budget.

Despite the best efforts to accurately forecast year-end project status, there are projects that do not encumber funds as expected. As a result, these funds must be reappropriated through this report if the time required to complete the project extends beyond June 30, 2021. Recommendation 1 authorizes the reappropriation of all CIEP-related unencumbered balances (as of June 30, 2021) within the funds listed and continues funding authority for those projects, except for the accounts and amounts listed on Attachment A. Project accounts listed on Attachment A reflect those projects that have been completed or are no longer active and the unencumbered balance is not required to be reappropriated.

Budgeted Amounts Compared To Estimated Reappropriation Amounts

Council previously instructed the CAO to report back on how the estimated amounts reflected in this report compared to the Adopted Budget (C.F. 03-0600-S31). Estimated expenditures are used as the basis for this comparison since both this report and the 2021-22 Adopted Budget contain projected expenditures for Fiscal Year 2020-21. This analysis is included as Attachment C for informational purposes.

The variances presented in Attachment C can be attributed to two major factors: 1) timing differences between the estimate of expenditures during development of the City Budget and the estimate of expenditures from the end of the fiscal year; and, 2) issues inherent to delivering capital programs. These factors are discussed in further detail below:

- 1) Timing Differences: During budget development, expenditures are estimated during January and February with the best information available at that time. In contrast, the amounts reported in this report are developed in early June based on information then available.
- 2) Difficulties with delivering capital programs: Inherent to delivering capital programs, numerous issues arise that can delay project schedules. These issues include, but are not limited to: design delays due to scope of work revisions, delays in acquiring right-of-way, delays related to Environmental Impact Report approval, delays in awarding construction contracts for various reasons (e.g., bids coming in higher than estimated, numerous variables in the contracting process), delays during construction (e.g., contaminated soil, unmapped subterranean obstacles) or, delays caused while awaiting clarification from regulatory agencies.

While the above factors contribute to the variances in Attachment C, it is important to note that each project account is effectively reconciled through the amounts that are reappropriated or remain reverted through this report. Additionally, capital project amounts are carefully reviewed each year during budget development to ensure that only viable projects are being funded.

Reversion Amounts for the Past Five Fiscal Years

In addition, Council previously instructed the CAO to report back on the reversion amounts for the last five fiscal years (C.F. 14-0600-S246). Again, estimated reversion amounts represent funds that are no longer needed due to projects being completed, canceled, or funded through other funding sources. The Reversion Amounts shown in Attachment D have averaged approximately \$63.7 million for the past five fiscal years.

The majority of reversions typically occur within the Wastewater Collection Treatment and Disposal Capital Expenditure Program projects. The budget for these projects were based on the best information available at that time the budget was developed for each project including contingency funding to provide for unforeseen costs due to the project's complexity. The reversion amounts were reprogrammed to other Wastewater Collection Treatment and Disposal Capital projects by action of the Mayor and Council during the budget process and via motions.

FISCAL IMPACT STATEMENT

Reappropriations are for previously approved General Fund and special funded projects that were considered during the development of the 2021-22 Budget. The recommendations in this report do not change available funds for 2021-22 appropriations. There is no additional impact to the General Fund.

MWS:LLE:06220002

Attachments

GENERAL FUND
CAPITAL IMPROVEMENT EXPENDITURE PROGRAM - MUNICIPAL FACILITIES
Fund No. 100, Department No. 54

Council District	Project Title	Account No.	Estimated Reversion Amount*
Various	CITYWIDE BUILDING HAZARD MITIGATION PROGRAM	00R019	\$ 1,345
Various	MUNICIPAL BLDGS ENERGY & WATER MGMT & CONSERVATION	00R073	1
Various	Citywide Roofing Repair	00R098	1
Various	CITYWIDE INFRASTRUCTURE IMPROVEMENTS	00R634	3,261
Various	Animal Services Facilities	00S685	1
Various	Police Administration Building	00S688	59
Various	Citywide Roofing Repair	00T098	223
Various	Citywide Elevator Repairs	00T632	1
Various	Public Safety Facilities - Police	00T750	1
	TOTAL		\$ 4,893

GENERAL FUND
CAPITAL IMPROVEMENT EXPENDITURE PROGRAM - PHYSICAL PLANT
Fund No. 100, Department No. 54

Council District	Project Title	Account No.	Estimated Reversion Amount*
11	VISTA DEL MAR GEOTECHNICAL EVALUATION	00N243	\$ 167,085
Various	STREET LIGHTING AT EXISTING PEDESTRIAN CROSSWALKS	00N249	1
11	Vista Del Mar Emergency Repair	00P291	42,479
7	La Tuna Fire Slope Mitigation	00P293	9
4	CRESCENT DRIVE SLOPE FAILURE PROJECT	00P321	1,861
4	PWTF-Verde Oak Drive (2252) Bulkhead	00R650	53,338
Various	Bridge and Tunnel Lighting Maintenance	00S246	176
4	Mount Lee Road Repair	00S695	3,560
	TOTAL		\$ 268,509

SPECIAL GAS TAX STREET IMPROVEMENT FUND
Fund No. 206, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
Various	TUNNEL AND UNDERPASS LIGHTING IMPROVEMENT PROGRAM	50RKGF	\$ 1
13	ALVARADO STREET BULKHEAD	50RKJC	1,100
Various	Wilshire Resurfacing, San Vicente To Western	50HKBK	175,000
7	La Tuna Fire Slope Mitigation	50PKGP	569
	TOTAL		\$ 176,670

*Estimated reversion amount is rounded to the nearest dollar except when account has less than \$1.

SEWER CAPITAL FUND
Fund No. 761, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
11	HWRP WASTE ACTIVATED SLUDGE THICKENING CENTRIFUGE REPLACEMNT	50TDR5	\$ 611,582
6	DCT CAPITAL EQP REPLC PROG	50RJB7	346
Various	CLEAN WATER NETWORK SERVERS CERP	50RGC2	771
Various	BOND ASSISTANCE PROGRAM	50RGA6	139,844
11	PP WEST LOS ANGELES YARD GENERATOR REPLACEMENT	50RFN3	15,000
11	HWRP CAPITAL EQP REPLC PROG	50RDA9	85
Various	MAINTENANCE HOLE RESETTING	50RAHT	339,823
6	DCT PRIMARY & SECONDARY TANK GUARD RAILS	50PJC4	22,254
6	DCT CAPITAL EQP REPLC PROG	50PJB7	212
15	TIWRP AWPFCAP EQP REPL PROG	50PHJ3	53
15	TIWRP CAPITAL EQUIPMENT REPLACEMENT (CERP)	50PHC8	4,128
Various	LABORATORY EQUIPMENT PROCUREMENT	50PGD8	772
Various	WW NETWORK SERVERS CERP	50PG78	306,061
Various	CONSTRUCTION SERVICES CONTRACT (CISCO)	50PG41	105,170
Various	LAG CHEMICAL LINES UPGRADES	50PELE	75,000
11	HWRP WASTE ACTIVATED SLUDGE THICKENING CENTRIFUGE REPLACEMNT	50PDR5	1,090,919
11	HWRP CAPITAL UTILITY REPLACEMENT PROGRAM	50PD89	1
11	HWRP CAPITAL EQP REPLC PROG	50PD49	133
Various	EMERGENCY SEWER REPLACEMENT	50PA93	472,536
6	DCT BERM IMPROVEMENTS	50NJE9	33
6	DCT ADMIN BLD SEWER VENT REPL	50NJE4	209,467
Various	WW PLANNING & DESIGN SERVICES	50NGE4	142,547
14	PP602 UNION PACIFIC REHABILITATION	50NFD6	1,076
11	PP 654 BALLONA CREEK REHAB	50NFD5	5,651
15	PP 691 SAN PEDRO REHAB	50NFC6	31,750
8	PP601 MANCHESTER GENERATOR REPLACEMENT	50NFB4	505
11	HWRP CENTRIFUGE FACILITY REHAB	50NDW6	6,053
11	HWRP CAPITAL EQP REPL PROG	50ND49	258
Various	MAINTENANCE HOLE RESETTING	50NAW3	95,006
Various	EMERGENCY SEWER REPLACEMENT	50NA93	105,822
Various	WW SERVICES DURING CONST	50MGE5	102,850
Various	LABORATORY EQUIPMENT PROCUREMENT	50MGD8	1,001
8	MANCHESTER GREENWAY SEP	50MGC8	4,336
Various	CONSTRUCTION SERVICES CONTRACT (CISCO)	50MG41	20,916
Various	WW NETWORK SERVERS CERP	50LG78	48,453
Various	CS WW CONTROL SYSTEM REPL	50JA6X	381,765
		TOTAL	\$ 4,342,179

*Estimated reversion amount is rounded to the nearest dollar except when account has less than \$1.

WASTEWATER SYSTEM REVENUE BOND CONS/10A
Fund No. 70W, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
6	DCT ENTRANCE & LAYDOWN AREA IM	50TJHQ	\$ 113,000
11	VENICE AUXILIARY PUMPING PLANT	50TFVA	3,860,000
Various	CLEAN-WATER CONTROL SYSTEM INT	50TFBA	2,256,000
11	HWRP PRIMARY TANKS SKIMMER IMPROVEMENTS	50TDK8	2,639,000
11	HWRP HARRINGTON BUILDING AIR QUALITY IMPROVEMENT	50TDK4	820,363
11	HWRP AWPf MEMBRANE BIOREACTORS PILOT	50TDJ4	3,514,000
11	HWRP SEPARATION OF SIDE STREAM	50TDAW	830,000
11	HWRP SERVICE WATER FACILITY IMPROVEMENTS	50TDAM	568,333
11	HWRP PRIMARY INFLUENT SLLUICE GATES REPLACEMENT	50TDAK	261,664
14	NOS REHAB U-7 WILSON LA RIVER	50TASM	300,000
Various	NOS REHAB U-6 HOOPER TO WILSON	50TASL	500,000
14	ODOR CNTR RICHMOND SCRb FAC UPG	50TASG	1,031,000
Various	SSRP N10 PICO & UNION AVE	50TAJH	1,239,000
15	EAST WILMINGTON SWR-AREA	50TAJG	250,000
2	ODOR CNTR RADFORD SCRb FAC UPG	50TAHU	1,341,000
11	ODOR CNTR NOTF SCRUBBER UPG	50TAHS	376,750
11	ODOR CNTR BALLONA SCRUB FAC UPG	50TAHR	1,292,000
Various	SSRP P01B DALY & NORTH MAIN	50TAHP	276,000
1	ODOR CNTR HUMBOLDT SCRb FAC UPG	50TAHC	1,951,000
14	ODOR CNTR DACOTAH SCRb FAC UPG	50TAHA	1,037,000
14	SSRP P19 FIGUEROA & YOSEMITE	50TAFY	200,000
4	NOS REHAB U-35 NOBLE TO CEDROS	50TADH	2,046,000
2	NOS REHAB U-30 COLFAX TO WHITS	50TADG	2,160,000
6	DCT CHEMICAL LINES UPGRADE IMPROVEMENTS	50SJF4	342,406
13	PP NORTH YARD GENERATOR REPLACEMENT	50SFN2	174,000
11	PP646 VENICE GENERATORS REPLACEMENT	50SFB3	4,744,366
11	HWRP IPS ODOR CONTROL IMPROVEMENTS	50SDX7	200,000
11	HWRP CENTRAL STORM DRAIN REROUTING	50SDW8	414,544
11	HWRP HEADWORKS ODOR CONTROL UPGRADE	50SDV7	1,137,776
11	HWRP SECONDARY CLARIFIER MODULES 1-5 UPGRADE	50SDS6	473,000
11	HWRP PRIMARY TANKS SKIMMER IMPROVEMENTS	50SDK8	44,829
11	HWRP DIGESTER GAS PIPING SYSTEM CONTROLS	50SDJ9	2,904
11	HWRP AWPf MEMBRANE BIOREACTORS PILOT	50SDJ4	748,213
11	HWRP TRUCK LOADING ODOR CONTROL FACILITY ENHANCEMENTS	50SDH6	141,600
11	HWRP PRIMARY TANKS B0, B5 AND C0 UPGRADES	50SDAL	80,626
11	HWRP PRIMARY INFLUENT SLUICE GATES REPLACEMENT	50SDAK	75,337
Various	SSRP N11 7TH ST & VALENCIA ST	50SAVJ	13,376
Various	SSRP DAR 04 NE EAGLE ROCK/LINC	50SAHL	1,259,000
Various	SSRP DAR 03 NE EAGLE ROCK	50SAHK	60,997
14	8TH PLACE FIGUEROA DIVERSION	50SAH9	6,253,000
Various	LA CIENEGA INTERCEPTOR SEWER REHAB BLACKWELDER TO OLYMPIC	50SAG3	2,517,921
Various	WILSHIRE AREA SYSTEM SEWER REHABILITATION	50SA6C	612,628

*Estimated reversion amount is rounded to the nearest dollar except when account has less than \$1.

ESTIMATED AMOUNTS TO REMAIN REVERTED

ATTACHMENT A

6	DCT ELECTRICAL POWER SYSTEM MODIFICATIONS	50RJF7	2,511,290
6	DCT CHLORINE CONTACT TANK HPE	50RJF6	824,797
6	DCT BLOWER AIR CLEANUP SYSTEM	50RJD2	818,868
15	TIWRP DISSOLVED AIR FLOATATION THICKENER MODIFICATION	50RHD9	708
15	TIWRP BLOWER PROCUREMENT	50RHD4	44,159
11	PP 648 THOMPSON YARD GENERATOR	50RFN9	23,000
11	PP 638 PALISADES GENERATOR REPLACEMENT	50RFN8	24,600
11	PP632 SUNSET GENERATOR REPLACEMENT	50RFN7	32,083
13	PP NORTH YARD GENERATOR REPLACEMENT	50RFN2	171,750
15	PP 671 TERMINAL WAY REHAB	50RFB8	1,444,731
11	HWRP FERROUS CHLORIDE INJECTION FACILITY REPLACEMENT	50RDX5	9,688
11	HWRP DIGESTER GAS DESULFURIZATION FACILITY IMPROVEMENTS	50RDX3	96,359
11	HWRP DIGESTER CORROSION REHAB	50RDW2	628,931
11	HWRP SECONDARY CLARIFIER MODULES 1-5 UPGRADE	50RDS6	185,645
11	HWRP WASTE ACTIVATED SLUDGE THICKENING CENTRIFUGE REPLACEMENT	50RDR5	8,459,612
11	HWRP PARKING STRUCTURE REHABILITATION	50RDK1	46,781
11	HWRP AWPf MEMBRANE BIOREACTORS PILOT	50RDJ4	1,400,000
Various	SSRP N11 7TH ST & VALENCIA ST	50RAVJ	97,594
Various	SSRP N14 TEMPLE & GLENDALE	50RASH	237,228
14	SSRP P06 EL SERENO & EDISON	50RASE	403,039
Various	SSRP P01A RIVERSIDE & DORRIS	50RARD	1,091,270
11	SSRP U07 CENTINELA & IDAHO	50RAPH	189,231
14	SSRP N09 LORENA & WHITTIER	50RALH	400,765
4	PP616 CAHUENGA GENERATOR REPLACEMENT	50PFN5	7,900
13	PP NORTH YARD GENERATOR REPLACEMENT	50PFN2	184,000
11	PP 654 BALLONA CREEK GENERATOR	50PFM2	522,000
		TOTAL	\$ 68,014,662

WASTEWATER SYSTEM COMMERCIAL PAPER B CONSTRUCTION FUND
Fund No. 70X, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
Various	SSRP N07 BROADWAY & PICO	50RADT	\$ 118,934
		TOTAL	\$ 118,934

WSSRB CONSTRUCTION FUND, SERIES 2018-A (GREEN BONDS)
Fund No. 75R, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
Various	VIDEO CONFERENCING SYS UPG	50SGCA	\$ 64,343
		TOTAL	\$ 64,343

*Estimated reversion amount is rounded to the nearest dollar except when account has less than \$1.

MEASURE M LOCAL RETURN FUND
Fund No. 59C, Department No. 94

Council District	Project Title	Account No.	Estimated Reversion Amount*
7	Verdugo Crestline Drive (7675)	94PV17	\$ 51,884
13	Alvarado Street Bulkhead	94PV19	18,955
		TOTAL	\$ 70,839

ROAD MAINTENANCE AND REHABILITATION PROGRAM SPECIAL FUND
Fund No. 59V, Department No. 50

Council District	Project Title	Account No.	Estimated Reversion Amount*
4	VERDE OAK DRIVE (2252) BULKHEAD	50PKGG	\$ 5,115
4	Hollywood Blvd (8720/8730) Bulkhead	50SKZM	5,613
1	Quail Drive Bulkhead	50SKZO	13,485
11	Porto Marina Way (17806) Study	50SKZT	50,032
4	Mulholland Drive (7382) Bulkhead Replacement	50TVFA	658,000
		TOTAL	\$ 732,245

*Estimated reversion amount is rounded to the nearest dollar except when account has less than \$1.

TABLE 1

FUND	Appropriated Amount	Encumbered Balance	2020-21 Est. Expenditures	Est. Reversion Amounts	Est. Reappropriations
	(A)	(B)	(C)	(D)	(E=A-B-C-D)
General Fund (Non-Dept CIP-Municipal Facilities) (Fund No. 100)	\$ 144,503,917	\$ 9,664,109	\$ 82,037,608	\$ 4,893	\$ 52,797,307
General Fund (Non-Dept CIP-Physical Plant) (Fund No. 100)	29,106,944	249,605	10,735,212	268,509	17,853,618
Special Gas Tax Street Improvement Fund (Fund No. 206)	16,461,373	968,039	14,114,710	176,670	1,201,954
Local Transportation Fund (Fund No. 207)	575,200	-	530,457	-	44,743
Park and Recreational Sites and Facilities (Fund No. 209)	23,163,147	498,673	6,412,130	-	16,252,344
Street Lighting Maintenance Assessment Fund (Fund No. 347)	1,190,000	54,143	438,725	-	697,132
Stormwater Pollution Abatement Fund (Fund No. 511)	6,464,781	118,208	1,497,949	-	4,848,624
Sewer Capital Fund (Fund No. 761)	101,568,405	21,016,322	37,483,390	4,342,179	38,726,514
Wastewater Syst Comm Paper A Const Fund (Fund No. 70W)	231,277,926	19,076,871	38,352,794	68,014,662	105,833,599
Wastewater System Commercial Paper B Construction Fund (Fund No. 70X)	68,067,220	14,283,003	25,893,957	118,934	27,771,326
WSSRB Construction Fund, Series 2018-A (Green Bonds) (Fund No. 75R)	83,146,752	958,572	82,123,837	64,343	-
Measure M Local Return Fund (Fund No. 59C)	18,956,392	3,205,451	3,224,047	70,839	12,456,055
Road Maintenance and Rehabilitation Program Special Fund (Fund No. 59V)	58,454,189	5,152,754	4,141,053	732,245	48,428,137
TOTAL	\$ 782,936,246	\$ 75,245,750	\$ 306,985,869	\$ 73,793,274	\$ 326,911,353

FUND USE AND CRITERIA REVIEW

Fund (Fund Number/Department)	Eligible Uses	Criteria
General Fund (100/54)	Unrestricted uses.	<p><u>Reappropriation criteria:</u> 1) project in construction and/or funds needed for change orders/closeout; 2) project pending land acquisition or award of design or construction.</p> <p><u>Reversion criteria:</u> 1) project completed, accepted and all closeout payments completed; 2) funds re-programmed in budget, motion or interim report.</p>
Special Gas Tax Street Improvement Fund (206/50)	Used to finance street improvements that are within the public right-of-way. Improvements include street widening, reconstruction, street maintenance, street resurfacing, restoration and rehabilitation (3R), street lights, street trees, etc. Gas Tax cannot be used to finance decorative lighting, bus shelter lighting, private roads and driveways, or alleys that are not part of the City Street and Road System.	<p><u>Reappropriation criteria:</u> 1) project in construction and/or funds needed for change orders/closeout; 2) project pending award and funds are not re-programmed in Adopted Budget.</p> <p><u>Reversion criteria:</u> 1) project completed; 2) funds re-programmed in Adopted Budget; 3) project not construction ready.</p>
Local Transportation Fund (207/94)	Used for the construction of bicycle and pedestrian facilities, including engineering expenses leading to construction, right-of-way acquisition, construction and reconstruction, retrofitting existing bicycle and pedestrian facilities, route improvements such as signal control for cyclists and bicycle loop detectors, and purchase and installation of bicycle facilities such as secure bicycle parking, benches and drinking fountains.	<p><u>Reappropriation criteria:</u> 1) project in construction and/or funds needed for invoices, change orders and close-out; 2) project in pre-bid or pre-design stage.</p> <p><u>Reversion criteria:</u> 1) project completed, accepted and close-out payments completed; 2) projects not construction ready.</p>
Park & Recreational Sites and Facilities Fund (209/88)	Used for acquisition and development of park and recreation sites and facilities based on: 1) recognition of safety or environmental needs; 2) commitment to or coordination of the activities of other agencies; 3) additional service requirements for growing areas; 4) participation with citizens in the funding of assessment act projects; 5) completion or continuation of previously authorized work; 6) protection of investment in public works; 7) provision of efficient facilities to support on-going operations; 8) maximization of grant funds.	<p><u>Reappropriation criteria:</u> 1) project is in pre-bid or pre-design stage; 2) project is in construction; 3) project is completed with pending invoices; 4) ADA funds are to be reappropriated to an ADA contingency account.</p> <p><u>Reversion criteria:</u> project completed and invoice payment completed.</p>

FUND USE AND CRITERIA REVIEW

Fund (Fund Number/Department)	Eligible Uses	Criteria
Street Lighting Maintenance Assessment Fund (347/50)	Used to fund street lighting for rehabilitation and replacement projects which are assessable. Council and Mayor may also authorize the acceptance of funds from other agencies in support of implementing new or upgraded street lighting systems.	<u>Reappropriation criteria:</u> 1) project in construction; 2) Final Acceptance Report pending before the Board of Public Works. <u>Reversion criteria:</u> Board approves Final Acceptance Report on project.
Special Parking Revenue Fund (363/94)	Used to purchase, lease, design, construct, improve, operate and maintain public off-street parking facilities and parking meters in the City, including parking for City employees, and retire bonded indebtedness incurred to fund all or any portion of the acquisition and/or construction of public off-street parking facilities.	<u>Reappropriation criteria:</u> 1) project is in preliminary engineering and design phase; 2) project is in construction; 3) project is in close-out phase. <u>Reversion criteria:</u> DOT reports that completed projects are included in the reappropriation request pending discussions with the Council Districts pursuant to C.F. 05-0600-S39 and DOT's response to the Controller's Audit in 2005-06.
Stormwater Pollution Abatement Fund (511/50)	Used to fund pollution abatement, flood control, storm drain and storm drainage-related projects.	<u>Reappropriation criteria:</u> 1) project in design or under construction; 2) Final Acceptance Report pending before the Board of Public Works. <u>Reversion criteria:</u> Board approves Final Acceptance Report on project.
Sewer Capital Fund, Wastewater System Revenue Bond Construction/10A and B, WW System Commercial Paper A and B Funds (761/50, 70F/50, 70K/50, 70Q/50, 70W/50, 70X/50)	Used to fund sewer and sewage-related projects, including but not limited to industrial waste control and water reclamation.	<u>Reappropriation criteria:</u> 1) invoices pending; 2) ongoing equipment replacement projects, usually limited to two years; 3) ongoing capital-related expenses such as design consultants and equipment replacement; accounts do not exceed three years. <u>Reversion criteria:</u> Board approves Final Acceptance Report on project or sufficient funds are available in other accounts.
Measure R Traffic Relief and Rail Expansion Funds (51Q/94)	Used to fund local street improvements, such as signal synchronization, filling potholes, repairing streets and making neighborhood streets and intersections safer for drivers, bicyclists and pedestrians.	<u>Reappropriation criteria:</u> 1) project in construction and/or funds needed for invoices, change orders and close-out; 2) project in pre-bid or pre-design stage. <u>Reversion criteria:</u> 1) project completed, accepted and close-out payments completed; 2) projects not construction ready.

FUND USE AND CRITERIA REVIEW

ATTACHMENT B

<p>SB1 - Road Maintenance and Rehabilitation Fund (59V/50)</p>	<p>Used to address basic road maintenance, rehabilitation and critical safety needs on the City's Street and Road System. Improvements include, but are not limited to, road maintenance and rehabilitation, safety projects, railroad grade separations, complete streets components (including active transportation purposes, pedestrian and bicycle safety projects, transit facilities, and drainage and stormwater capture projects in conjunction with any other allowable project), and traffic control devices.</p>	<p><u>Reappropriation criteria:</u> 1) project in pre-bid or pre-design stage; 2) project in construction and/or funds needed for change orders/closeout; and, 3) project pending award and funds are not re-programmed in Adopted Budget. <u>Reversion criteria:</u> 1) project completed; 2) funds re-programmed in Adopted Budget; 3) project not construction ready.</p>
<p>Measure M Local Return Fund (59C/94)</p>	<p>Used to improve traffic conditions, expand transit systems, increase safety, improve accessibility to public transportation, and for local street improvements such as paving, pothole repair, signal synchronization, and enhanced bicycle and pedestrian connectivity.</p>	<p><u>Reappropriation criteria:</u> 1) project in construction and/or funds needed for invoices, change orders and close-out; 2) project in pre-bid or pre-design stage. <u>Reversion criteria:</u> 1) project completed, accepted and close-out payments completed; 2) projects not construction ready.</p>

This table compares the Estimated Expenditures by funding source as presented in the 2021-22 Adopted Budget and for the CTIEP Reappropriation Report.

FUND TITLE	2021-22 ADOPTED BUDGET ESTIMATED EXPENDITURES FOR 2020-21	ESTIMATED EXPENDITURES	VARIANCE AMOUNT	VARIANCE % OVER/UNDER
General Fund (Non-Dept CIP- Municipal Facilities) (Fund No. 100)	7,766,000	82,037,608	74,271,608	956.4%
General Fund (Non-Dept CIP- Physical Plant) (Fund No. 100)	1,164,000	10,735,212	9,571,212	822.3%
Special Gas Tax Street Improvement Fund (Fund No. 206)	2,048,000	14,114,710	12,066,710	589.2%
Local Transportation Fund (Fund No. 207)	-	530,457	530,457	N/A
Park and Recreational Sites and Facilities (Fund No. 209)	2,470,000	6,412,130	3,942,130	159.6%
Street Lighting Maintenance Assessment Fund (Fund No. 347)	-	438,725	438,725	N/A
Stormwater Pollution Abatement Fund (Fund No. 511)	5,533,000	1,497,949	(4,035,051)	-72.9%
Sewer Capital Fund (Fund No. 761)	287,089,000	37,483,390	(249,605,610)	-86.9%
Measure M Local Return Fund (Fund No. 59C)	2,841,000	3,224,047	383,047	13.5%
Road Maintenance and Rehabilitation Program Special Fund (Fund No. 59V)	44,932,000	4,141,053	(40,790,947)	-90.8%
Wastewater Syst Comm Paper A Const Fund (Fund No. 70W)	-	38,352,794	38,352,794	N/A
Wastewater Syst Comm Paper B Const Fund (Fund No. 70X)	-	25,893,957	25,893,957	N/A
WSSRB Construction Fund, Series 2018-A (Green Bonds) (Fund No. 75R)	-	82,123,837	82,123,837	N/A
Street Damage Restoration Fee Special Fund (Fund No. 41A)	1,788,000	-	(1,788,000)	-100.0%
TOTAL	\$ 355,631,000	\$ 306,985,869	\$ (48,645,131)	-13.7%

This table provides a summary of reversion amounts by funding source recommended in the last five years within the CIEP Reappropriation Reports. Funds are reverted if 1) projects are completed and all outstanding invoices are paid; 2) there has been no project activity in the last three years; and, 3) original appropriations for projects exceeded project needs.

FUND TITLE	2016-17 REVERSION AMOUNTS	2017-18 REVERSION AMOUNTS	2018-19 REVERSION AMOUNTS	2019-20 REVERSION AMOUNTS	2020-21 REVERSION AMOUNTS
General Fund (Non-Dept CIP- Municipal Facilities) (Fund No. 100)	-	21,583	26,035	17,381	\$ 4,893
General Fund (Non-Dept CIP- Physical Plant) (Fund No. 100)	-	130,000	545,352	189,528	\$ 268,509
Special Gas Tax Street Improvement Fund (Fund No. 206)	3,186,002	842,154	3,675,078	248,065	\$ 176,670
Local Transportation Fund (Fund No. 207)	304	191,156	-	-	\$ -
Park and Recreational Sites and Facilities (Fund No. 209)	457,433	1	1	-	\$ -
Special Parking Revenue Fund (Fund No. 363)	-	-	340,432	-	\$ -
Stormwater Pollution Abatement Fund (Fund No. 511)	15,973	-	434,724	-	\$ -
Mobile Source Air Pollution Reduction Trust Fund (Fund No. 528)	-	1,284,429	-	-	\$ -
Proposition C Anti-Gridlock Transit Improvement Fund (Fund No. 540)	1	-	-	-	\$ -
Convention Center Revenue Fund (Fund No. 725)	35,716	10,700	-	-	\$ -
Sewer Capital Fund (Fund No. 761)	5,582,471	13,000,467	25,333,175	62,948,128	\$ 4,342,179
Measure R Local Return Fund (Fund No. 51Q)	10,385,876	697,185	140,635	-	\$ -
Measure M Local Return Fund (Fund No. 59C)	-	-	1,126,374	25,001	\$ 70,839
Road Maintenance and Rehabilitation Program Special Fund (Fund No. 59V)	-	-	1,326,241	2,323,113	\$ 732,245
Wastewater Syst Construction Fund (Fund No. 70F)	1,426	847,277	-	-	\$ -
Wastewater Syst Construction Fund (Fund No. 70K)	8,454,854	14,408,888	9,496	-	\$ -
Wastewater Syst Comm Paper A Const Fund (Fund No. 70W)	-	-	3,945,263	2,265,686	\$ 68,014,662
Wastewater Syst Comm Paper B Const Fund (Fund No. 70X)	904,992	567,050	-	-	\$ 118,934

WSSRB Construction Fund, Series 2017-A (Green Bonds) (Fund No. 75N)	-	8,499,035	11,307,586	20,785,078	\$ -
WSSRB Construction Fund, Series 2018-A (Green Bonds) (Fund No. 75R)	-	-	-	37,962,902	\$ 64,343
TOTAL	\$ 29,025,048	\$ 40,499,925	\$ 48,210,392	\$ 126,764,882	\$ 73,793,274